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Intra-pulse modulation recognition using short-time ramanujan Fourier transform spectrogram

Xiurong Ma*, Dan Liu and Yunlong Shan

Abstract

Intra-pulse modulation recognition under negative signal-to-noise ratio (SNR) environment is a research challenge. This article presents a robust algorithm for the recognition of 5 types of radar signals with large variation range in the signal parameters in low SNR using the combination of the Short-time Ramanujan Fourier transform (ST-RFT) and pseudo-Zernike moments invariant features. The ST-RFT provides the time-frequency distribution features for 5 modulations. The pseudo-Zernike moments provide invariance properties that are able to recognize different modulation schemes on different parameter variation conditions from the ST-RFT spectrograms. Simulation results demonstrate that the proposed algorithm achieves the probability of successful recognition (PSR) of over 90% when SNR is above -5 dB with large variation range in the signal parameters: carrier frequency (CF) for all considered signals, hop size (HS) for frequency shift keying (FSK) signals, and the time-bandwidth product for Linear Frequency Modulation (LFM) signals.

Keywords: Intra-pulse modulation recognition, Pseudo-Zernike moments, Short-Time Ramanujan Fourier Transform, Probability of successful recognition

1 Introduction

Intra-pulse modulation recognition aiming at recognizing the intentional intra-pulse modulation type of radar signals plays a critical role in modern intercept receivers, which could be used to recognize the signal threat level and choose the optimal algorithm to estimate parameters of the detected signal [1].

In intra-pulse modulation recognition context, more interest has been focused on the study of the feature based (FB) algorithms [2–5]. Thereinto, as a significant means to FB, the time-frequency analysis has been developed because it allows description of the instantaneous characteristics of a signal in the two-dimensional (2D) time-frequency space [6–15]. The authors in [9] proposed a robust method for radar emitter recognition based on the Wigner–Ville distribution (WVD) and transfer learning, the average recognition rate (ARR) reaches more than

90% when signal-to-noise ratio (SNR) is 10 dB. In [10], Gustavo Lopez-Risueno et al. proposed an algorithm based on Short-time Fourier transform (STFT) to distinguish No Modulation, phase shift keying (PSK), frequency shift keying (FSK) and linear frequency modulation (LFM) sweeping a narrow band, it performs well when SNR is around 10 dB. In [11], a morphological operation based method had been exploited for a recognition of constant hop size (HS), constant time-frequency product, and carrier frequency (CF) ranging from 500 MHz to 1GHz intra-pulse modulations, the accuracy can reach more than 95% for SNRs above -4 dB. In [12], Deguo Zeng et al. proposed an approach based on the ambiguity function to recognize six types of modulations, and it suitable for a recognition of LFM signals with bandwidth sweeping from 2 MHz to 15 MHz, pulse-width (PW) equaling 3.5 and 7 μ s when SNRs above -1 dB. In [13], the authors utilized the Rihaczek distribution and the Hough transform (HT) to discriminate Monopulse (MP) and binary phase shift keying (BPSK) signals with limited CF, binary frequency shift keying (2FSK) and 4-ary frequency shift keying (4FSK) signals with limited HS, and LFM with large time-

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bandwidth product ranging from 17.5 to 65, their simulation results show that the probability of successful recognition (PSR) is greater than 90% when the SNR is above -4 dB. However, these approaches suffer from low PSR under negative SNR environment, especially have certain limitations for recognizing radar signals with large variation range on CF, HS and time-bandwidth product in the complicated noise condition. Therefore, it is paramount to explore new robust algorithms to obtain high PSR under conditions of low SNR and to recognize signals in a large variation range of signal parameters.

Recently, the concept of Ramanujan Fourier Transform(RFT) based time-frequency transform, namely Short-time Ramanujan Fourier transform(ST-RFT) has been investigated owing to the good immunity to noise interference of RFT functions [16–18]. Following this, the time-frequency analysis of signals based on RFT was considered in a letter by Sugavaneswaran [19]. Their research indicates that in the presence of noise this class of transforms has lower effect in comparison to Discrete Fourier Transform (DFT) based time-frequency transforms. Consequently, regarding the noise robustness, the ST-RFT is more efficient than the traditional DFT based time-frequency transform, and is a promising solution for intra-pulse modulation recognition under low SNR.

Nonetheless, how to realize an efficient recognition procedure for radar signals with large parameter variation range is still a challenging problem. The pseudo-Zernike moments have opened a wider set of applications for radar signal recognition in recent years, because the moments can provide potentially useful invariance properties such as translation, scale, and rotational invariance [20, 21]. In [22], Jarmo Lundén, et al. examined the suitability of pseudo-Zernike moments as features for radar waveform recognition, In [23], a new radar classification algorithm based on STFT and pseudo-Zernike moments features is proposed. Inspired by the aforesaid background, the pseudo-Zernike moments are beneficial to realize intra-pulse modulation recognition in scenarios with large variation in the signal parameters.

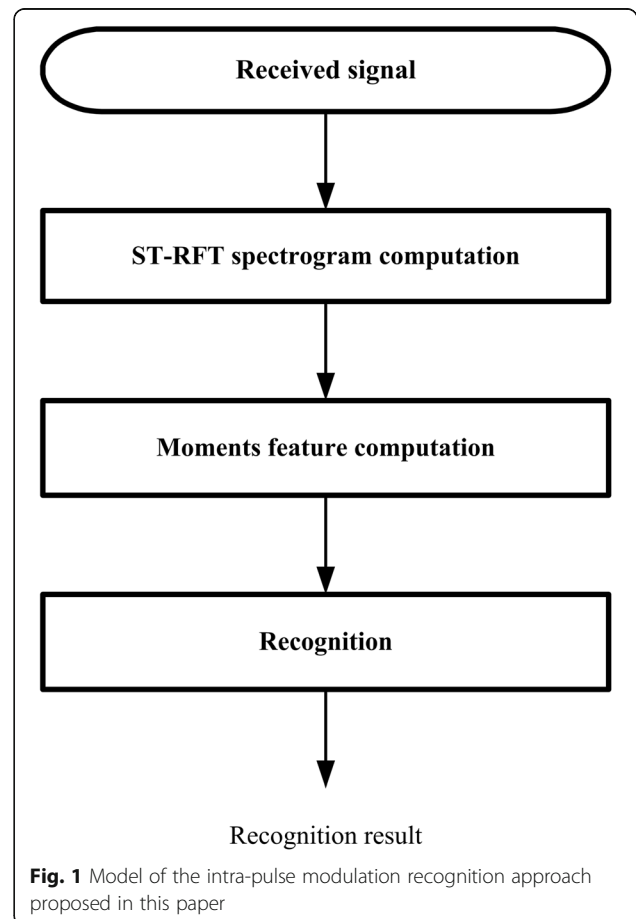
The objective in this article is to develop a novel method which contains a “ST-RFT spectrogram computation”, a “moments feature computation” and a “recognition” to realize a classification of MP, LFM, BPSK, 2FSK and 4FSK signals with large variation range in the signal parameters: CF, HS and time-frequency product under negative SNR. The first part is used to obtain the ST-RFT spectrograms, which can represent features of intra-pulse modulation signals even when the SNR is low. In the second part, the pseudo-Zernike moments features are used to extract information on spectrograms, which can provide invariance properties that are able to recognize different modulations when parameters change. The last part is used to classify 5 modulations in detail. Simulation results have showed

