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# Joint ISAR imaging and azimuth scaling under low SNR using parameterized compensation and calibration method with entropy minimum criterion



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## Abstract

In general, the method of conventional motion compensation for inverse synthetic aperture radar (ISAR) imaging is divided into translational motion compensation (TMC) and rotational motion compensation (RMC) in sequence. TMC is the premise of rotational compensation and the most critical procedure is range alignment. However, the deviation of echo correlation results in the poor performance of range alignment under low signal-to-noise ratio (SNR). Therefore, a new high-resolution ISAR imaging and azimuth scaling method under low SNR using parameterized compensation and calibration is proposed in this paper. Firstly, the target motion is modeled, in which translational motion is modeled as formula of the polynomial coefficient vector. In addition, entropy minimization corresponding to echo signal with compensation term based on coefficients is taken as objective function. Moreover, the particle swarm optimization (PSO) algorithm is utilized to search the global optimal parameters to be estimated precisely and efficiently to implement joint motion compensation and azimuth scaling. The experimental results from both simulated and real data verify the effectiveness and robustness of the method.

**Keywords:** Inverse synthetic aperture radar (ISAR) imaging, Low signal-to-noise ratio (SNR), Particle swarm optimization (PSO), Motion compensation and azimuth scaling

# 1 Introduction

Due to the unique advantages such as all-day, all-weather, long range, and high resolution, ISAR is widely applied for noncooperative moving targets in two-dimensional (2-D) high-resolution imaging, feature extraction, classification and recognition in military and civil areas [1]. In most applications, range Doppler (RD) imaging algorithm is used to obtain the 2-D ISAR image from the echo signal of targets [2]. In ISAR scenarios, the target is often engaged in complicated maneuvers and therefore in order to achieve high-resolution ISAR image, the poor effect of imaging caused by the translational and rotational motion should be compensated before performing imaging processing.



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TMC introduces range shift and phase error. Therefore, conventional translational motion compensation is usually composed of two steps: range alignment and phase adjustment. In general, the algorithms for the accomplishment of range alignment [3–6] to consist of the maximum cross-correlation method between adjacent profiles and the minimum entropy method based on ISAR image. Also, the initial phase errors are then corrected by means of methods [7–10] such as phase gradient autofocus (PGA) or the optimization method based on image information. Moreover, due to the large rotational angle or size of target, the migration through range cell (MTRC) is introduced by rotation motion [11, 12]. Hence, the keystone transform (KT) is used to eliminate MTRC.

However, in real applications, due to the long distance between the radar and the small target, noise is inevitable and the SNR is usually low because of the signal decay from long range and absorption of transmit medium, which will have a great influence on ISAR imaging by disturbing the correlation between adjacent echoes and defocusing the radar image. Hence, the cascaded processing approach gives rise to error transmission and insufficient robustness under low SNR. Therefore, the performance of the conventional compensation method above would degrade severely. In order to improve the inferior compensation performance under low SNR, [13] method for noise reduction of high-resolution range profiles (HRRPs) after coarse motion compensation weakens the influence aroused by noise. Nevertheless, HRRPs are submerged in strong noises due to the low SNR or the correlation among echo is greatly reduced due to the large correlation of noise and therefore the performance of range alignment is impaired. Thus, based on the insensitivity of KT to noise, [14] is proposed to accomplish compensation at low SNR by improving the signal-to-noise ratio of data through adjacent coherent accumulation. However, the method in [14] is unapplicable since the SNR is too low for autofocus. Hence, the global optimization method [5, 15-17] is proposed with minimum entropy or maximum contrast of the image. Based on minimization of the entropy of the average range profile or ISAR image, the optimal polynomial coefficient vector is solved by an iteration search. Based on optimization method, a method for translational compensation with parameters estimation is proposed in [18, 19]. Although the translational compensation is achieved effectively and accurately, the rotational velocity estimation is not obtained and therefore the rotational compensation and azimuth scaling is fail to accomplish. Based on parameterized compensational method, the method  $\begin{bmatrix} 20-22 \end{bmatrix}$  for motion compensation with parameters estimation is proposed in which improves the performance of compensation strongly. However, the gradient and Hessian matrices are introduced in optimization algorithm resulting in large computational amount. Besides, to achieve rotational compensation, the velocity of rotation is required to obtain. But the coarse priori value of rotational velocity is hard to achieve while the Quasi-Newton method above is sensitive to the selection of initial value of the parameter.

