

Research Article

Generalized Punctured Convolutional Codes with Unequal Error Protection

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We conduct a code search restricted to the recently introduced class of generalized punctured convolutional codes (GPCCs) to find good unequal error protection (UEP) convolutional codes for a prescribed minimal trellis complexity. The trellis complexity is taken to be the number of symbols per information bit in the “minimal” trellis module for the code. The GPCC class has been shown to possess codes with good distance properties under this decoding complexity measure. New good UEP convolutional codes and their respective effective free distances are tabulated for a variety of code rates and “minimal” trellis complexities. These codes can be used in several applications that require different levels of protection for their bits, such as the hierarchical digital transmission of video or images.

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1. INTRODUCTION

In several applications of digital transmission (e.g., video [1], images [2], voice [3], and data [4]), the importance of different bits in the input sequence of the channel encoder often varies and certain blocks of this sequence need higher protection level than other blocks, when this sequence is transmitted through a noisy channel. An error-correcting code which provides a selective level of protection to the information bits possesses a property called unequal error protection (UEP). UEP can be obtained either by using separate coding schemes with distinct error-correction capability for each level of protection or by using a single code with UEP capability. The latter case can be achieved by block [5–7], convolutional [8–11], coded modulation schemes [12, 13], turbo [14], or LDPC [15] codes.

The convolutional codes of rate $R = k/n$ with UEP capabilities considered in the literature [8–11] are represented by their conventional trellis module, denoted by M_{conv} . This module consists of one trellis section with 2^{ν} initial states and 2^{ν} final states; each initial state is connected by 2^k directed edges to final states, and each edge is labeled with n bits. In

general, a trellis module M for an (n, k) convolutional code C consists of n' trellis sections, 2^{ν_t} states at depth t , 2^{b_t} edges emanating from each state at depth t , and l_t bits labeling each edge from depth t to depth $t + 1$, for $0 \leq t \leq n' - 1$. The semi-infinite trellis used by the Viterbi algorithm to decode C consists of a concatenation of infinitely many copies of the trellis modules M . The trellis complexity of the module M for the code C , denoted by $\text{TC}(M)$, is defined as [16]

$$\text{TC}(M) = \frac{1}{k} \sum_{t=0}^{n'-1} l_t 2^{\nu_t + b_t} \quad (1)$$

symbols per bit. In particular, $\text{TC}(M_{\text{conv}}) = (n/k)2^{\nu+k}$ symbols per bit.

The “minimal” trellis module, \widetilde{M} , for convolutional codes was developed in [16, 17]. This “minimal” structure has n sections and $l_t = 1$ bit per branch for all t . The state complexity ν_t and the branch complexity b_t at depth t will be denoted by $\tilde{\nu}_t$ and \tilde{b}_t , respectively. The state and the branch complexity profiles of the “minimal” trellis module are denoted by $\tilde{\boldsymbol{\nu}} = (\tilde{\nu}_0, \dots, \tilde{\nu}_{n-1})$ and $\tilde{\boldsymbol{b}} = (\tilde{b}_0, \dots, \tilde{b}_{n-1})$,

TABLE 1: Good convolutional codes of rate 2/3 and their effective free distances.

$TC(\tilde{M})$	d_{free}	\mathbf{d}_{eff}	$\tilde{\mathbf{b}}$	$\tilde{\nu}$	$G(D)$
10	2	2, 4	(1, 0, 1)	(2, 2, 2)	[1 1 3; 2 0 1]
	3	3, 3	(1, 1, 0)	(2, 2, 2)	[3 1 0; 0 3 1]
20	2	2, 5	(1, 1, 0)	(3, 3, 3)	[1 2 0; 4 3 3]
	4	4, 4	(1, 1, 0)	(3, 3, 3)	[3 2 1; 4 3 2]
32	2	2, 6	(1, 1, 0)	(3, 4, 4)	[1 0 2; 6 7 1]
	4	4, 5	(1, 1, 0)	(3, 4, 4)	[1 2 3; 4 5 3]
40	4	4, 6	(1, 1, 0)	(4, 4, 4)	[5 1 2; 2 7 3]
	5	5, 5	(1, 1, 0)	(4, 4, 4)	[7 3 2; 4 7 1]