that the recognition algorithm achieves very reliable performance: over 90% PSR when SNR is above -5 dB with CF ranges from 800 MHz to 1600 MHz, HS ranges from 60 MHz to 1000 MHz, and the time-bandwidth product ranges from 8 to 500.

The rest of the article is organized as follows. Section 2 proposes an intra-pulse modulation recognition model. Section 3 defines the mathematical model of the ST-RFT spectrogram and presents the spectrogram features for all the modulation schemes under consideration. Section 4 focuses on the mathematical model of pseudo-Zernike moments computation and describes the process of moments feature selection. Section 5 presents the proposed recognition algorithm. Simulation results are presented and discussed in Section 6. Finally, conclusions are presented in Section 7.

2 System model

An intra-pulse modulation recognition approach based on Short-Time Ramanujan Fourier Transform (ST-RFT) and pseudo-Zernike moments feature is proposed in this paper. The system model of the proposed approach is shown in Fig. 1.



Three parts are included in this research: ST-RFT spectrogram computation, moments feature computation, and recognition. The ST-RFT analysis is a preprocess of moments feature computation, which could be used to obtain the ST-RFT spectrograms so as to represent features of intra-pulse modulation signals under negative SNR.

In the moments feature computation part, pseudo-Zernike moments features selected based on the degree of overlapping between each pair of classes of the signal data set are extracted from the spectrograms for its good invariance properties, which consist of $\psi_{3,3}$ feature and $\psi_{2,0}$, $\psi_{5,1}$ features.

After $\psi_{3,3}$ feature computation, intra-pulse modulation signals are described by vectors. These describing vectors are used for recognition by using threshold decision. Furthermore, after $\psi_{2,0}$ and $\psi_{5,1}$ features computation, intra-pulse modulation signals are described by matrices, which are used for recognition by using KNN classifier [22].

3 Mathematical model of ST-RFT spectrogram and ST-RFT spectrogram features

3.1 Ramanujan Fourier transform (RFT)

In the classical DFT, the basis functions $e_p(n)$ are defined as [16]

$$e_p(n) = \exp\left(2j\pi \frac{p}{q} n\right), p = 1, \dots, q. \quad (1)$$

It is clear from (1), $e_p(n)$ are obtained as multiples of a basis frequency $(1/q)$.

In the RFT, Ramanujan sums(RS) $c_q(n)$ are sums defined as the n -th powers of q -th primitive roots of unity [23]

$$c_q(n) = \sum_{p=1; (p,q)=1}^q \exp\left(2j\pi \frac{p}{q} n\right). \quad (2)$$

It can be observed that $c_q(n)$ are the sums over the primitive characters $e_p(n)$. In other words, the basis functions are built by summing up components which are multiples of the same periodicity q , and only components satisfying $(p, q) = 1$ contribute to the sum.

The sums were introduced by Ramanujan to play the role of base functions over which typical arithmetical functions $s(n)$ may be projected

$$s(n) = \sum_{q=1}^{\infty} s_q c_q(n) \quad (3)$$

It is obvious that an arithmetical function $s(n)$ is an infinite sequence defined for $1 \leq n \leq \infty$ for RFT, rather than that for DFT which is taken with a finite n shown in [24].

The s_q is referred to as the RFT coefficient given by [25]

$$s_q = \frac{1}{\phi(q)} \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N s(n) c_q(n), \quad (4)$$

which is what we called the RFT.

Meanwhile, one can write the Wiener-Khinchine formula according to [26], and the linear property and the frequency multiplication property of RFT can be readily obtained.

3.2 ST-RFT spectrogram computation

In this paper, the ST-RFT is used to extract the necessary features of 5 modulations for intra-pulse modulation recognition. The reasons for this choice is that as a windowed RFT function, the ST-RFT transform allows simultaneous description of a signal in time and frequency so that the temporal evolution of the signal spectrum can be analyzed in the time-frequency space.

For an arbitrary discrete-time signal $s(n)$ of length N , the ST-RFT of the signal is defined as

$$ST-RFT_s(k, q) = \frac{1}{\phi(q)} \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N s(n) \phi^*(n-k) c_q(n), \quad (5)$$

where $\phi(k)$ is the Rectangular window function of length H , and $\phi(0) = 1$.

Then the ST-RFT spectrogram $S_s(k, q)$ defined as the squared absolute value of the ST-RFT of $s(n)$ is given by

$$S_s(k, q) = |ST-RFT_s(k, q)|^2. \quad (6)$$

In the present work here, we take MP signal as an example to illustrate the deduction of the ST-RFT spectrogram expression of 5 modulations: MP signal, LFM signal, BPSK signal, 2FSK signal and 4FSK signal.

Considering the following continuous-time MP signal

$$s_{MP}(t) = A e^{j(2\pi \frac{1}{T} t + \phi_0)}, \quad (7)$$

where f_c is the carrier frequency(CF), $T = \frac{1}{f_c}$ is the period of the continuous-time signal, A and ϕ_0 are the amplitude and the initial phase of MP separately.

For a sampling interval of T_s (the sampling frequency (SF) is $f_s = \frac{1}{T_s}$), the discrete representation of signal (7) then becomes

$$s_{MP}(nT_s) = A e^{j(2\pi n \frac{T_s}{T} + \phi_0)}. \quad (8)$$

Let us represent $\frac{T_s}{T}$ as $\frac{1}{T_0}$, the expression of (8) can be given as

$$s_{MP}(n) = A e^{j\left(2\pi n \frac{1}{T_0} + \phi_0\right)}, \quad (9)$$

where T_0 represents the number of samples in one cycle can be written as $T_0 = \frac{f_s}{f_c}$.

