

## Research Article

# Compressive Sampling of EEG Signals with Finite Rate of Innovation

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Analyses of electroencephalographic signals and subsequent diagnoses can only be done effectively on long term recordings that preserve the signals' morphologies. Currently, electroencephalographic signals are obtained at Nyquist rate or higher, thus introducing redundancies. Existing compression methods remove these redundancies, thereby achieving compression. We propose an alternative compression scheme based on a sampling theory developed for signals with a finite rate of innovation (FRI) which compresses electroencephalographic signals during acquisition. We model the signals as FRI signals and then sample them at their rate of innovation. The signals are thus effectively represented by a small set of Fourier coefficients corresponding to the signals' rate of innovation. Using the FRI theory, original signals can be reconstructed using this set of coefficients. Seventy-two hours of electroencephalographic recording are tested and results based on metrics used in compression literature and morphological similarities of electroencephalographic signals are presented. The proposed method achieves results comparable to that of wavelet compression methods, achieving low reconstruction errors while preserving the morphologies of the signals. More importantly, it introduces a new framework to acquire electroencephalographic signals at their rate of innovation, thus entailing a less costly low-rate sampling device that does not waste precious computational resources.

## 1. Introduction

The electroencephalogram (EEG) is a recording of the brain's neural activities. Since its discovery by Berger [1], many research activities have focussed on how to automatically extract useful information about the brain's conditions based on the distinct characteristics of these electrical signals. Valuable information about the human brain conveyed by the EEG is used in various studies like the nervous system, sleep disorders, epilepsy, and dementia [2]. These applications require acquisition, storage, and automatic processing of EEG during an extended period of time. For example, 24-hour monitoring of a multiple-channel EEG is needed for epilepsy patients. Traditionally, the EEG has been bandlimited to the frequency range between 0.1 and 100 Hz; thus a minimum Nyquist sampling rate of 200 Hz is needed. At the quantization level of 16 bit/sample, a 10-channel EEG for a 24-hour period would amount to 346 megabytes. Hence, to efficiently store and transmit a huge amount of data, effective

compression techniques are desired. While lossy techniques yield higher compression, because of reliability considerations, lossy data compression techniques are not used as the morphology of the signals which are not always well retained. Excellent surveys of the performance of lossless and lossy EEG compression techniques can be found in [3] to [4]. Antoniol and Tonella presented and discussed several classical lossless EEG signal compression methods such as Huffman coding, predictive compression, and transform compression [3]. In [5], Memon et al. discussed lossless compression techniques ranging from simple dictionary searches to sophisticated context modeling. A long-term EEG compression method using features obtained from the signals' power spectral density was proposed in [6] while multi-channel EEG signals were compressed by exploiting the intercorrelation among the EEG channels through the Karhunen-Loeve transform in [7]. Nielsen et al. proposed a signal-dependent wavelet compression scheme that adapted optimal wavelets to biomedical signals for compression [8]. A near-lossless

compression method described in [9] compressed EEG signals using neural network predictors followed by nonuniform quantization. More recently, a new compression method based on the construction process of the classified signature and envelop vector sets of the EEG signals [4].

The techniques presented above operated on EEG signals obtained at or above Nyquist rate. This acquisition process leads to a collection of huge amounts of irrelevant data, only to be discarded during the compression stage of the signals. Furthermore, transients, which are common in EEG signals, are not bandlimited. Hence, Shannon's sampling theory cannot be applied to sampling EEG signals. Over the last few years, advancements in signal processing and data acquisition introduced a new sampling theory known as compressive sampling or compressed sensing [10]. Aviyente proposed a compressed sensing framework for EEG compression by exploiting the sparsity of EEG signals in a Gabor frame [11]. This method, however, does not operate on the analog EEG signals directly. Compressive sampling, on the other hand, asserts that its acquisition system directly translates analog signals into compressed digital form so that one can recover super-resolved signals from a few measurements [10]. Similarly, we propose to approach the problem of compressing EEG signals at source. In order to address the nonbandlimitedness of the EEG signals, our compression method will be based on the theory of sampling signals with finite rate of innovation (FRI) [12]. This theory has recently been investigated for a compression technique for electrocardiogram (ECG) signals [13] and neonatal EEG seizure signals [14] as well as for EEG seizure source localisation [15].

Our paper is organised as follows. In Section 2, a description of the EEG data, a review on sampling signals with finite rate of innovation, and an FRI model of EEG signals are presented. A scheme for compressively sampling EEG signals with finite rate of innovation will be described in Section 3. Results and discussions will be presented in Section 4 and finally, a conclusion will summarise our findings and provide directions for our future work.

## 2. EEG Data Description and the FRI Model

**2.1. EEG Data Description.** A total of 3 sets of normalised EEG signals comprising 72 hours were used for the study. The data is further divided into 10 seconds epochs for processing. All 3 patients experienced similar seizure types at similar locations on the brain. From this dataset, 30 epochs of 10 seconds duration were selected for establishing a finite rate of innovation model of EEG signals while the rest of the data were used to evaluate our compression scheme. The EEG data were acquired using a Neurofile NT digital video EEG system with 128 channels, 256 Hz sampling rate, and a 16-bit analogue-to-digital converter. Notch or bandpass filters have not been applied. More details of the database can be found in [16]. In our experiments, these EEG signals are assumed to be the source signals. For each patient, there will be 360 epochs and the epochs will be referenced as  $Px\_yyy$  where  $x$  represents the patient number and  $yyy$  represents the epoch number.

**2.2. Review of Sampling Signals with Finite Rate of Innovation.** Consider classes of parametric signals with a finite number of degrees of freedom per unit of time, which is defined as the rate of innovation (e.g., streams of Dirac pulses, nonuniform splines, and piecewise polynomials). It is shown in [12] that although these signals are not bandlimited, they can be sampled uniformly at (or above) the rate of innovation using an appropriate kernel, and then perfectly reconstructed by solving systems of linear equations.

**2.2.1. Periodic Stream of Dirac Pulses.** Consider a stream of  $K$  Dirac pulses periodized with period  $\tau$ ,  $x(t) = \sum_{n \in \mathbb{Z}} c_n \delta(t - t_n)$  where  $t_{n+K} = t_n + \tau$  and  $c_{n+K} = c_n$ , for all  $n \in \mathbb{Z}$ . This signal has  $2K$  degrees of freedom per period, thus the rate of innovation is

$$\rho = \frac{2K}{\tau}. \quad (1)$$

By taking a continuous-time periodic sinc sampling kernel  $h_B(t) = B \text{sinc}(Bt)$  with bandwidth  $B$  greater than or equal to the rate of innovation  $\rho$  given by (1), and sampling  $y(t) = (h_B * x)(t)$  at  $N$  uniform locations  $t = nT$ ;  $n = 0, \dots, N-1$ , where  $N \geq 2M+1$ ,  $M = \lfloor B\tau/2 \rfloor$  and  $M \geq K$ , then the samples defined by  $y_n = \langle h_B(t-nT), x(t) \rangle$ ,  $n = 0, 1, \dots, N-1$  sufficiently represent  $x(t)$  [12].

**2.2.2. Nonuniform Splines.** A signal  $x(t)$  is a nonuniform spline of degree  $R$  with knots at  $\{t_k\}_{k=0}^{K-1}$  if and only if its  $(R+1)$ th derivative is a stream of  $K$  weighted Dirac pulses  $x^{(R+1)}(t) = \sum_{k=0}^{K-1} c_k \delta(t-t_k)$  [17]. Here, the rate of innovation is  $\rho = 2K/\tau$ .