3. CODE SEARCH RESULTS

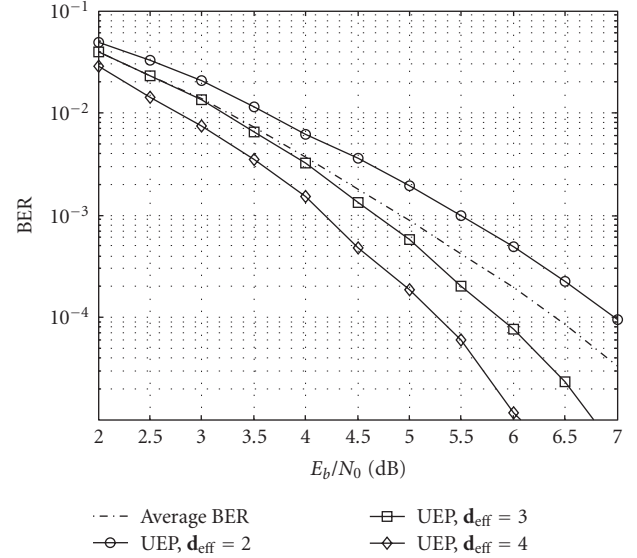
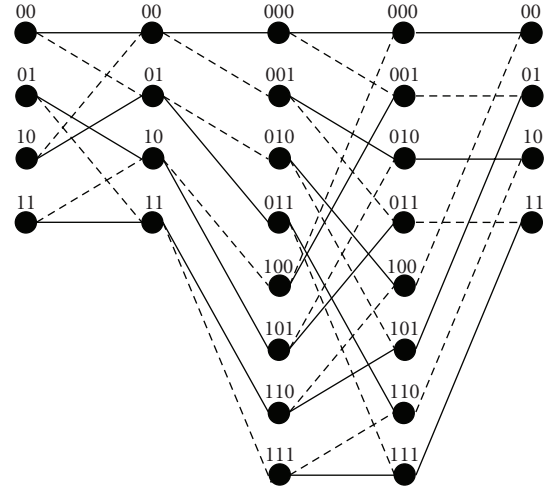
We conduct a refined code search within the class of GPCCs to obtain good convolutional codes with the UEP property for a prescribed “minimal” trellis complexity $TC(\tilde{M})$. The state complexity $\tilde{\nu}_t$ and branch complexity \tilde{b}_t of the “minimal” trellis module are calculated from the “matrix module” (or actually the scalar generator matrix) of the code in the minimal-span form, following the procedure described in [16]. Then $TC(\tilde{M})$ is obtained from (1), with $l_t = 1$ for all t . For a given code rate and $TC(\tilde{M})$, we provide distinct configurations of \mathbf{d}_{eff} satisfying the UEP property. As an example, to illustrate the code search conducted in this work, consider the (4, 3) GPCC with matrix module defined by

$$\begin{bmatrix} \bar{1} & 0 & 0 & 0 \\ 0 & 1 & \bar{1} & 0 \\ \underline{1} & 1 & 0 & \bar{1} \\ 0 & \underline{1} & 0 & 0 \\ 0 & 0 & \underline{1} & 1 \end{bmatrix}, \quad (5)$$

where only the nonzero rows are shown. The underlined (leading) and the overlined (trailing) 1’s in (5) are, respectively, the first nonzero entry and last nonzero entry in each row of G_{scalar} , which for this code is given by

$$G_{\text{scalar}} = \begin{bmatrix} \underline{1} & 1 & 0 & \bar{1} & 0 & 0 & 0 & 0 \\ 0 & \underline{1} & 0 & 0 & \bar{1} & 0 & 0 & 0 \\ 0 & 0 & \underline{1} & 1 & 0 & 1 & \bar{1} & 0 \\ & & & & \underline{1} & 1 & 0 & \bar{1} & 0 & 0 & 0 & 0 \\ & & & & 0 & \underline{1} & 0 & 0 & \bar{1} & 0 & 0 & 0 \\ & & & & 0 & 0 & \underline{1} & 1 & 0 & 1 & \bar{1} & 0 \\ & & & & & & & & \underline{1} & 1 & 0 & \bar{1} \\ & & & & & & & & 0 & \underline{1} & 0 & 0 & \ddots \\ & & & & & & & & & & 0 & 0 & \underline{1} & 1 \end{bmatrix}. \quad (6)$$