Using Eq. (5), the ST-RFT of MP can be expressed as

$$ST-RFT_{MP}(k, T_0) = \frac{Ae^{j\left(\frac{2\pi(-k+1)}{T_0} + \phi_0\right)}}{\phi(T_0)}, \quad (10)$$

in the case of $q = T_0$.

Substituting Eq. (10) into Eq. (6), the ST-RFT spectrogram of MP becomes

$$S_{MP}(k, T_0) = |ST-RFT_{MP}(k, T_0)|^2 = \frac{A^2}{(\phi(T_0))^2}. \quad (11)$$

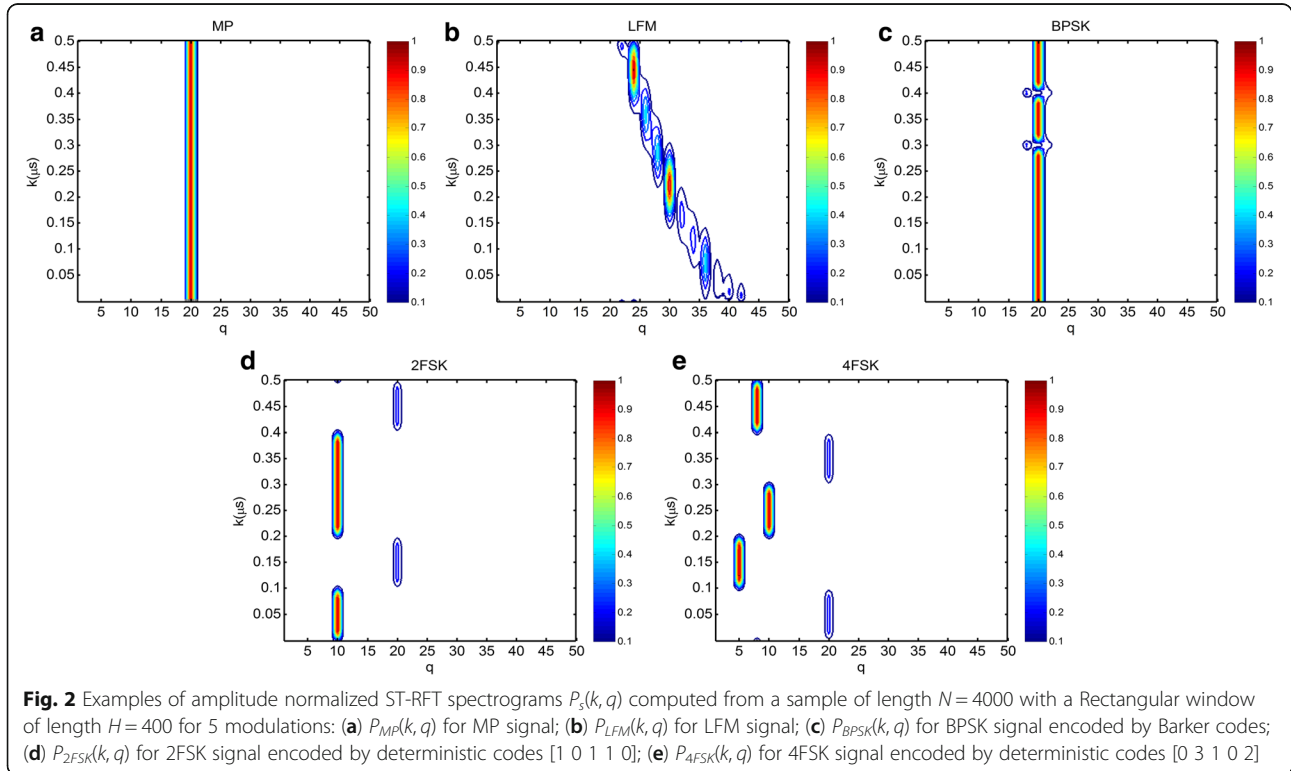
3.3 ST-RFT spectrogram features

3.3.1 Analysis of ST-RFT spectrogram features

In practice, there exists a tradeoff between time and frequency resolution when determining the window length (the duration of window), that is to say, a long duration of window will provide a poor frequency resolution and vice versa. Through a series of simulation experiments, a Rectangular window of length $H = \frac{4000}{10} = 400$ is selected, which can provide the best frequency resolution-time resolution tradeoff for 5 modulations above-mentioned. Examples of amplitude normalized ST-RFT spectrograms $P_s(k, q)$ (a normalization with

respect to its maximum value of each ST-RFT spectrogram $S_s(k, q)$) of 5 modulations computed from a sample of length $N = 4000$ with a Rectangular window of length $H = 400$ are shown in Fig. 2a-e. The contours on the plot represent relative magnitude with the horizontal axis as q and the vertical axis as $k(\mu s)$.

Figure 2 shows the amplitude normalized ST-RFT spectrograms $P_s(k, q)$ reflecting time-frequency distribution features of 5 types of modulation signals. Fig. 2a shows the $P_{MP}(k, q)$ for MP signal. Ideally, there would be a straight line centred about T_0 in k - q plane as the Eq. (11) implied. By contrast, Fig. 2a shows the line to be spread out in q direction at the expense of reduced frequency resolution, and the peak energy is mainly concentrated in the location of T_0 . The $P_{LFM}(k, q)$ for LFM signal with chirp rate $u = 300$ as depicted in Fig. 2b. Based on the observation of the spectrogram, the spectrum line can be approximated by a piecewise line starting at T_0 and finishing at $T_0 - i$, where $i = 1, 2, \dots, T_0 - 1$, and each segment reflects the change of its frequency and phase. The $P_{BPSK}(k, q)$ for BPSK signal shown in Fig. 2c illustrates that the amplitude of spectrum obtains the minimum at instant of time of phase conversion, and in the duration of intercode, the $P_{BPSK}(k, q)$ is the same as the $P_{MP}(k, q)$. The $P_{2FSK}(k, q)$ for 2FSK signal can be seen in Fig. 2d, which has five vertical line segments centered about T_0 and T_1 in k - q



plane embodying the number of frequency points, while for the $P_{4FSK}(k, q)$ of 4FSK signal has 5 ones centered about T_0, T_2, T_1, T_0, T_3 in k - q plane as shown in Fig. 2e.

In summary, the contours on the plot show different spectrogram features of 5 modulations. Hence, the ST-RFT spectrograms can serve as a discriminating feature.