Consider a continuous-time periodic nonuniform linear spline  $x(t)$  with period  $\tau$ , containing  $K$  pieces of maximum degree  $R = 1$ . By following the sampling method described in Section 2.2.1,  $x(t)$  is uniquely defined by  $y_n = \langle h_B(t-nT), x(t) \rangle$ ,  $n = 0, 1, \dots, N-1$  [12].

**2.2.3. Noisy Case.** In this section, we briefly present two types of noise signals that are added to the FRI signals. The first type of noise signal considered is the white noise, which is a zero-mean signal characterised by a flat power spectral density. The second type of noise signal is the  $1/f$  noise whose power spectral density is inversely proportional to its frequency [18]. Accordingly, we define

$$\begin{aligned} S_w(f) &= N_w, \\ S_p(f) &\propto \frac{1}{f^\alpha}, \end{aligned} \quad (2)$$

where  $S_w$  and  $S_p$  are the power spectral densities of white and  $1/f$  noise, respectively and  $0 < \alpha < 2$ .

**2.3. Spline-Based FRI Models with Additive Noise.** In this section, an FRI model of EEG signals is validated and presented. In particular, we model the EEG signals as

$$x(t) = x_s(t) + n(t), \quad (3)$$

where  $x_s(t)$  is the nonuniform spline component, and  $n(t)$  is the noise component. We consider the cases of nonuniform

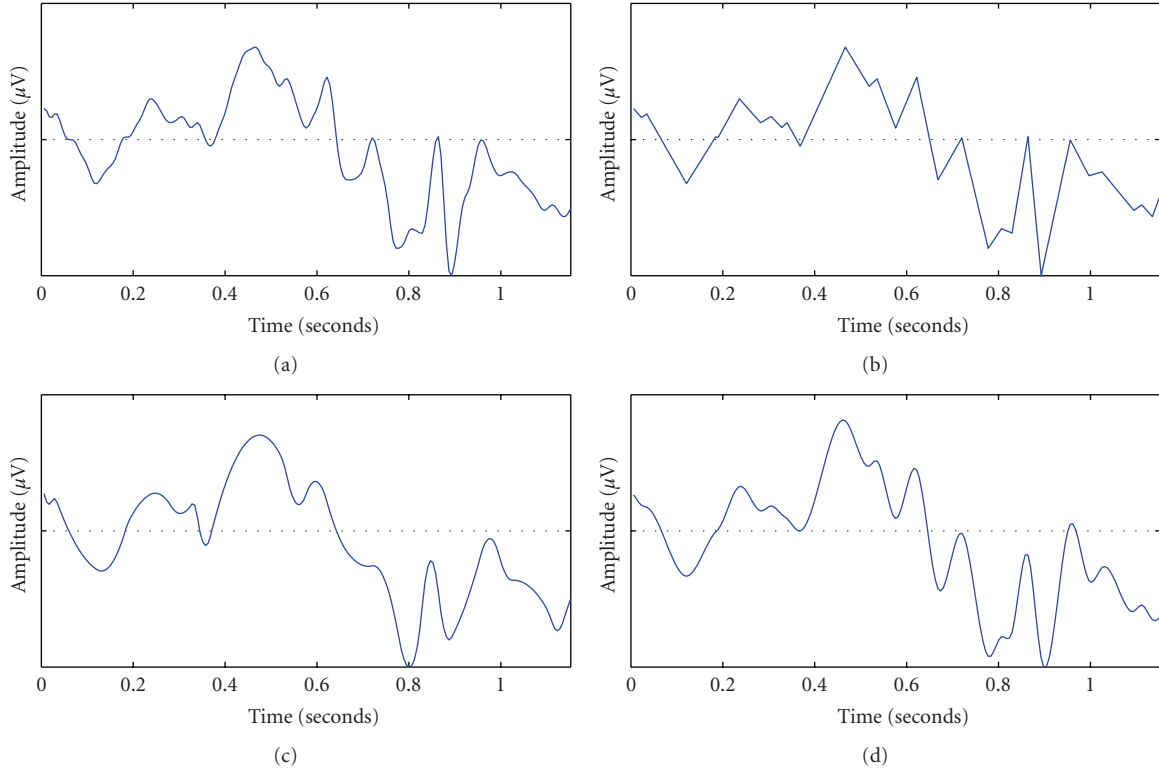


FIGURE 1: Comparison of different spline models of EEG. (a) Original EEG signal; (b) Linear spline model, CC = 99.22%, RMSE = 0.0020, PRD = 13.07%, MAXERR = 0.0012; (c) Quadratic spline model, CC = 96.00%, RMSE = 0.0046, PRD = 29.65%, MAXERR = 0.023; (d) Cubic spline model CC = 99.13%, RMSE = 0.0021, PRD = 13.65%, MAXERR = 0.0011.

linear spline, nonuniform quadratic spline, and lastly the nonuniform cubic spline (where  $R = 1, 2, 3$ , respectively in Section 2.2.2) with additive white noise and  $1/f$  noise. We also compare the models with the original signals based on the performance metrics described below and conclude with a suitable FRI model for EEG signals.

**2.3.1. Performance Metrics.** The following evaluation metrics were employed to determine our method's performance [4].

The compression ratio (CR) is defined as a ratio between the number of bits required to represent the original signal and the compressed signal. First, we define a ratio

$$C = \frac{b_{\text{orig}}}{b_{\text{comp}}}, \quad (4)$$

where  $b_{\text{orig}}$  and  $b_{\text{comp}}$  represent the numbers of bits required for the original and compressed signals, respectively.

Thus we can define a CR commonly used in the literature as

$$\text{CR}(\%) = \frac{C - 1}{C} \times 100. \quad (5)$$

A metric that can be used to measure distortion is percent root difference (PRD). This metric is commonly used for measuring the distortions in reconstructed biomedical

signals such as Electrocardiographic (ECG) signals and EEG signals. For signals of length  $J$ , PRD can be defined as

$$\text{PRD}(\%) = \sqrt{\frac{\sum_{i=1}^J (x_{\text{orig}}(i) - x_{\text{recon}}(i))^2}{\sum_{i=1}^J (x_{\text{orig}}(i))^2}} \times 100, \quad (6)$$

where  $x_{\text{orig}}(i)$  and  $x_{\text{recon}}(i)$  are the sampled values of the original and reconstructed signals.

Another distortion metric is the root mean square error (RMSE). In data compression, we are interested in finding an optimal approximation for minimizing this metric as defined by the following formula:

$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^J (x_{\text{orig}}(i) - x_{\text{recon}}(i))^2}{J}}. \quad (7)$$

Since the similarity between the reconstructed and original signal is crucial from the clinical point of view, the cross correlation (CC) is used to evaluate the similarity between the original signal and its reconstruction.