Notice that no column in (6) contains more than one leading 1’s or more than one underlined 1’s, thus this matrix has the LR property, which is equivalent to being in the minimal span-form [22, Theorem 6.11].


 FIGURE 1: Simulated BER of each input bit versus E_b/N_0 over the AWGN channel for the (4, 3) GPCC with $\mathbf{d}_{\text{eff}} = (2, 3, 4)$. The average BER curve is also shown.

 FIGURE 2: Minimum trellis module for the (4, 3) GPCC with $\mathbf{d}_{\text{eff}} = (2, 3, 4)$. The solid edges represent “0” codeword bits and the dashed edges represent “1” codeword bits.

According to the positions of the leading and trailing 1’s, the “minimal” trellis module of this GPCC has state and branch complexity profiles given by $\tilde{\nu} = (2, 2, 3, 3)$ and $\tilde{\mathbf{b}} = (1, 1, 1, 0)$, respectively, and trellis complexity $TC(\tilde{M}) = 13.33$ symbols per bit. The trellis module for this GPCC, constructed according to the rules introduced in [22], is shown in Figure 2. The effective free distance vector of this code is $\mathbf{d}_{\text{eff}} = (2, 3, 4)$, therefore presenting the UEP property. In order to measure the bit-error rate (BER) corresponding to a particular encoder input, we simulated this code over the AWGN channel with one-sided noise power spectral density N_0 , considering BPSK modulation with transmitted energy per information bit E_b and soft decision decoding using

TABLE 2: Good convolutional codes of rate 2/5 and their effective free distances.

$TC(\widetilde{M})$	d_{free}	\mathbf{d}_{eff}	$\widetilde{\mathbf{b}}$	$\widetilde{\gamma}$	$G(D)$
14	4	4, 6	(1, 0, 1, 0, 0)	(2, 2, 2, 2, 2)	[3 1 0 1 0; 2 2 3 1 1]
	5	5, 5	(1, 0, 1, 0, 0)	(2, 2, 2, 2, 2)	[3 1 1 1 0; 0 2 3 1 1]
28	6	6, 8	(1, 0, 1, 0, 0)	(3, 3, 3, 3, 3)	[3 3 2 1 0; 4 2 3 3 3]
	7	7, 7	(1, 0, 1, 0, 0)	(3, 3, 3, 3, 3)	[3 3 3 1 0; 4 2 1 3 3]
48	6	6, 9	(1, 0, 1, 0, 0)	(3, 4, 4, 4, 4)	[1 1 2 2 3; 4 6 5 3 3]
	7	7, 8	(1, 0, 1, 0, 0)	(3, 4, 4, 4, 4)	[1 1 3 3 3; 4 6 5 1 3]
72	8	8, 10	(1, 0, 1, 0, 0)	(4, 5, 4, 5, 4)	[7 6 6 7 0; 4 6 1 3 3]
	9	9, 9	(1, 0, 1, 0, 0)	(4, 5, 4, 5, 4)	[5 7 5 7 0; 6 4 3 3 3]

TABLE 3: Good convolutional codes of rate 3/4 and their effective free distances.