3.3.2 Analysis of discriminability

The parameter R giving the similarity degree between two amplitude normalized spectrogram $P_{s1}(k, q)$ and $P_{s2}(k, q)$ is defined as

$$R = \frac{\sum_{k=1}^N \sum_{q=1}^N (P_{s1}(k, q) \times P_{s2}(k, q))}{\sqrt{\sum_{k=1}^N \sum_{q=1}^N (P_{s1}(k, q))^2} \sqrt{\sum_{k=1}^N \sum_{q=1}^N (P_{s2}(k, q))^2}}. \quad (12)$$

The similarity degree is bounded, $0 \leq R \leq 1$.

The similarity between any two amplitude normalized ST-RFT spectrograms computed by Eq. (12) with respect to different modulations in the absence of noise is depicted in Table 1. Intuitively, the MP and BPSK signals are difficult to distinguish from each other due to the fact that the spectrograms of the two modulations are similar enough with a similarity degree of 0.9341. In addition, for MP and LFM signals as well as LFM and BPSK signals, the corresponding R are 0.4312 and 0.4544 respectively that means this feature is considered not reliable to provide an effective method of signal differentiation.

Furthermore, the theoretical analysis in Section 3.2 indicates that the location of the ST-RFT spectral peak will be shifted induced by the variation of CFs of the input signals, then alter the feature value $P_s(k, q)$ and will finally influence the recognition results.

To tackle these problems, we propose a novel signal recognition method that is based on the combination of the ST-RFT spectrogram and the pseudo-Zernike moments.

Table 1 Similarity between two $P_s(k, q)$ with respect to different modulations in the absence of noise

Type	MP	LFM	BPSK	2FSK	4FSK
MP	1	0.4312	0.9341	0.1241	0.1286
LFM		1	0.4544	0.0480	0.0750
BPSK			1	0.1248	0.1291
2FSK				1	0.3258
4FSK					1

4 Mathematical model of pseudo-Zernike moments and moments feature selection

4.1 Mathematical model of pseudo-Zernike moments

Moments have been widely used in image processing for pattern recognition due to its useful invariance properties such as translation, scale, and rotational invariance [21, 27]. Such features capture global information about the image and do not require closed boundaries as boundary-based methods such as Fourier descriptors [27].

The formation of polar coordinates of the pseudo-Zernike moments for $f(x, y)$ can be obtained by projecting $f(x, y)$ onto orthogonal pseudo-Zernike polynomials $R_{e,m}(\rho)e^{ie\theta}$, by the integral [28].

$$\psi_{e,m} = \frac{e+1}{\pi} \int_0^{2\pi} \int_0^1 R_{e,m}(\rho) e^{-im\theta} f(\rho \cos\theta, \rho \sin\theta) \rho d\rho d\theta, \quad (13)$$

where $\rho = \sqrt{x^2 + y^2}$ represents the distance from the origin to a point in the x - y plane, and $\theta = \arctan \frac{y}{x}$ is a counterclockwise angular displacement in radians from the positive x -axis. $R_{e,m}(\rho)$ are the radial polynomials expressed as

$$R_{e,m}(\rho) = \sum_{l=0}^{e-|m|} (-1)^l \frac{(2e+1-l)!}{l!(e+|m|+1-l)!(e-|m|-l)!} \rho^{e-l}, \quad (14)$$

where $e = 0, 1, 2, \dots, \infty$ is the degree of the polynomial, m represents its angular dependence, which takes on positive and negative integer values subject to $e \geq |m|$ only.

4.2 The translation invariance of pseudo-Zernike moments

The translation invariance [27] of the pseudo-Zernike moments is suitable to be applied in illustrating effects of the variation of CFs and is utilized as time-frequency spectrogram features in radar signal classification.

For the amplitude normalized spectrogram $P_s(k, q)$ of the 5 modulations, the translation invariance is done by transforming the original time-frequency spectrogram $P_s(k, q)$ into another one which is $P_s(k + \bar{k}, q + \bar{q})$, where \bar{k} and \bar{q} are the centroid location of $P_s(k, q)$ computed from

$$\bar{k} = \frac{m_{10}}{m_{00}}, \bar{q} = \frac{m_{01}}{m_{00}}, \quad (15)$$

where m_{00} is the zero order moment defined as $m_{00} = \sum_k \sum_q P_s(k, q)$, m_{01} and m_{10} are first order moments, given by $m_{10} = \sum_k \sum_q k P_s(k, q)$ and $m_{01} = \sum_k \sum_q q P_s(k, q)$.

In other words, the origin is moved to centroid before moment computation.

In the present work here, a parameter δ is presented to illustrate the translation invariance of the pseudo-Zernike moments is suitable to be applied in signal recognition when CFs change.

$$\delta = \left| \psi_{e_1, m_1} \right|_{CF=1600\text{MHz}} - \left| \psi_{e_1, m_1} \right|_{CF=1600\text{MHz}}, i = 1, 2, \quad (16)$$

where $\psi_{e_1, m_1} = \psi_{2,0}, \psi_{e_2, m_2} = \psi_{4,2}$

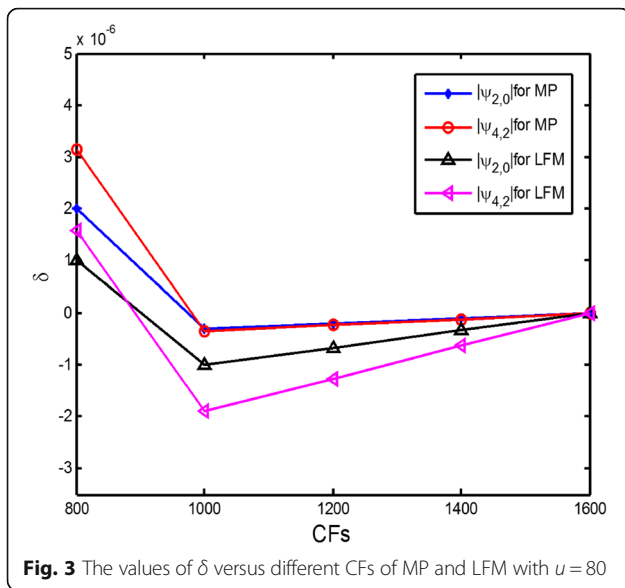
As Fig. 3 shows, the maximum variations of δ in $|\psi_{2,0}|$ for MP and LFM signals are 2.31×10^{-6} and 2×10^{-6} , and the maximum variations of δ in $|\psi_{4,2}|$ for MP and LFM signals are 3.5×10^{-6} and 3.48×10^{-6} , all the values are very small. Consequently, the features are nearly invariant to CFs changing and are feasible for signal classification with the variation in the signal CFs.