CC =

$$\frac{(1/J) \sum_{i=1}^J (x_{\text{orig}}(i) - \bar{x}_{\text{orig}})(x_{\text{recon}}(i) - \bar{x}_{\text{recon}})}{\sqrt{(1/J) \sum_{i=1}^J (x_{\text{orig}}(i) - \bar{x}_{\text{orig}})^2} \sqrt{(1/J) \sum_{i=1}^J (x_{\text{recon}}(i) - \bar{x}_{\text{recon}})^2}}, \quad (8)$$

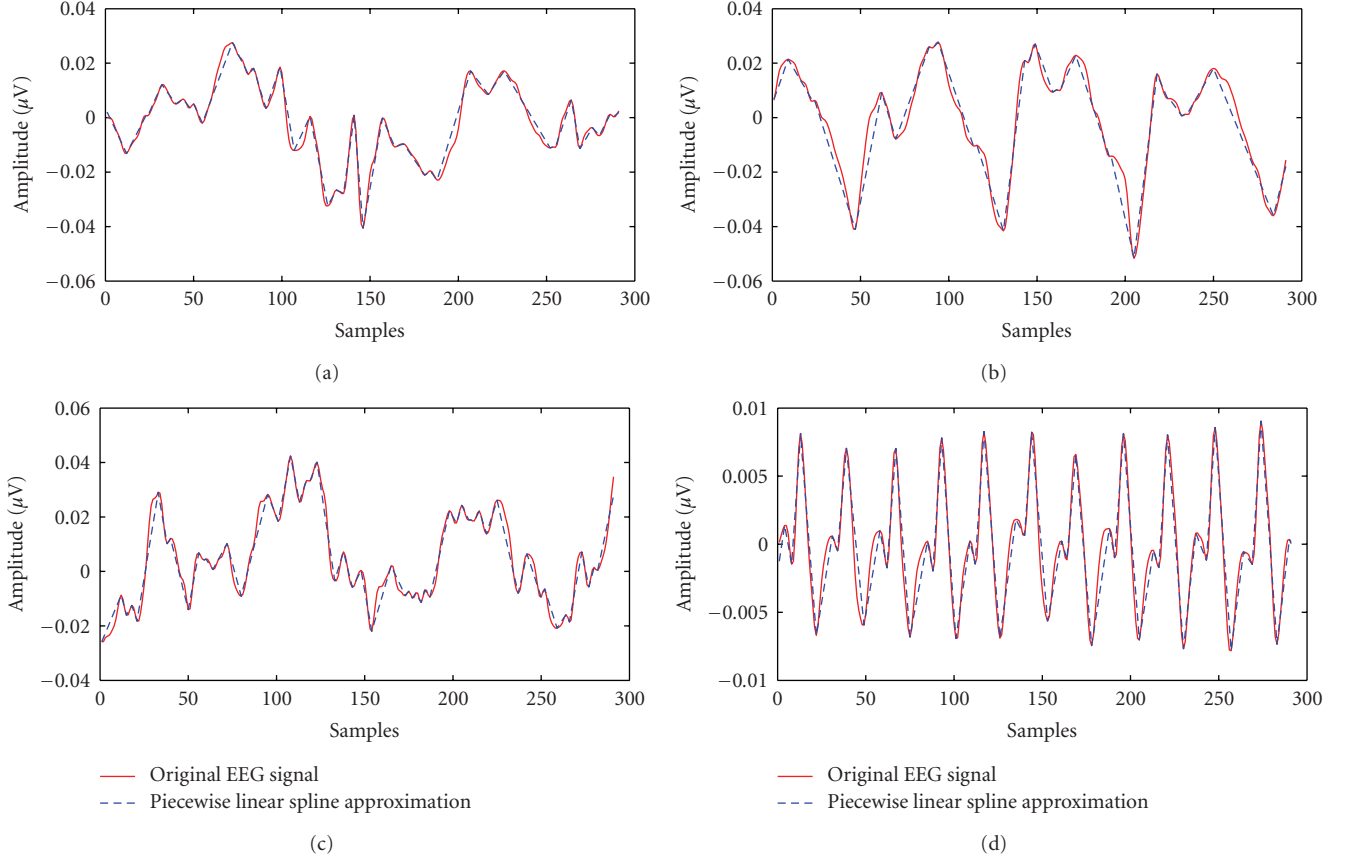


FIGURE 2: Examples of modelling different EEG signals with  $K$ -pieces nonuniform linear splines. (a)  $K = 37$  pieces. (b)  $K = 23$  pieces. (c)  $K = 53$  pieces. (d)  $K = 45$  pieces.

TABLE 1: Comparison of different approximation models for 30 epochs of EEG signals.

Evaluation metric	Linear spline model	Quadratic spline model	Cubic spline model
Average CC (%)	97.92	90.37	98.11
Average RMSE	0.0024	0.0059	0.0020
Average PRD (%)	15.26	45.21	16.42
Average MAXERR	0.0013	0.027	0.0012

where  $\overline{x_{\text{orig}}}$  and  $\overline{x_{\text{recon}}}$  are the mean values of the original and reconstructed signals, respectively.

In order to understand the local distortions between the original and the reconstructed signals, two metrics, the maximum error (MAXERR) and the peak amplitude related error (PARE) [19], will be computed. The maximum error metric is defined as

$$\text{MAXERR} = \max(x_{\text{orig}} - x_{\text{recon}}) \quad (9)$$

and it shows how large the error is between every sample of the original and reconstructed signals. This metric should ideally be small if both signals are similar. The PARE is defined as

$$\text{PARE}(i) = \frac{|x_{\text{orig}}(i) - x_{\text{recon}}(i)|}{x_{\text{orig}}(i)}. \quad (10)$$

By plotting PARE, one will be able to understand the locations and magnitudes of the errors between the original and reconstructed signals.

**2.3.2. Comparison of Models.** As depicted in Figure 1, a comparison between the original EEG signal and its approximations shows that the nonuniform linear spline model gives the best approximation where a CC of 99.22%, with an RMSE of 0.0020, a PRD of 13.07%, and a MAXERR of 0.0012 are achieved. An evaluation of 30 sets of EEG signals in Table 1 shows that the nonuniform linear and cubic spline models best fitted the EEG signals. Since the results achieved with a linear spline model are very close to that of a cubic spline model, the nonuniform linear spline model is chosen as our EEG model to minimise computation costs.

Figure 2 illustrates the nonuniform linear spline model for different EEG signals whereby the approximations closely

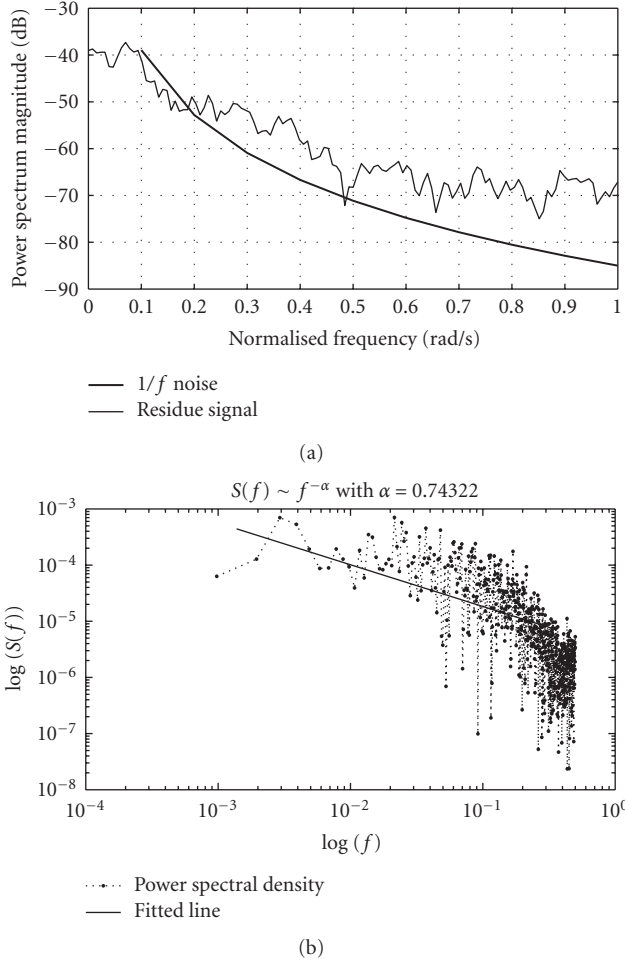


FIGURE 3: (a) The average power spectral density of the residue signal compared to that of  $1/f$  noise (b) The estimation of  $\alpha$  from the log-log plot of average power spectral density versus frequency of the residue signal.