Hence, a novel PSO-based method for high-resolution ISAR imaging under low SNR is proposed in this paper. Firstly, the translation is modeled as a polynomial and the rotation is converted into a function about center and effective velocity of rotation. In addition, the exponential phase term referring to motion compensation is constructed. Therefore, the issue of high-resolution ISAR imaging in low SNR environment is transformed into the optimal parameterized estimation problem. What is more, the entropy of the ISAR image corresponding to compensational phase is utilized as objective function to obtain the optimal polynomial coefficient vector based on PSO optimization algorithm, which performs well in global optimization. Thus, the high quality ISAR image after azimuth calibration is achieved with the optimal parameters above.

The paper is organized as follows. Section 2 introduces the signal model of ISAR imaging. In Sect. 3, the estimated polynomial coefficient vector based on PSO to achieve the compensation and the azimuth scaling is modeled. The experimental results on simulated and real data are presented in Sect. 4 to verify the effectiveness and robustness of the proposed method. Finally, this paper ends with a brief conclusion in Sect. 5.

#### 2 Signal model of ISAR imaging

The geometry of the ISAR imaging system is shown in Fig. 1. XY defines a Cartesian coordinate system, where the origin O is the rotational center of the target. In the 2-D geometry, target motion is divided into translational motion and rotational motion.

Therefore, assuming that the target consists of K scattering centers and the backward scattering amplitude of the *k*th scattering point is  $\sigma_k$  (k = 1, 2, ..., K). ( $x_k, y_k$ ) is the initial abscissa and ordinate of scattering point *P* in the image projection plane and the instantaneous distance from the *k*th scattering point *P* to the radar can be expressed as

$$R_{k,m} = R_0 + \Delta R_{\text{trans}}(t_m) + \Delta R_{\text{rot}}(t_m) \tag{1}$$

where  $R_0$  denotes the radial distance between rotation center and radar at the initial time;  $t_m$  is the slow time with  $0 \le t_m \le T_a$ , where  $T_a$  is the coherent processing interval (CPI);  $\Delta R_{\text{trans}}(t_m)$  is the instantaneous radial distance from the radar to the rotation center O, caused by translational motion, and also the movement of the target along the line of radar sight;  $\Delta R_{\text{rot}}(t_m)$ , between the *k*th scattering point *P* and center O caused by rotational motion, can be expressed as the following equation according to the turntable model

$$\Delta R_{\rm rot}(t_m) = x_k \sin\left[\theta(t_m)\right] + y_k \cos\left[\theta(t_m)\right] \tag{2}$$

Where  $\theta(t_m)$  denotes the instantaneous rotational angle of target. In general, the rotation angle during the CPI for ISAR imaging is very small. Therefore, during a short dwell time, the effective angular motion can be assumed constant, representing by the effective rotational velocity  $\omega_{\text{eff}}$ . Therefore, the instantaneous rotational angle of target is defined as  $\theta(t_m) = \omega_{\text{eff}} \cdot t_m$ . By using the Taylor series, it can be approximated as



Fig. 1 The geometry diagram of ISAR imaging

$$\begin{cases} \cos\theta[(t_m)] \approx 1\\ \sin\theta[(t_m)] \approx \omega_{eff} \cdot t_m \end{cases}$$
(3)

Supposing that the radar transmits a linear frequency modulation (LFM) waveform, it leads to

$$s(\hat{t}, t_m) = \operatorname{rect}\left(\frac{\hat{t}}{T_p}\right) \exp\left\{j2\pi\left(f_0 t + \frac{1}{2}\gamma \hat{t}^2\right)\right\}$$
(4)

where  $\hat{t} \in [-T_p/2, T_p/2]$  represents the fast time and  $t = \hat{t} + t_m$  is the total time;  $T_p$ ,  $f_0$  and  $\gamma = B/T_p$  refer to the pulse width, carrier frequency, and the frequency modulation rate, where *B* is the bandwidth. The received base band signal after quadrature demodulation can be expressed as

$$s_{0}(\hat{t}, t_{m}) = \sum_{k=1}^{K} \frac{\sigma_{k} \operatorname{rect}\left(\frac{\hat{t}-2R_{k,m}/c}{T_{p}}\right)}{\exp\left\{j2\pi\left(-f_{0}\frac{2R_{k,m}}{c}+\frac{1}{2}\gamma\left(\hat{t}-2R_{k,m}/c\right)^{2}\right)\right\}}$$
(5)

where *c* denotes the light speed.