4.3 Pseudo-Zernike moments feature selection

The overlap measure indicates the degree of overlapping between two clusters, which can be quantified by computing an inter-cluster overlap [29]. A definition of the overlap rate (OLR) was proposed in [30], which is utilized as representative of the degree of overlap between the given two clusters C_i and C_j . The OLR is determined by the ratio of the number of the overlap points to that the number of small cluster's points.

$$OLR(C_i, C_j) = \begin{cases} 1, & \text{if } N_{\text{Over-Region}} \geq N_{\min}, \\ \frac{N_{\text{Over-Region}}}{N_{\min}}, & \text{others.} \end{cases} \quad (17)$$

where $N_{\text{Over-Region}}$ represents the number of the overlap points, N_{\min} is the minimum value of N_i and N_j , which stands for the number of points in each cluster



separately. The $OLR(C_i, C_j)$ varies from 0 to 1, the closer the $OLR(C_i, C_j)$ is to 0, the better the cluster separation is. Conversely, the closer the $OLR(C_i, C_j)$ is to 1, the two clusters become more strongly overlapped.

In the following, three pseudo-Zernike moment features based on the average value of $OLR(OLR')$ are proposed for signal recognition. Here the signal data projected onto the 2-D/4-D feature space is obtained by testing all features of the pseudo-Zernike moments ranging from order 1 to order 6.

The algorithm of the moments feature selection for distinguishing LFM with the time-bandwidth between 8 and 500 in the case of SNR varying from -5 dB to 5 dB from the rest of signals is summarized as follows:

Algorithm moments feature selection for LFM signal distinction

$8 \leq ut^2 \leq 500$

Input: $s\{MP, BPSK, LFM, 2FSK, 4FSK\}$

1. **Repeat** for $L = 1, 2, \dots, 50$ (update the simulation times)

2. Update $P_{s_i, d, ut^2}^{(L)}(k + \bar{k}, q + \bar{q})$ by using Eq. (6) for each d and ut^2

3. Update $\psi_{e, m}^{(L)}(P_{s_i, d, ut^2})$ by using Eqs. (13) and (14) for each e

4. **if** $ut^2 > 8$ and $ut^2 \leq 40$

5. Update the $OLR'_{d, ut^2}^{(L)}(imag(\psi_{e, m}))$ by using Eq. (17) and

$OLR'_{d, ut^2}^{(L)}(imag(\psi_{e, m})) = \frac{OLR_{d, ut^2}^{(L)}(imag(\psi_{e, m}))}{4}$ for each e and d

6. Jointly update the minimum of $OLR'_{d, ut^2}^{(L)}$ and the corresponding $imag^{(L)}(\psi_{e, m})$

7. **else** update the $OLR'_{d, ut^2}^{(L)}(real(\psi_{e, m}))$ by using Eq. (17) and

$OLR'_{d, ut^2}^{(L)}(real(\psi_{e, m})) = \frac{OLR_{d, ut^2}^{(L)}(real(\psi_{e, m}))}{4}$ for each e and d

8. Jointly update the minimum of $OLR'_{d, ut^2}^{(L)}$ and the corresponding $real^{(L)}(\psi_{e, m})$

9. **end if**

10. **Until** s, e, d, ut^2 do not satisfy the variation range given.

11. **Output:** $\{imag(\psi_{e, m}), real(\psi_{e, m})\}$

step 4: Combining the advantages of $imag(\psi_{3,3})$ and $real(\psi_{3,3})$ to discriminate LFM signals with large variation range in the time-bandwidth product from other modulations.

The $\psi_{3,3}$ is computed as

$$\psi_{3,3} = \frac{4}{\pi} \int_0^{2\pi} \int_0^1 R_{3,3}(\rho) e^{-i3\theta} P_s(\rho \cos\theta, \rho \sin\theta) \rho d\rho d\theta, \quad (18)$$

$$R_{3,3}(\rho) = \rho^3.$$

For other signals classification, we tested all combinations of two features ranging from order 1 to order 6

and measured the $OLR' = \frac{\sum OLR}{6}$ which is defined as the average value of OLR between different classes taken in the 4-D feature space and find the minimum. Following the foresaid algorithm, the 8th order moments of index 5 versus index 27 for pseudo-Zernike moments

referring to $\psi_{2,0}$ and $\psi_{5,1}$ are experimentally selected as features, which specifically suitable for signal classification with the exception of LFM.

The $\psi_{2,0}$ and $\psi_{5,1}$ are given by

$$\psi_{2,0} = \frac{3}{\pi} \int_0^{2\pi} \int_0^1 R_{2,0}(\rho) P_s(\rho \cos \theta, \rho \sin \theta) \rho d\rho d\theta, \quad (19)$$

$$\psi_{5,1} = \frac{6}{\pi} \int_0^{2\pi} \int_0^1 R_{5,1}(\rho) e^{-i\theta} P_s(\rho \cos \theta, \rho \sin \theta) \rho d\rho d\theta, \quad (20)$$

$$R_{2,0}(\rho) = 10\rho^2 - 12\rho + 2, \quad R_{5,1}(\rho) = 330\rho^5 - 840\rho^4 + 756\rho^3 - 280\rho^2 + 35\rho.$$

5 Recognition algorithm

5.1 Steps of the proposed algorithm

The proposed modulation signal recognition algorithm is shown in Fig. 4.

The starting point are the modulation signals $\tilde{s}(n)$, $n = 0, 1, \dots, N-1$ to which Gaussian white noise is added. And the following steps of classifying various modulation types of signals are shown as follows:

Step 1: ST-RFT spectrogram Computation.

Step 1.1 Computing the amplitude normalized ST-RFT spectrogram $P_s(k, q)$ of 5 modulations mentioned-above.

Step 1.2 Computing the centroid moved amplitude normalized ST-RFT spectrogram $P_s(k + \bar{k}, q + \bar{q})$.

Step 2: LFM signal classification.

Measuring $\text{imag}(\psi_{3,3})$ and $\text{real}(\psi_{3,3})$ respectively. If $\text{imag}(\psi_{3,3}) > th_{LFM_1}$ or $\text{real}(\psi_{3,3}) < th_{LFM_2}$, the signal is regarded as LFM, else go to step 3.

Step 3: Other signals classification.

Step 3.1 Pseudo-Zernike moments $\psi_{2,0}$ and $\psi_{5,1}$ computation.

Step 3.2 Constructing the 2-D feature space by using $\psi_{2,0}$ and $\psi_{5,1}$ and determining the optimal distribution range of the spectrogram features of different modulations from the feature space.