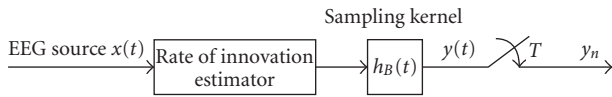


FIGURE 4: Our proposed scheme for sampling EEG signals with finite rate of innovation.

model the original signals with different  $K$  pieces of linear splines. As such, we conclude that with our data, different EEG signals can be modelled with  $2K \in [350, 900]$  for each 10 seconds EEG segment.

The nonuniform linear spline model makes up approximately 97% of the EEG signal. We observed that the residue signal (EEG signal-nonuniform linear splines) resembled a  $1/f$  noise signal with a power spectral density  $S(f) \propto 1/f^\alpha$ . The range of this noise amplitude was found to be  $[-0.003, 0.002]$  and  $\alpha$  was estimated to be around 0.75 by computing the slope of a fitted line onto the log-log plot of  $S(f)$  versus  $f$  as shown in Figures 3(a) and 3(b) [20]. Thus, our EEG signals are modelled as nonuniform linear

TABLE 2: The assumed values of  $2K$ , the respective compression ratio CR and  $C$ .

EEG epoch	No. of coefficients $2K$	Compression ratio CR (%)	$C$
P1_001	694	72.85	3.68
P1_002	716	72.03	3.61
P1_003	852	66.71	3.00
P1_004	640	75.00	4.00
P1_005	702	72.61	3.65
P1_006	880	65.63	2.91
P1_007	788	69.18	3.24
P1_008	784	69.34	3.26
P1_009	720	71.88	3.56
P1_010	742	70.98	3.45
P2_001	474	81.48	5.40
P2_002	582	77.27	4.40
P2_003	635	75.20	4.03
P2_004	706	72.42	3.63
P2_005	742	71.02	3.45
P2_006	490	80.86	5.23
P2_007	548	78.55	4.66
P2_008	610	76.17	4.20
P2_009	544	78.71	4.70
P2_010	888	65.31	2.89
P3_001	860	66.41	2.98
P3_002	836	67.34	3.06
P3_003	470	81.64	5.45
P3_004	682	73.36	3.75
P3_005	624	75.59	4.10
P3_006	490	80.86	5.23
P3_007	631	75.35	4.06
P3_008	755	70.51	3.39
P3_009	654	74.45	3.91
P3_010	820	67.97	3.12

splines embedded in additive  $1/f$  noise. In this way, the EEG signals have been cast as signals with finite rate of innovation embedded in noise and this provides a motivation to exploit the FRI framework for compressive sampling of EEG signals.

### 3. Compressive Sampling of EEG Signals with Finite Rate of Innovation

Since the EEG signals are modelled as an FRI signal  $+1/f$  noise, we will employ methods developed in [12, 21] to acquire and reconstruct them. It is important that the rate of innovation of the EEG signals is known and in our case, it has to be estimated from the source signals. Let us assume that the number of pieces of linear splines needed to represent the EEG signals is given as shown in Table 2.

With these assumptions, we represent the EEG signals as  $K$  pieces of nonuniform linear splines embedded in  $1/f$  noise

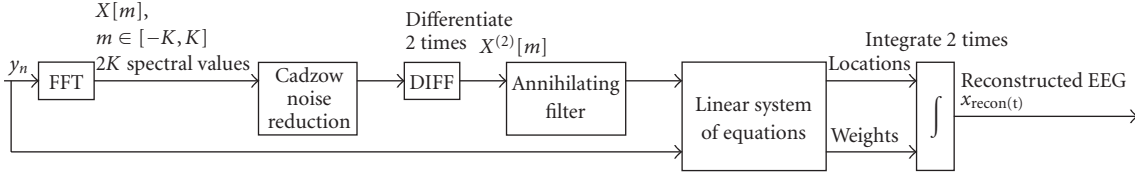


FIGURE 5: The FRI reconstruction block diagram for EEG signals.

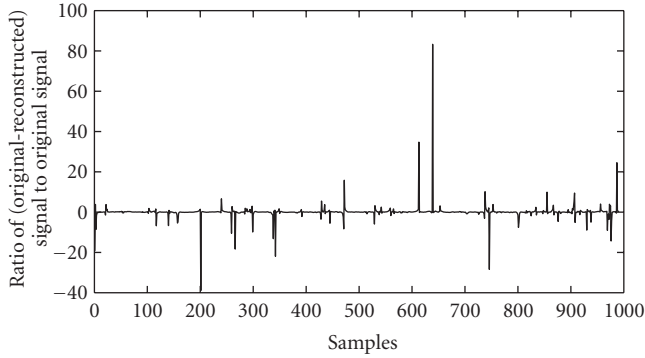


FIGURE 6: A plot of PARE for the signals shown in Figure 8. Errors between original and reconstructed samples are depicted as spikes in this plot.

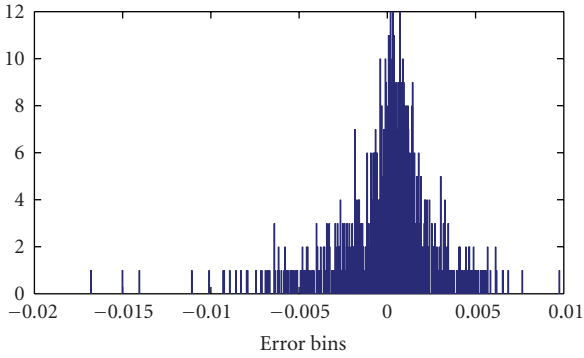


FIGURE 7: Histogram plot of the errors obtained for an epoch.

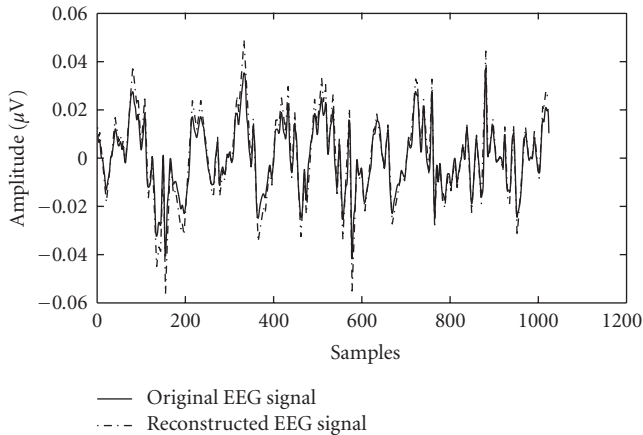


FIGURE 8: A comparison between the original EEG signal and its reconstruction. PRD = 34.35%, CC = 98.43%, RMSE = 0.0046, and MAXERR = 0.0187.