Suppose the reference signal of matched filter is

$$h(\hat{t}) = \operatorname{rect}\left(\frac{\hat{t}}{T_p}\right) \exp\left\{-j\pi\gamma\hat{t}^2\right\}$$
(6)

Thus, after range compression by the matched filter processing method, the HRRPs can be expressed as

$$s_{r}(\hat{t}, t_{m}) = \sum_{k=1}^{K} \sigma_{k} T_{p} \operatorname{sinc} \left\{ B\left(\hat{t} - \frac{2R_{k,m}}{c}\right) \right\} \exp \left\{ -j \frac{4\pi R_{k,m}}{\lambda} \right\}$$
$$= \sum_{k=1}^{K} \frac{\sigma_{k} T_{p} \operatorname{sinc} \left\{ B\left(\hat{t} - \frac{2[R_{0} + \Delta R_{\operatorname{trans}}(t_{m}) + \Delta R_{\operatorname{rot}}(t_{m})]}{c}\right) \right\}}{\exp \left\{ -j \frac{4\pi [R_{0} + \Delta R_{\operatorname{trans}}(t_{m}) + \Delta R_{\operatorname{rot}}(t_{m})]}{\lambda} \right\}}$$
(7)

In Eq. (7), the first term is the envelop term, while the second term is the phase term. The radial distance  $\Delta R_{\text{trans}}(t_m)$  in envelop term and in phase term, caused by translation, leads to the offset of range profiles along the slow time  $\Delta t_e(t_m) = 2R_{\text{trans}}(t_m)/c$  and phase error  $\Delta \phi(t_m) = 4\pi R_{\text{trans}}(t_m)/\lambda$ , respectively. In general, the max-correlation method and the PGA method are adopted to accomplish the alignment of range profiles and phase correction for translational compensation. Moreover, for some big targets or some targets with big  $\omega_{\text{eff}}$ , the rotational distance  $\Delta R_{\text{rot}}(t_m) = x_k \omega_{\text{eff}} t_m + y_k$  in envelop term might exceeds one range cell leading to slant-range MTRC resulting in ISAR image blurring. Therefore, the KT is adopted to compensate the slant MTRC caused by rotation.

After these steps of compensation with the methods above, it can be obtained

$$s_r(\hat{t}, t_m) = \sum_{k=1}^{K} \sigma_k T_p \operatorname{sinc}\left[B\left(\hat{t} - \frac{2y_k}{c}\right)\right] \exp\left(-j4\pi \frac{x_k \omega_{\operatorname{eff}} t_m + y_k}{\lambda}\right)$$
(8)

It can be seen from (8) that the range resolution is

$$\rho_r = \frac{c}{2f_s} \tag{9}$$

By using the Fourier transform of (9) in terms of  $t_m$ , the high-resolution ISAR image of the receiver can be obtained

$$s_r(\hat{t}, f_m) = \sum_{k=1}^{K} \sigma_k T_p T_a \operatorname{sinc} \left[ B\left(\hat{t} - \frac{2y_k}{c}\right) \right] \operatorname{sinc} \left[ T_a \left( f_m - \frac{2x_k \omega_{\text{eff}}}{\lambda} \right) \right] \cdot e^{-\frac{4\pi f_c}{c} y_k} \quad (10)$$

It can be seen from (10) that the azimuth resolution is

$$\rho_a = \frac{\lambda}{2\Delta\theta} = \frac{\lambda}{2\omega_{\rm eff}T_a} \tag{11}$$

## 3 Joint motion compensation and azimuth scaling under low SNR by minimum entropy

The proposed method in this paper is mainly comprised of three steps based on parameters estimation by minimum entropy as follows. Firstly, the received incoherent signal is converted into coherent one reflecting the motion information. Also, motion of the target is modeled as formula of the polynomial coefficient vector to be estimated based on PSO by entropy minimization as objective function. Therefore, the parameters above are utilized to implement joint motion compensation and azimuth scaling.