Step 4: Use a K-nearest neighbour(KNN)classifier to assign each element to a class for the input radar signals, to perform the classification procedure.

5.2 The thresholds for LFM signals recognition

The thresholds th_{LFM_1} and th_{LFM_2} are utilized to distinguish LFM with the time-bandwidth product between 8 and 40 and to distinguish LFM with the time-bandwidth product between 41 and 500 from other signals. They could be obtained by the iterative thresholding algorithm [20] and lots of simulations.

As in Fig. 5a, the minimum of the average value of $\text{imag}(\psi_{3,3})$ for LFM signal class is obtained when $ut^2 = 40$ at $SNR = -5dB$ which is close to 0.40×10^{-4} and for the rest of other signal classes the maximum of the average value of $\text{imag}(\psi_{3,3})$ obtained at $SNR = 5dB$ is close to

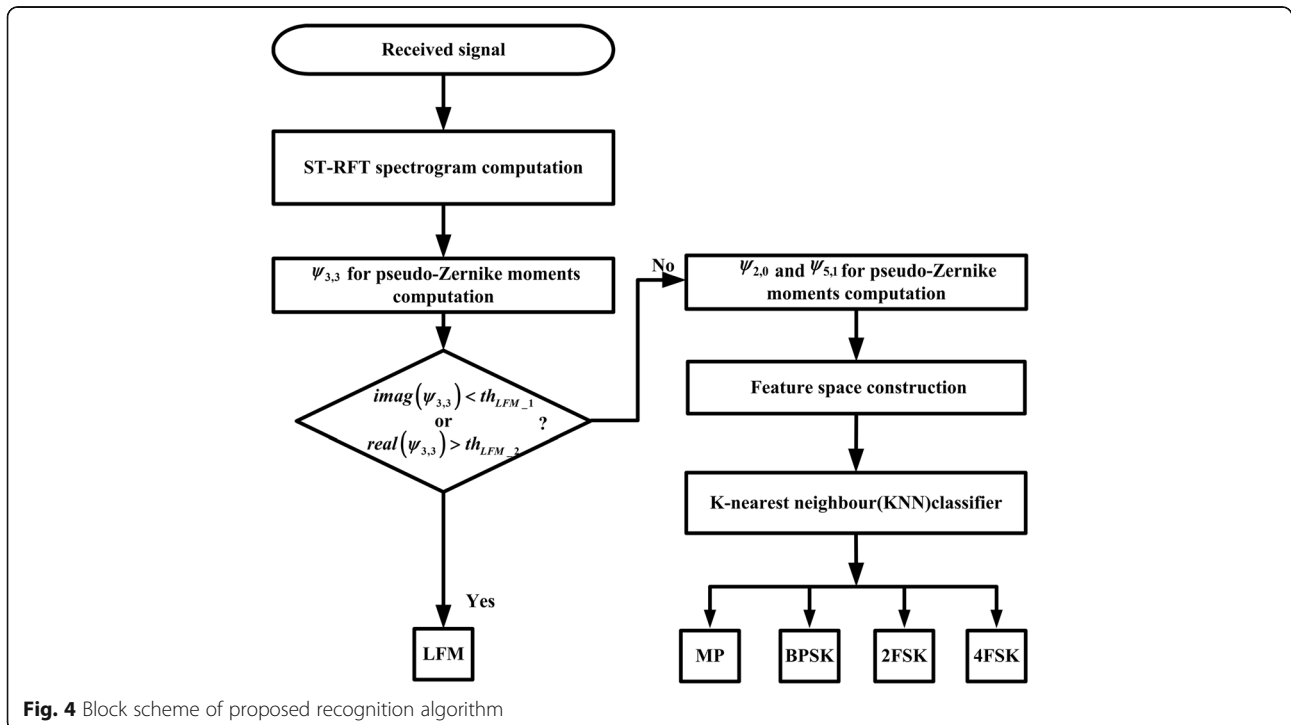
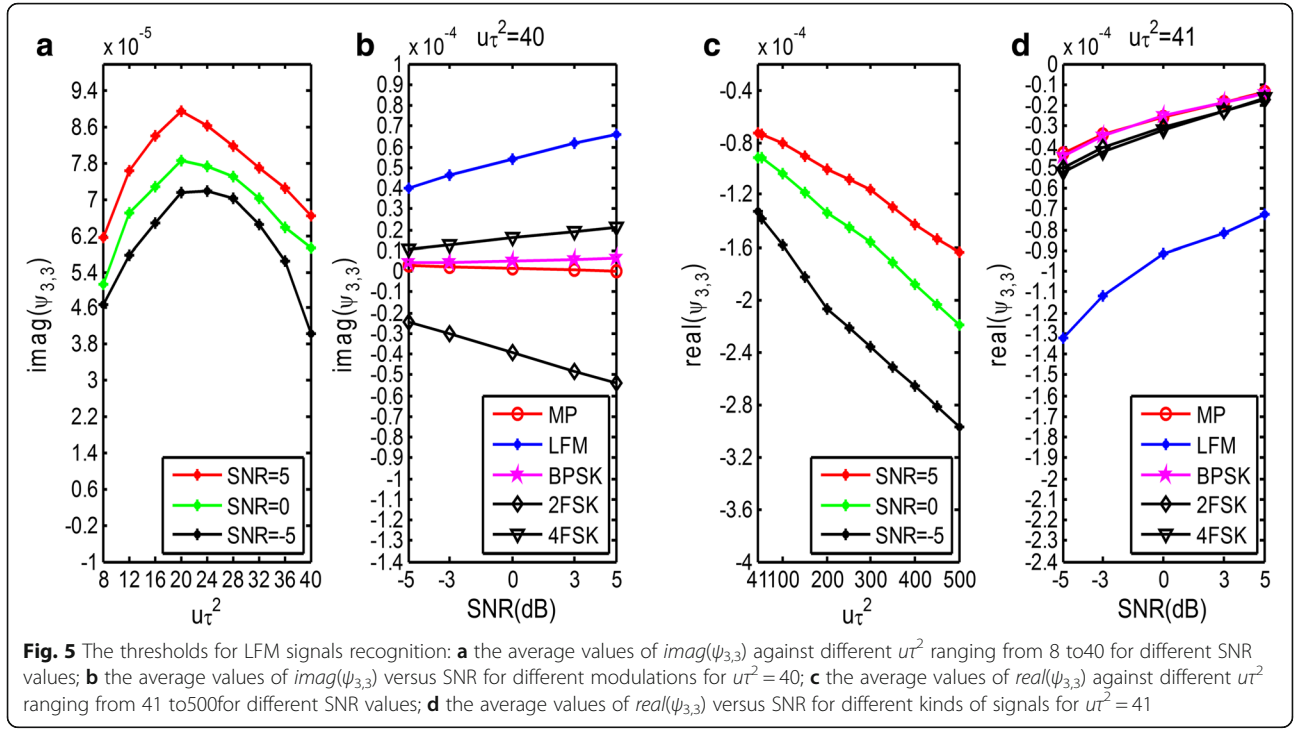