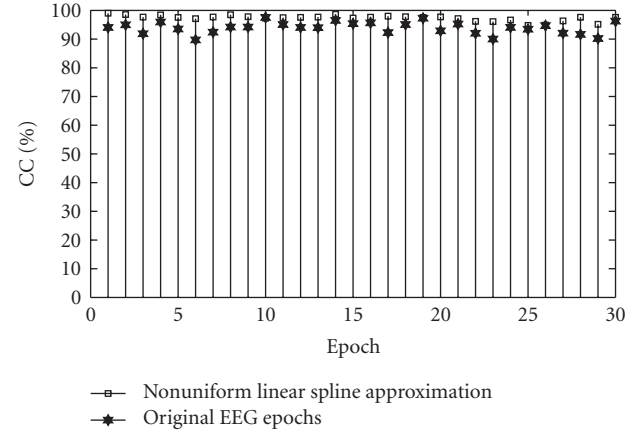


FIGURE 9: Comparison of the 30 epochs' CC in the event of (i) sampling the original EEG epochs and (ii) sampling their nonuniform linear spline approximations (noise free signals).

and the corresponding rate of innovation will be  $\rho = 2K/\tau$  with  $\tau = 10$  seconds.

**3.1. Our Method.** Figure 4 shows our proposed EEG signal acquisition process with finite rate of innovation. Since the value of  $K$  is assumed to be known, the samples of the EEG signal are obtained based on the descriptions in Section 2.2.1. Corresponding to the representation for the EEG signals, a reconstruction method is presented in Figure 5.

In order to perform the Cadzow's noise reduction, a rectangular  $(L \times (L + 1))$ ,  $L \geq K$  Toeplitz matrix  $D$  is created from the spectral values of the source signal  $x(t)$  in the form

$$D = \begin{pmatrix} X[0] & X[-1] & \dots & X[-L] \\ X[1] & X[0] & \dots & X[-L+1] \\ \vdots & \vdots & \vdots & \vdots \\ X[L-1] & X[L-2] & \dots & X[-1] \end{pmatrix}. \quad (11)$$

We then perform a singular vector decomposition of the matrix  $D$ , and enforce rank  $K$  on  $D$  by choosing only  $K$  most significant singular values. This is iterated until the ratio of the largest singular value of the  $K$ th + 1 to that of the  $K$ th is smaller than a preset threshold. Thus the denoised DFT coefficients can be extracted from  $D$  [21].

Since our EEG signals are modelled as nonuniform linear splines, we perform a differentiation operation twice on the denoised signals so as to reduce them into a stream of Dirac pulses. In order to find the locations and weights of the Dirac pulses, consider a filter  $A[m]$  whose  $z$ -transform has

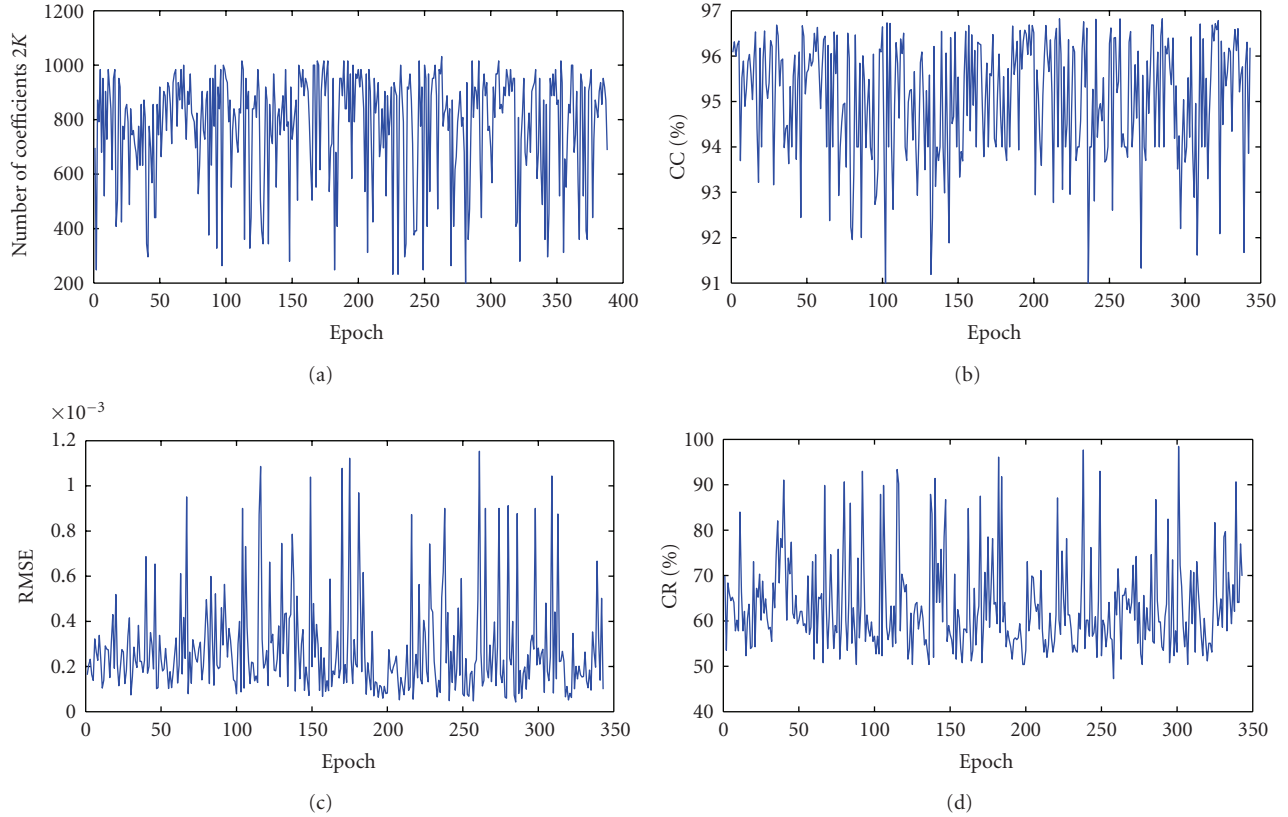


FIGURE 10: Variation of the evaluation metrics on 343 epochs corresponding to 3430 seconds of continuous EEG from one patient. (a) The number of coefficient 2K versus epochs. (b) CC versus epochs. (c) RMSE versus epochs. (d) CR versus epochs.

$K$  zeros at  $u_k = e^{-i(2\pi t_k/\tau)}$ , that is,  $A(z) = \prod_{k=0}^{K-1} (1 - u_k z^{-1})$ . Since the CTFS of the differentiated EEG signal  $x^{(2)}(t)$  is a linear combination of  $K$  complex exponentials  $u_k$ , it follows that  $A[m]$  is an annihilating filter and satisfies the following condition:

$$A[m] * X^{(2)}[m] = 0, \quad (12)$$

where

$$X^{(2)}[m] = \left( \frac{j2\pi m}{\tau} \right)^2 X[m], \quad m \in [-K, K]. \quad (13)$$

The coefficients of the annihilating filter are found solving (12) which is equivalent to the following Toeplitz linear system of equations:

$$\sum_{k=0}^K A[k] X^{(2)}[m-k] = 0, \quad m = -K, \dots, K. \quad (14)$$

Thus the locations  $\{t_k\}_{k=0}^{K-1}$  of the Dirac pulses are given by the roots of  $A(z)$ . Next, the weights  $\{c_k\}_{k=0}^{K-1}$  of the Dirac pulses are given by solving the Vandermonde system of equations given by

$$X^{(2)}[m] = \frac{1}{\tau} \sum_{k=0}^{K-1} c_k e^{-j2\pi m t_k/\tau}, \quad m = 0, \dots, K-1. \quad (15)$$

Lastly, the stream of Dirac pulses is integrated twice to obtain the reconstructed EEG signals which correspond to the nonuniform linear spline approximation of the EEG signals.