$TC(\widetilde{M})$	d_{free}	\mathbf{d}_{eff}	$\widetilde{\mathbf{b}}$	$\widetilde{\gamma}$	$G(D)$
9.33	2	2, 2, 4	(1, 1, 1, 0)	(2, 2, 2, 2)	[1 0 1 0; 2 1 0 0; 2 2 1 1]
	2	2, 3, 3	(1, 1, 1, 0)	(2, 2, 2, 2)	[1 0 1 0; 2 1 1 0; 0 2 1 1]
13.33	2	2, 3, 4	(1, 1, 1, 0)	(2, 2, 3, 3)	[1 1 0 1; 2 1 0 0; 0 2 3 1]
	3	3, 3, 3	(1, 1, 1, 0)	(2, 2, 3, 3)	[1 1 0 1; 2 1 1 0; 0 0 3 1]
37.33	2	2, 4, 5	(1, 1, 1, 0)	(4, 4, 4, 4)	[1 3 0 1; 0 1 2 0; 4 0 3 3]
	3	3, 3, 5	(1, 1, 1, 0)	(4, 4, 4, 4)	[3 3 1 0; 0 1 3 0; 4 2 3 3]
	4	4, 4, 4	(1, 1, 1, 0)	(4, 4, 4, 4)	[1 3 1 0; 0 3 2 1; 6 2 1 3]
42.67	2	2, 5, 5	(1, 1, 1, 0)	(4, 4, 4, 5)	[1 2 0 0; 0 3 2 3; 6 0 3 1]
	4	4, 4, 5	(1, 1, 1, 0)	(4, 4, 4, 5)	[3 2 0 1; 0 3 3 2; 4 0 1 3]

the Viterbi algorithm. Figure 1 shows the BER versus E_b/N_0 associated with each one of the three encoder inputs as well as the average BER. From the figure, we can see that the three inputs present quite different error protection levels. The input with effective free distance $d_2 = 4$ requires an E_b/N_0 of around 6 dB to achieve a BER of 10^{-5} , which is almost 1 dB better than the performance of the input with effective free distance $d_1 = 3$. By its turn, this input with $d_1 = 3$ performs around 1.5 dB better than the input with effective free distance $d_0 = 2$, at the same BER level.

By keeping fixed the underlined and overlined 1's in (5) or (6) we maintain the same $TC(\widetilde{M})$, even if we vary the other row elements between these underlined and overlined 1's. By doing so, then we can search through this template (which is defined by the fixed positions of the underlined and overlined 1's) for new GPCCs with the same $TC(\widetilde{M})$. Now consider the best (4, 3) GPCC in terms of d_{free} for the template in (6) or (5) with $TC(\widetilde{M}) = 13.33$ symbols per bit. This code has $\mathbf{d}_{\text{eff}} = (3, 3, 3)$. For such an EEP code, the error performances for the three inputs are very similar, requiring an E_b/N_0 of around 7 dB for achieving a BER of 10^{-5} . Therefore, the proposed GPCC with the UEP property can be directly applied to hierarchical sources not only with the same complexity cost but also without requiring any modifications in the topology of the "minimal" trellis used for decoding, increasing the implementation flexibility.

Similar results can be obtained for different code rates and trellis complexities. In Tables 1, 2, 3, 4, 5, a refined list of good GPCCs, and their respective effective distances \mathbf{d}_{eff} , is shown for different code rates and different values of $TC(\widetilde{M})$. The codes are specified by the polynomial generator matrix

$G(D)$, which is given in octal form, where the highest power in D is in the most significant bit of the representation (e.g., $6 \equiv D + D^2$). Note that some of the codes in the tables are EEP codes, since in our search procedure we did not restrict ourselves to UEP codes. So, when an EEP code is listed in a table, it means that no GPCC with the UEP property could be found for that d_{free} and that $TC(\widetilde{M})$. From the analysis of the results listed in the tables we can make some remarks. First, consider the two codes with $TC(\widetilde{M}) = 10$ symbols per bit listed in Table 1. The second code in the table is an EEP code with $\mathbf{d}_{\text{eff}} = (3, 3)$, while the first one has $\mathbf{d}_{\text{eff}} = (2, 4)$. Therefore, in going from the second code to the first code, the effective free distance d_0 is decreased while d_1 is improved. In other words, the overall d_{free} had to be reduced in this case to produce an UEP code with the same $TC(\widetilde{M})$. We can find many of these examples in the tables. However, doubling the "minimal" trellis complexity to $TC(\widetilde{M}) = 20$ symbols per bit in this case may either increase further the protection of the second input bit or increase the overall d_{free} with an EEP code. As far as the protection of the second bit is concerned, in going from the code with $\mathbf{d}_{\text{eff}} = (2, 4)$ to the code with $\mathbf{d}_{\text{eff}} = (2, 5)$, thus doubling $TC(\widetilde{M})$, yields an E_b/N_0 improvement of 0.7 dB at a BER of 10^{-5} (simulation not shown).