Fig. 4 Block scheme of proposed recognition algorithm



0.21×10^{-4} in general as shown in Fig. 5b. Finally, we set $th_{LFM_1} = \frac{0.40 \times 10^{-4} + 0.21 \times 10^{-4}}{2} = 0.31 \times 10^{-4}$ as the optimal threshold for LFM classification. Meanwhile, as in Fig. 5c, the average value of $\text{real}(\psi_{3,3})$ for LFM signal class obtains the maximum at $u\tau^2 = 41$ for SNR = 5 dB and the maximum is close to -0.73×10^{-4} , and for other signal classes, the minimum of the average values of $\text{real}(\psi_{3,3})$ is close to -0.50×10^{-4} obtained at SNR = -5 dB from Fig. 5d. Thus the threshold th_{LFM_2} can be set to $\frac{-0.73 \times 10^{-4} + -0.50 \times 10^{-4}}{2} = -0.62 \times 10^{-4}$ to guarantee the correct classification of the LFM signals with the time-bandwidth product between 41 and 500.

6 Results and discussion

6.1 Choice of the modulation signal parameters for the Clustering

The parameters used for the clustering are shown in Table 2. CR, PW and HS stand for code rate, pulse-width and frequency hop size, respectively. Meanwhile, for BPSK, we use 5 bit Barker codes, the 2FSK and 4FSK are encoded by deterministic codes in order to lower the

Table 2 Parameters for the clustering

Signal type	CF	CR	PW	HS
MP	800 MHz		1 μ s	
BPSK	800 MHz	10 MHz/ μ s	1 μ s	
2FSK	800 MHz	10 MHz/ μ s	1 μ s	100 MHz
4FSK	800 MHz	10 MHz/ μ s	1 μ s	100 MHz

effect of deficiency of some codes. Codes are defined as [0 1 0 1 0] for 2FSK and [0 1 2 3 0] for 4FSK. And the length of Rectangular window is set to be 400. In addition, the SNR values from -5 to 5 dB for most conditions.

6.2 Choice of the modulation signal parameters for test

In order to verify that the proposed method can achieve better performance than the algorithm based STFT, we did the following simulations. The code parameters for BPSK, 2FSK and 4FSK are same as the parameters set in 6.1 for both algorithms. The CFs are 800 MHz, 1000 MHz and 1600 MHz. The HSs are 60 MHz, 100 MHz and 1000 MHz. The chirp rates for LFM are 40 MHz/ μ s, 80 MHz/ μ s, 100 MHz/ μ s, 1200 MHz/ μ s. And the length of rectangular window is set to be 400 and the SNR values from -5 to 5 dB.

6.3 Simulation results analysis

To estimate the classifier performance, 50 signals are used for the clustering, and each simulation was run 100 times, evaluating the average recognition rate (ARR).

6.3.1 The effects of CFs and HSs variation

Figure 6 is used to get indications how much the CFs and HSs variation affect the performance at SNR = 5 dB and SNR = 0 dB respectively. The MP, BPSK and LFM signals are simulated with CFs ranging from 800 MHz to 1600 MHz, the FSK signals are simulated with HSs ranging from 60 MHz to 1000 MHz. As expected, the variation in CFs and HSs would not affect the performance

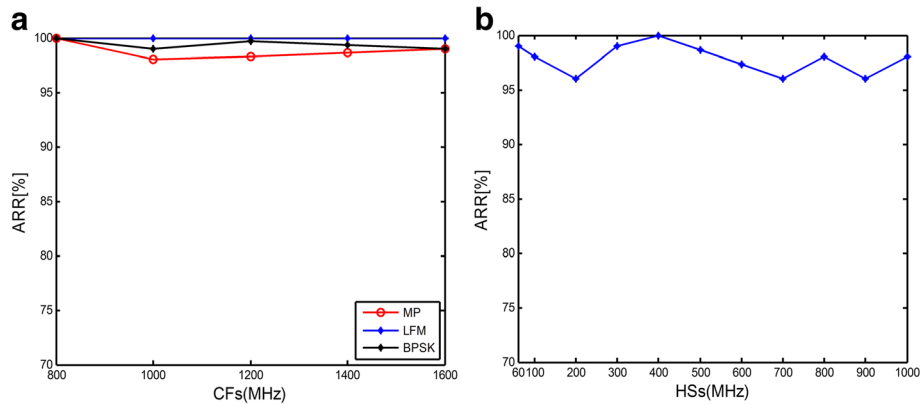


Fig. 6 The effects of CFs and HSs variation: **(a)** Performance analysis for different CFs for MP, BPSK and LFM signals at $SNR = 5dB$; **(b)** Performance analysis for different HSs for FSK signals at $SNR = 0dB$

much. And the property makes the pseudo-Zernike moments very suitable to spectrogram features recognition with random variation in the signal parameters: CFs and HSs.

6.3.2 The performance of the proposed algorithm

Figure 7 reports the scatter plots related to $\psi_{2,0}$ and $\psi_{5,1}$ for pseudo-Zernike for all the data of the 4 types modulation signals for different SNR. Both the STFT and ST-RFT

based algorithms have been considered. The scatter plots shown in Fig. 7a and c demonstrate that for $SNR = 5$ dB, the extraction of the pseudo-Zernike moments from ST-RFT give a certain degree of separation within the class. As shown in Fig. 7b and d, for $SNR = -5$ dB the 4 classes could be considered to be classified more accurately by the proposed algorithms in comparison to the STFT based algorithm. Consequently, in the presence of noise, the