#### 4. Results and Discussions

In this section, we will present our results based on the performance metrics in Section 2.3.1. Comparisons to wavelet based compression techniques using discrete wavelet transform with the Daubechies and Coiflets wavelets [8] will be included in our discussions. These wavelet transforms are performed with four detailed levels and one approximation. Both the wavelet coefficients and the FRI innovation parameters are coded using Huffman coding. We also compared our results to those found in [11] in terms of normalised mean square error (NMSE), which is the ratio of mean square error of the reconstructed signals to the range of amplitudes of the signals.

We applied our method on the 3 sets of EEG signals and the results of 30 selected epochs are tabulated in Table 3.

The CC is selected as the primary evaluation metric and our results are generated with the best CC achievable for each EEG signal. As shown in Table 3, consistently high CC ranging from 89.71 to 97.56 is achieved for our dataset. This implies that there is a great similarity in the morphology between the original and reconstructed EEG signals. This

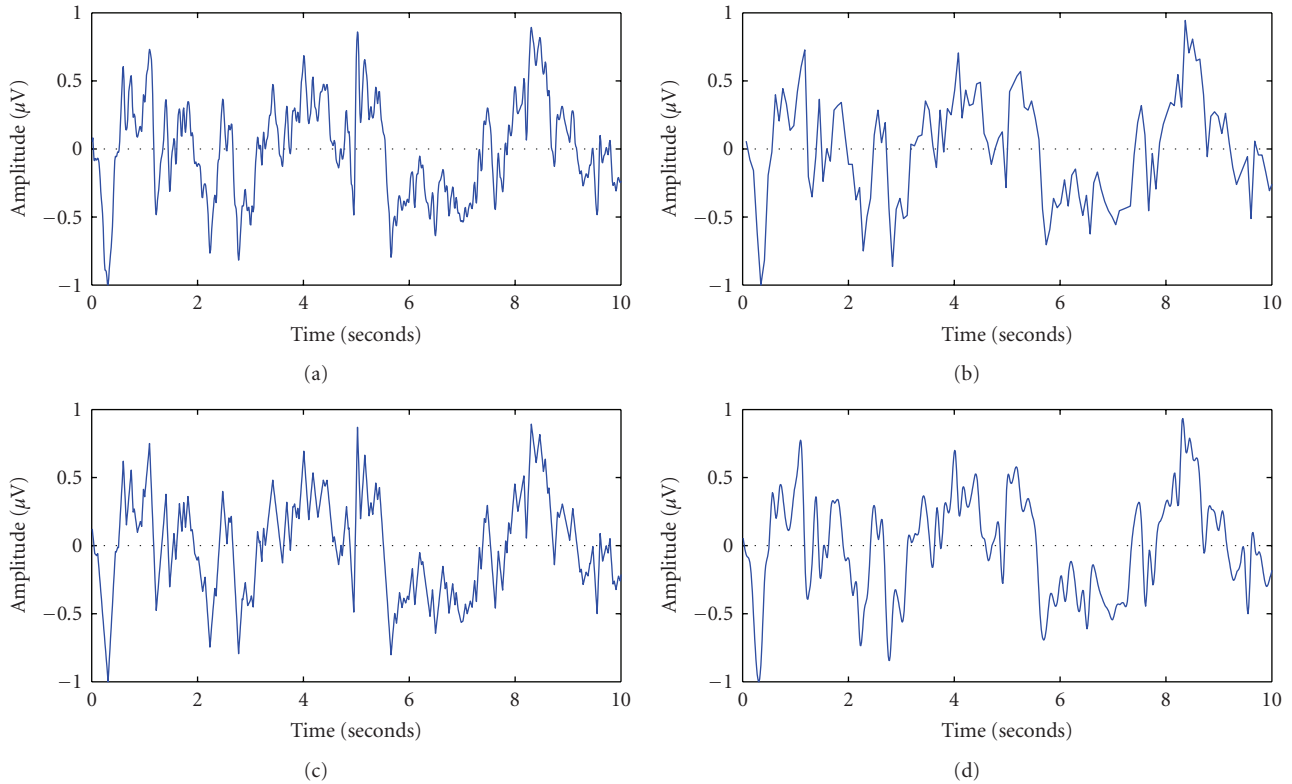


FIGURE 11: Comparison of reconstruction of an EEG signal acquired by our method. (a) The original signal sampled at 256 Hz. (b) The EEG signal acquired by our method at 47.5 Hz with  $2K = 474$ . (c) The reconstructed EEG signal at 256 Hz with our method  $CC = 97.08\%$ ,  $RMSE = 0.0099$ ,  $PRD = 22.43\%$ ,  $MAXERR = 0.0016$ . (d) The reconstructed EEG signal using the traditional sinc interpolation method  $CC = 90.11\%$ ,  $RMSE = 0.0147$ ,  $PRD = 48.30\%$ ,  $MAXERR = 0.428$ .

result is highly desirable because such diagnostic features are extremely important and must be preserved. Our method achieves a CR ranging from 65.31% to 81.48%. This is due to the morphology of the EEG signals, where some signals need more linear splines to model them compared to the others. As  $K$  varies, the rate of innovation  $\rho$  varies accordingly and leads to an increased or decreased number of spectral coefficients. Thus CR varies inversely as  $\rho$ . Table 3 also tabulates the distortions arising from our method. We obtained low RMSE and PRD, implying that our method recovers signals with some distortion. Furthermore, a MAXERR between 0.0070 and 0.0247 is obtained, suggesting that the distortions of the reconstructed signals are very small.

A typical plot of PARE and a histogram of the errors are shown in Figures 6 and 7. Errors between original samples of the signal and the corresponding reconstructed samples are amplified and shown in the PARE plot. The values of PARE are generally less than 5% of the original signal, although some PARE values are larger than 20% of the original signal. By comparing Figure 8 to Figure 6, the PRD is relatively high at 34.35% and the CC value is 98.43%. However, the differences between the original and reconstructed signals cannot be distinguished morphologically. Thus PRD alone cannot measure how well morphologies of the EEG signals are retained. The histogram of errors showed a concentration of errors in the range between  $-0.005$  to  $0.005$ , and

some outliers in the larger error bins, thus contributing to distortions. Although the results are satisfactory, we observed that the distortions arise from the estimation of the innovation parameters. Let us make a comparison of the CC obtained by sampling both the original EEG epochs and their nonuniform linear spline approximation (i.e., noise-free signals). If the estimation of the innovation parameters is accurate, the CC obtained should be the same. As shown in Figure 9, the CC obtained for the noise-free signal is higher than the noisy signal, due to wrong estimations of locations and weights.