The comparison of a code with the UEP property with another one having the EEP property with the same $TC(\widetilde{M})$ can be done for other rates as well. There are cases where the adoption of the UEP code yields a significant reduction of some of the effective free distances. For example, the case of $TC(\widetilde{M}) = 56$ symbols per bit in Table 5. The first code has $\mathbf{d}_{\text{eff}} = (2, 2, 2, 5)$, while the second code has $\mathbf{d}_{\text{eff}} = (4, 4, 4, 4)$.

TABLE 4: Good convolutional codes of rate 3/5 and their effective free distances.

$TC(\tilde{M})$	d_{free}	\mathbf{d}_{eff}	$\tilde{\mathbf{b}}$	$\tilde{\gamma}$	$G(D)$
10.67	2	2, 4, 4	(1, 0, 1, 0, 1)	(2, 2, 2, 2, 2)	[1 1 0 0 0; 2 0 1 1 1; 0 2 0 2 3]
	3	3, 3, 4	(1, 0, 1, 0, 1)	(2, 2, 2, 2, 2)	[1 1 1 0 0; 2 0 1 1 0; 2 0 0 2 3]
24.00	3	3, 6, 3	(1, 1, 0, 1, 0)	(3, 3, 4, 3, 3)	[3 0 0 0 1; 2 3 3 1 0; 2 0 0 3 1]
	4	4, 5, 5	(1, 1, 0, 1, 0)	(3, 3, 4, 3, 3)	[3 1 0 1 1; 0 3 3 0 1; 0 0 2 3 1]
37.33	4	4, 6, 6	(1, 1, 0, 1, 0)	(4, 4, 4, 3, 4)	[3 2 1 1 1; 0 3 1 2 3; 0 0 2 3 1]
	5	5, 5, 5	(1, 1, 0, 1, 0)	(4, 4, 4, 3, 4)	[3 3 0 1 0; 0 1 3 3 2; 2 0 2 3 1]
48.00	4	4, 4, 7	(1, 1, 0, 1, 0)	(4, 4, 5, 4, 4)	[1 2 2 1 0; 0 1 1 2 1; 6 0 2 3 3]
	6	6, 6, 6	(1, 1, 0, 1, 0)	(4, 4, 5, 4, 4)	[1 3 2 1 1; 2 1 3 3 0; 6 2 2 3 3]

TABLE 5: Good convolutional codes of rate 4/5 and their effective free distances.

$TC(\tilde{M})$	d_{free}	\mathbf{d}_{eff}	$\tilde{\mathbf{b}}$	$\tilde{\gamma}$	$G(D)$
14	2	2, 3, 3, 3	(1, 1, 1, 1, 0)	(2, 2, 3, 3, 3)	[1 0 0 1 0; 0 1 0 1 1; 2 0 1 0 1; 0 2 2 1 1]
	2	2, 2, 2, 4	(1, 1, 1, 1, 0)	(2, 2, 3, 3, 3)	[1 0 0 1 0; 0 1 0 0 1; 2 0 1 0 0; 2 2 2 1 1]
18	2	2, 2, 4, 4	(1, 1, 1, 1, 0)	(3, 3, 3, 3, 3)	[1 0 0 1 0; 2 1 0 1 1; 0 2 1 0 0; 0 2 2 1 1]
	3	3, 3, 3, 3	(1, 1, 1, 1, 0)	(3,3,3,3,3)	[1 0 1 1 0; 2 1 0 0 1; 2 2 1 0 0; 0 0 2 1 1]
24	2	2, 4, 4, 4	(1, 1, 1, 1, 0)	(3, 3, 3, 4, 4)	[1 1 1 0 1; 2 1 0 0 0; 0 2 1 1 1; 0 0 2 3 1]
	3	3, 3, 3, 4	(1, 1, 1, 1, 0)	(3, 3, 3, 4, 4)	[1 1 1 0 1; 2 1 0 1 0; 2 2 1 1 0; 2 0 2 3 1]
56	2	2, 2, 2, 5	(1, 1, 1, 1, 0)	(4, 4, 5, 5, 5)	[3 0 1 1 0; 0 3 3 1 1; 2 0 3 2 1; 0 0 2 3 3]
	4	4, 4, 4, 4	(1, 1, 1, 1, 0)	(4, 4, 5, 5, 5)	[3 1 0 1 0; 0 3 2 0 1; 0 0 3 2 1; 2 2 0 3 3]