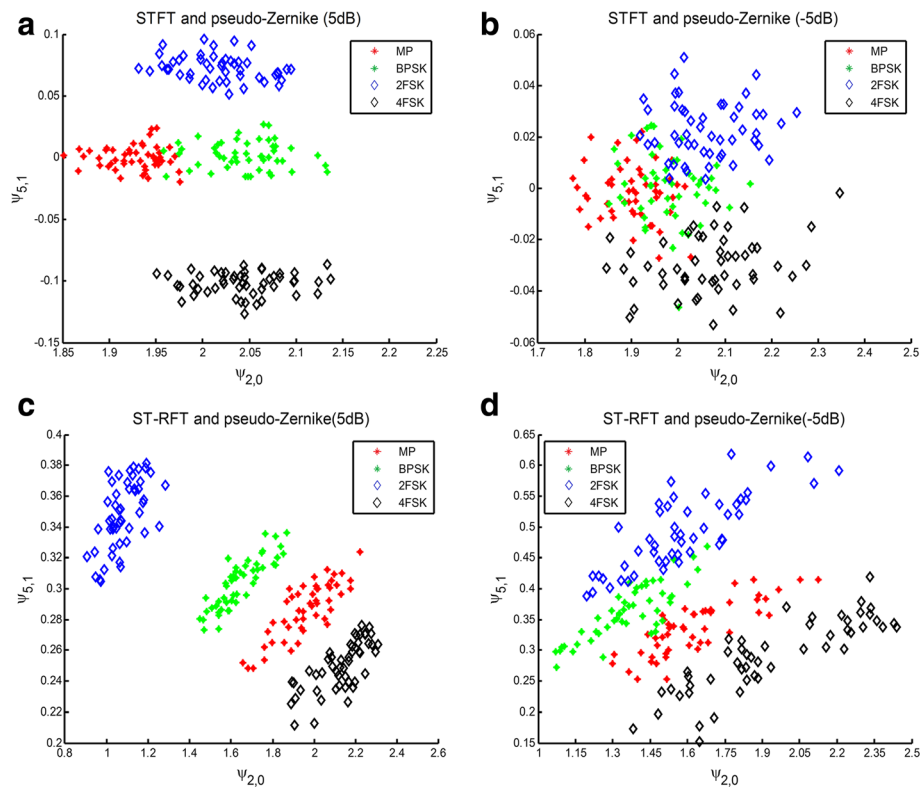


Fig. 7 Scatter plots of 5th vs 27th moments of 4 type modulation signals classes based on ST-RFT and STFT: **(a)** STFT based algorithm for $SNR = 5$ dB; **(b)** STFT based algorithm for $SNR = -5$ dB; **(c)** ST-RFT based algorithm for $SNR = 5$ dB; **(d)** ST-RFT based algorithm for $SNR = -5$ dB

proposed algorithm performs well especially for MP and BPSK signals recognition.

These behaviors are also confirmed by the results illustrated in Fig. 8, where the ARR is plotted versus different SNRs ranging from -5 dB to 5 dB both for STFT, ST-RFT based algorithms and the algorithm presented in [9]. Thereinto, for STFT and ST-RFT algorithms, the modulations used to obtain the ARR are MP, LFM, BPSK, 2FSK and 4FSK signals satisfying the signal parameters for test discussed in the paper. And for [9], the modulations used to obtain the ARR are the signals of their own choosing. It is obvious that, an increment in the SNR leads to a higher performance. Obviously, in the case of SNR = -5 dB, the proposed algorithm reaches a ARR of 90%, while the ARR of STFT algorithm reaches 70%, and the ST-RFT based algorithms assure a higher level of ARR than the STFT counterpart. Consequently, as conclusion to these analyses, it can be claimed that the performance of our algorithm based on the combination of the ST-RFT and the pseudo-Zernike moments is preferred to STFT based algorithm. Meanwhile, comparison of the work to the techniques presented in [9] shows that the approach proposed in this paper has better robustness against SNR variation.

7 Conclusions

In this paper, we have presented a new method for intra-pulse modulation recognition under low SNR environment. In this method, the ST-RFT spectrograms for 5 modulation schemes are firstly calculated. Then the pseudo-Zernike moments are applied to the ST-RFT spectrogram to uniquely discriminate the spectrogram features for different modulations when parameters change. Simulation results demonstrate a robust recognition performance over a wide range of SNRs, CFs, HSs, and time-bandwidth product. Meanwhile, based on

the simulation results analysis, our method is better comparison of the work to the STFT based technique and the technique presented in [9].

However, our work only on few modulation schemes, a discussion on the technique applied to other modulation schemes, such as NLFM, PWM, PPM will be developed.

Abbreviations

2D: Two-dimensional; 2FSK: Binary frequency shift keying; 4FSK: 4-ary frequency shift keying; ASK: Amplitude shift keying; ARR: Average recognition rate; BPSK: Binary phase shift keying; CF: Carrier frequency; CR: Code rate; DFT: Discrete Fourier Transform; FB: Feature based; FSK: Frequency shift keying; GCD: Greatest common divisor; HS: Hop size; HT: Hough transform; KNN: K-nearest neighbour; LFM: Linear frequency modulation; MP: Monopulse; M-PSK: M-ary phase shift keying; OLR: Overlap rate; PW: Pulse-width; PSK: Phase shift keying; PSR: Probability of successful recognition; QPSK: Quadrature phase shift keying; RS: Ramanujan sums; RFT: Ramanujan Fourier Transform; STFT: Short-time Fourier transform; ST-RFT: Short-Time Ramanujan Fourier Transform; SF: Sampling frequency; SNR: Signal-to-noise ratio; WVD: Wigner-Ville distribution

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Authors' contributions

XM conceived the approach; XM, DL and YS designed the experiments; DL and YS performed the experiments. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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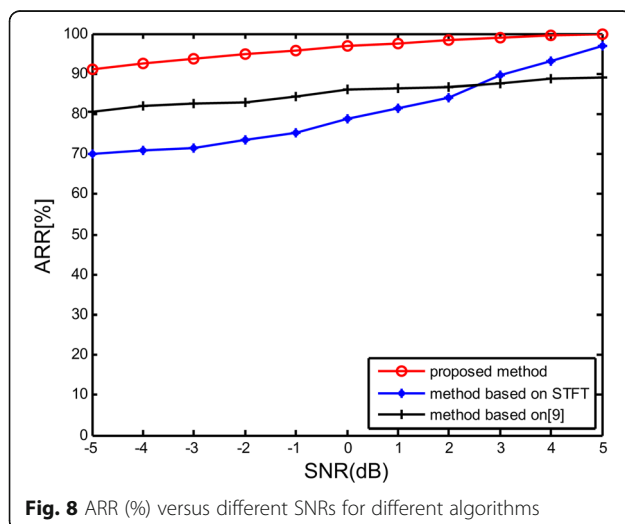


Fig. 8 ARR (%) versus different SNRs for different algorithms

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