In addition, we present results for sampling three 24-hour recordings. Figure 10 shows how the various metrics change in a continuous EEG recording of one patient. As illustrated in Figure 10(a), the number of coefficients  $2K$  varies between 200 and 1000, thus showing that for the same patient, the innovation parameters cannot be assumed to be constant. We also noted that although  $2K$  varies in a large range, CC is quite consistently lying in the range of 91% to 97% as in Figure 10(b). Furthermore, the distortion in the EEG is kept very low, as depicted by Figure 10(c). Compression ratio for this recording ranges from about 50% to 99%, which varies faithfully with  $2K$ . Table 4 tabulates the mean CR, CC, PRD, RMSE, MAXERR, and number of coefficients for each dataset. On the average, we achieved a CR of around 62.3% with low PRD, RMSE, and MAXERR



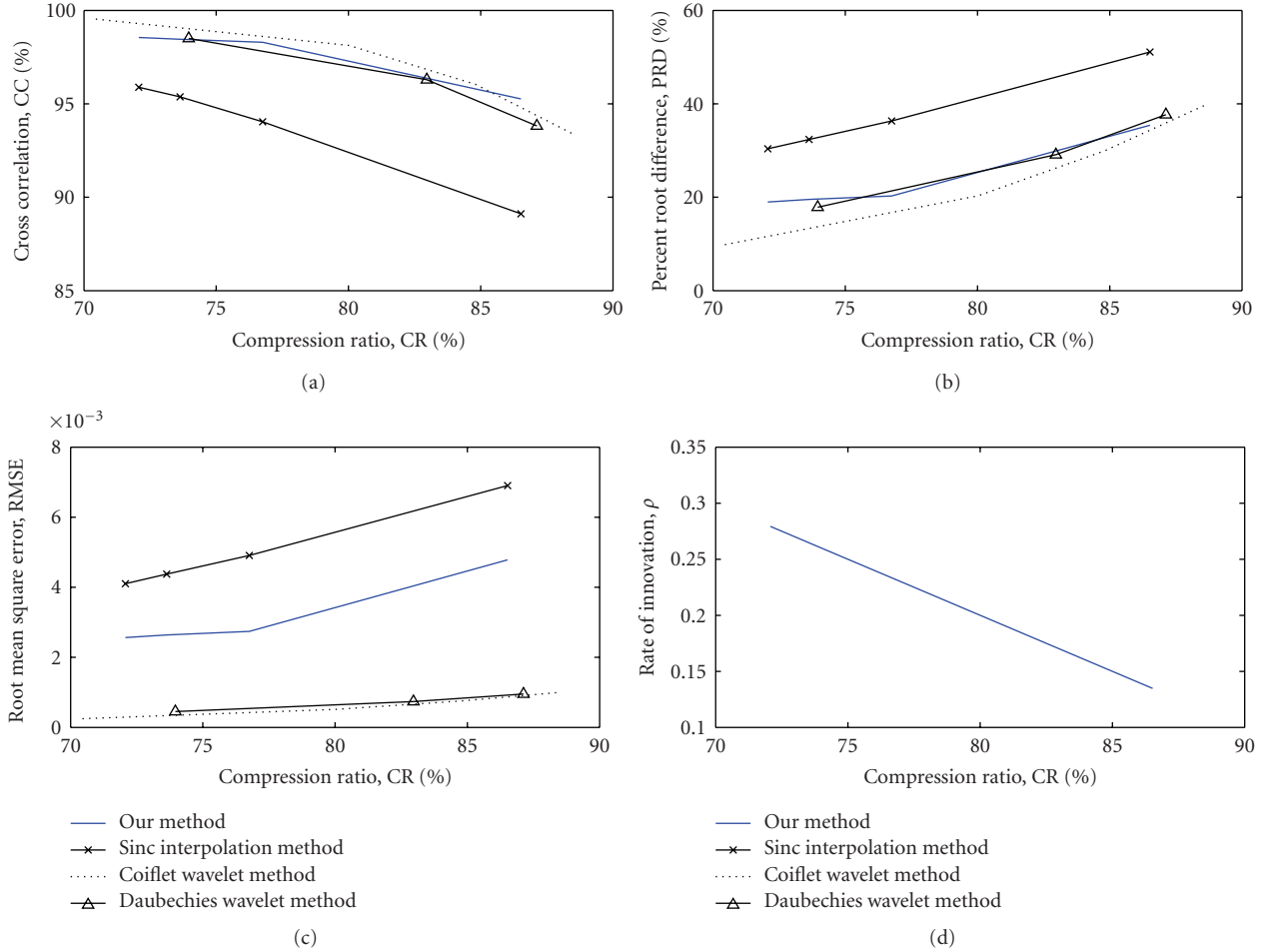


FIGURE 12: A comparison of the performance of our method with sinc interpolation, wavelet compression using Daubechies and coiflets wavelets on an EEG epoch. (a) Variation of CC with CR. (b) Variation of PRD with CR. (c) Variation of RMSE with CR. (d) Variation of  $\rho$  with CR.

errors of around 33.63%, 0.0055, and 0.0225, respectively. In addition, the CC achieved is around 95.08%. Based on the observations of our experiments, a minimum CC value of 90% and a maximum PRD value of 40% will maintain the morphologies of the reconstructed signals visually.

Figure 11 presents an example of the strength and uniqueness of our sampling scheme. The EEG signal's original sampling frequency is 256 Hz (Figure 11(a)). Our system estimated  $2K$  to be 474 and modelled the signal with 474 samples of the original signal (Figure 11(b)), which has a CR of 81.48%. Effectively, we are sampling the original signal at 47.5 Hz. We effectively reconstructed the 256 Hz signal as shown in Figure 11(c) with CC = 97.08%, RMSE = 0.0099, PRD = 22.43%, MAXERR = 0.0016. As a comparison, we reconstructed the signal in Figure 11(b) with the traditional sinc interpolation method (Figure 11(d)) with CC = 90.11%, RMSE = 0.0147, PRD = 48.30%, MAXERR = 0.428. Clearly, we are able to represent EEG signals with a low number of samples and reconstruct them with high fidelity.

Figure 12 shows the relation between CC, RMSE, PRD, and  $\rho$  with CR, respectively. The CR is inversely proportional

to the rate of innovation, as shown in the earlier discussion. In order to achieve a high CC, CR has to be compromised. Similarly, as we increase CR, the error involved such as RMSE and PRD will increase together, though not in a linear form. A comparison is made with traditional sinc interpolation and the performance of our method is superior since an interpolation process is unable to faithfully reconstruct signals acquired at a low-sampling rate into one of a higher sampling rate. Next we compared our results with that of compressing the EEG signals using wavelet compression methods. As illustrated, our method achieves comparable results in terms of CC and PRD although the RMSE achieved by wavelet methods is slightly better. Since RMSE only indicates how much error is incurred in the reconstruction without reference to the morphology of the signals, our results do not indicate that our reconstructed signals differ largely from the original signals morphologically. Furthermore, our method entails a less costly low-rate sampling device and does not waste precious computational resources collecting extra data only to discard them subsequently.

Figure 13 illustrates a comparison between an original and a reconstructed EEG signal with the  $2K = 1184$

TABLE 3: Performance of our method: CC, RMSE, PRD, and MAXERR.