Thus, in going from the second code to the first code three of the input bits had their effective free distances decreased by two in order to have the effective free distance of the fourth input bit increase by only one. On the other hand, we can also find examples where most of the effective free distances are improved, as is the case in going from the code with $\mathbf{d}_{\text{eff}} = (5, 5, 5)$ to the code with $\mathbf{d}_{\text{eff}} = (4, 6, 6)$, both with $TC(\tilde{M}) = 37.33$ symbols per bit, in Table 4.

It is also possible to improve the error protection of one input bit without sacrificing the error protection of the other input bit by increasing $TC(\tilde{M})$. This is the case in going from the code with $\mathbf{d}_{\text{eff}} = (4, 5)$ to the code with $\mathbf{d}_{\text{eff}} = (4, 6)$ in Table 1. Anyhow, the UEP requirements of the particular application will tell which code is more appropriate. For example, the two codes with $TC(\tilde{M}) = 14$ symbols per bit and the same d_{free} in Table 5 have quite different error protection profiles.

When considering the minimal trellis complexity $TC(\tilde{M})$ in the search criterion several codes which are not listed in the literature can be found. That is because when considering the typical encoder memory order criterion the leaps between available decoding complexity values are very large (the complexity doubles for each additional memory in the encoder). The $TC(\tilde{M})$ -based criterion allows for an increased granularity in the list of decoding complexity values, as can be seen in Tables 1–5 in the manuscript. Therefore, the proposed criterion and the resultant UEP codes give a much larger flexibility for the system designer.

Finally, it is important to mention that \mathbf{d}_{eff} in this paper has been obtained from the conventional, time-invariant trellis of the convolutional codes. It is easy to see that if the conventional trellis is obtained from the same “matrix

module” in (4) (in the minimal-span form) used to obtain the “minimal” trellis, then the mapping from information bits to coded bits inferred from the edge labeling in the “minimal” trellis module (see [16]) is the same as the one in the conventional trellis of the convolutional code. Therefore, \mathbf{d}_{eff} can be obtained from the conventional trellis, avoiding the explicit construction of the “minimal” trellis module.

4. CONCLUSIONS

This paper has considered the problem of providing unequal error protection to a binary data stream transmitted over a noisy channel via a single error-correcting code with low decoding complexity. It thus embraces a number of important applications that require different levels of protection for their bits. For this purpose, we have proposed the recently introduced class of generalized punctured convolutional codes and we have taken McEliece and Lin’s [16] decoding complexity measure, namely, the number of symbols per information bit in the “minimal” trellis module for the code. A code search has been conducted and new good convolutional codes endowed with unequal error protection have been tabulated for a variety of code rates and “minimal” trellis complexities. It is seen through computer simulations that the E_b/N_0 required to achieve a bit-error rate of 10^{-5} with a simple rate 3/4 convolutional code can differ in as much as 2 dB, depending on which of the three encoder inputs we are referring to, and the most protected encoder input requires about 1.5 dB lesser E_b/N_0 than what is required to achieve the same bit-error rate averaging over all encoder inputs. This example gives an idea of the unequal error protection capability of the new codes. The low decoding complexity,

resulting from the adoption of the “minimal” trellis for the code, makes these codes attractive for practical applications.

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