EEG	Cross correlation CC (%)	Root mean square error RMSE	Percent root difference PRD (%)	Maximum error MAXERR
P1_001	94.05	0.0067	39.35	0.0235
P1_002	94.98	0.0082	32.37	0.0247
P1_003	91.87	0.0051	30.43	0.0212
P1_004	95.95	0.0024	34.90	0.0187
P1_005	93.52	0.0122	40.05	0.0204
P1_006	89.71	0.0066	51.37	0.0231
P1_007	92.42	0.0183	49.37	0.0213
P1_008	94.20	0.0091	35.43	0.0220
P1_009	94.20	0.0081	38.54	0.0186
P1_010	97.56	0.0039	23.05	0.0070
P2_001	95.08	0.0099	39.35	0.0102
P2_002	94.05	0.0055	39.78	0.0151
P2_003	93.98	0.0070	31.09	0.0211
P2_004	96.51	0.0069	31.52	0.0098
P2_005	95.36	0.0100	34.85	0.0178
P2_006	95.64	0.0063	30.83	0.0123
P2_007	92.28	0.0007	34.31	0.0210
P2_008	95.07	0.0008	36.31	0.0090
P2_009	97.26	0.0046	27.45	0.0012
P2_010	92.88	0.0096	43.52	0.0244
P3_001	95.25	0.0052	30.19	0.0231
P3_002	92.02	0.0031	32.11	0.0235
P3_003	90.03	0.0011	33.17	0.0209
P3_004	94.08	0.0032	33.27	0.0125
P3_005	93.44	0.0024	32.75	0.0102
P3_006	94.69	0.0011	37.07	0.0196
P3_007	92.07	0.0019	32.70	0.0180
P3_008	91.63	0.0012	28.33	0.0219
P3_009	90.20	0.0039	36.37	0.0243
P3_010	96.27	0.0025	29.95	0.0023

TABLE 4: Performance of our method on the 3 EEG datasets.

EEG	Patient 1	Patient 2	Patient 3	Average
Mean no. of coefficients	941	982	973	965
Mean CR (%)	63.24	61.53	61.98	62.3
Mean CC (%)	94.30	95.32	95.63	95.08
Mean PRD (%)	34.89	32.72	33.29	33.63
Mean RMSE	0.0080	0.0061	0.0025	0.0055
Mean MAXERR	0.0201	0.0302	0.0171	0.0225

coefficients, we reconstructed the signal and achieved CR = 81.48%, CC = 97.52%, RMSE = 0.0044, PRD = 24.55%, and MAXERR = 0.002. Furthermore, the NMSE of the reconstructed signal is in the range of  $5 \times 10^{-9}$  to  $7.2 \times 10^{-7}$  as opposed to 0.01 achieved by the method in [11]. A zoomed-in view in Figure 14 confirms that the morphology of the original signal is conserved in the reconstruction.

Lastly we will discuss about the computational costs of our scheme. With reference to Figure 5, the computational complexity can be estimated as follows.

- (i) Compute the DFT to obtain the Fourier series coefficients  $\in [-K, K]: O(K \log K)$ .
- (ii) Denoise:  $O(K^3)$ .
- (iii) Differentiate the denoised signal:  $O(K)$ .
- (iv) Solve a Toeplitz system of equation of size  $K$  by  $K$  to get  $A(z): O(K^2)$ .
- (v) Find the roots of  $A(z)$  by factorization, to get  $t_k: O(K^3)$ .
- (vi) Solve a Vandermonde system of equation of size  $K$  by  $K$  to get  $c_k: O(K^2)$ .
- (vii) Integrate the  $K$  Dirac pulses- $O(J)$

Hence effectively, the computational costs involved is  $O(K^3 + J)$ . For our dataset, the average time required to

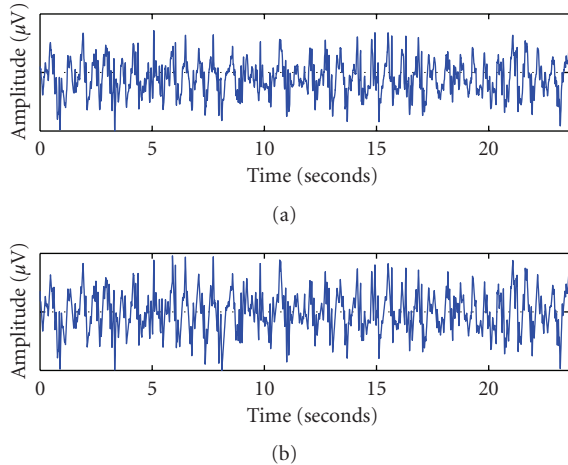


FIGURE 13: A comparison between (a) an original EEG signal and (b) the reconstructed EEG signal.  $2K = 1184$ ,  $CR = 81.48\%$ ,  $CC = 97.52\%$ ,  $RMSE = 0.0044$ ,  $PRD = 24.55\%$ ,  $MAXERR = 0.0022$ .

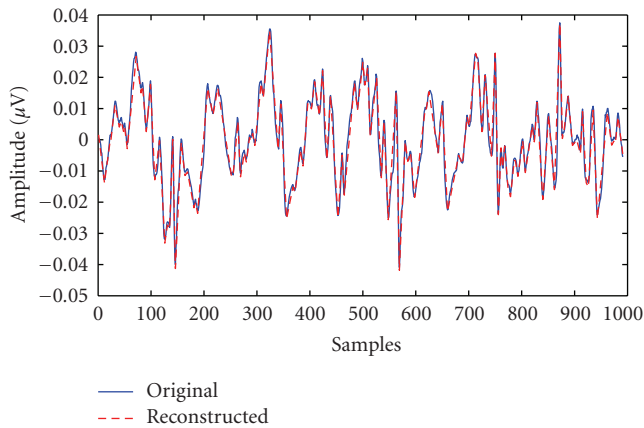


FIGURE 14: A zoom-in view of the comparison of original and reconstructed EEGs in Figure 13.

sample and reconstruct a 10-second epoch is 5.009 seconds on an Intel Core2 Duo 2.50 GHz system with 4 GB RAM. This computational time can be improved by employing fast algorithms on dedicated digital signal processors to achieve a realtime EEG signal acquisition and display.

## 5. Conclusions

We proposed an approach to compress EEG signals at source based on the finite rate of innovation sampling theory. Unlike traditional compression methods which acquire many data samples and later discard redundant ones, our proposed method relies on acquiring a small set of data from the original signal based on the signal's rate of innovation and then reconstructing the signal with high resolution. Even though a small set of data is obtained, our method retains the morphologies of the EEG signals. It yielded promising results such as good cross correlation and low distortions at a low computational cost. In this way, we achieve computational

savings which can be utilised in other more important signal processing stages. Moderate  $C$  ratios are obtained for some epochs, leading to a moderate compression ratio. Furthermore, it is observed that  $K$  changes depending on the state of the EEG, thus leading to a variable rate of innovation. Valuable information such as the occurrences of EEG abnormalities can be extracted through tracking the changes in the rate of innovation across the EEG. As such, the advantage of our compression method lies in the ability to compress EEG signals and track changes across EEG states concurrently. Although the accuracy of the estimated  $K$  affects the entire scheme, as discussed in [21], it can be estimated from the rank of a Toeplitz matrix. However, more research is needed to determine the correct duration of EEG signals to yield optimal  $K$  values based on certain evaluation metrics such as CR, CC, PRD, or MAXERR.

We will continue our work to minimise the local errors caused by outliers and to include adaptive rate of innovation to cater to the changing states of EEG signals. Finally we will investigate how EEG signals can be source compressed with finite rate of innovation in real time.

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