#### 3.1 The coherent processing of the incoherent signal

Since the radial range based on translation within the observation time changes greatly, the radar transmits signal in the form of pulse group to ensure that each pulse group is acquired with corresponding window. Suppose the reference range of the *m*th pulse is  $R_{\text{ref}}$  and the width of sampling window is  $T_{\text{wind}}$ . Therefore, Eq. (5) can be written as

$$s_0(\hat{t}, t_m) = \sum_{k=1}^{K} \frac{\sigma_k \operatorname{rect}\left(\frac{\hat{t} + (2R_{\operatorname{ref}}/c - T_{\operatorname{wind}}/2) - 2R_{k,m}/c}{T_p}\right)}{\exp\left\{j2\pi \left(-f_0 \frac{2R_{k,m}}{c} + \frac{1}{2}\gamma \left(\hat{t} + \left(\frac{2R_{\operatorname{ref}}}{c} - \frac{T_{\operatorname{wind}}}{2}\right) - \frac{2R_{k,m}}{c}\right)^2\right)\right\}}$$
(12)

Thus, assume that  $\hat{t} - T_{\text{wind}}/2$  is the reference fast time, the range profile after matched filtering is given by

$$s_r(\hat{t}, t_m) = \sum_{k=1}^{K} \sigma_k T_p \operatorname{sinc}\left\{B\left(\hat{t} - \frac{2\Delta R_{k,m}}{c}\right)\right\} \exp\left\{-j\frac{4\pi\,\Delta R_{k,m}}{\lambda}\right\}$$
(13)

where  $\lambda$  is the wavelength and  $\Delta R_{k,m} = R_{k,m} - R_{ref}$ .

In this paper, the proposed method is based on the HRRPs that can reflect the target motion trajectory. Without the position of the windowing gate, the method is ineffective, which is also the limitation of this method. However, for new radar equipment, it is generally possible to use the data of narrowband ranging as a benchmark to accurately record the window position for wideband echo acquisition. Hence, in order to ensure the facility of the subsequent coherent compensation, by using the data of the windowing recorded from the radar, the windowing difference is compensated completely.

Thus, the HRRPs from (13) after coherent processing can be expressed as

$$s_r(\hat{t}, t_m) = \sum_{k=1}^{K} \sigma_k T_p \operatorname{sinc}\left\{B\left(\hat{t} - \frac{2R_{k,m}}{c}\right)\right\} \exp\left\{-j\frac{4\pi R_{k,m}}{\lambda}\right\}$$
(14)

Therefore, the received coherent signal is taken as the input of the motion model of the target.

### 3.2 The motion modeling of the target

In general, due to the complex motion of the target, the radial translational motion of the maneuvering target is modeled as an L-order polynomial as shown in the following

$$\Delta R_{\text{trans}}(t_m) = \sum_{l=1}^{L} \alpha_l(t_m)^l$$
(15)

where  $\alpha_l$  is the coefficient of *l*th-order polynomial, l = [1, 2, ..., L], in which *L* is the total polynomial order. In practical scenarios, for stationary moving targets, the velocity of translational motion can be approximated as constant during the imaging accumulation time. Therefore, the majority of the translational motion is introduced by the low order terms while the higher order terms would not degrade the image quality too much. Hence, in order to avoid the disadvantages of high computational cost and overfitting, the second-order fitting accuracy is sufficient. Consequently, in this paper, the radial translational motion results. Therefore, the coefficient of first-order term is the velocity of obtain good prediction results. Therefore, the coefficient of the terms is the velocity and the second-order term is corresponding to acceleration. Thus, the radial translational motion can be apprecised as

$$\Delta R_{\text{trans}}(t_m) = \alpha_1 \cdot t_m + \alpha_2 \cdot t_m^2 = \nu \cdot t_m + \frac{1}{2}a \cdot t_m^2$$
(16)

where v and a denotes the velocity and acceleration of the target, respectively.

Moreover, in order to describe the change of the total instantaneous radial distance more precisely, the two-order Taylor series expansion is carried out for trigonometric functions as shown in the following

$$\begin{cases} \cos\left[\theta(t_m)\right] \approx 1 - \frac{1}{2}(\omega_{\text{eff}} \cdot t_m)^2\\ \sin\left[\theta(t_m)\right] \approx \omega_{\text{eff}} \cdot t_m \end{cases}$$
(17)

Thus,  $\Delta R_{rot}(t_m)$  can be expressed as

$$\Delta R_{\rm rot}(t_m) = x_k \omega_{\rm eff} \cdot t_m + y_k - \frac{1}{2} y_k (\omega_{\rm eff} \cdot t_m)^2$$
(18)

Substituting (18) into (14), the HRRPs is given by

$$s_{r}(\hat{t}, t_{m}) = \sum_{k=1}^{K} \cdot \exp\left\{ B\left[\hat{t} - \frac{2(R_{0} + \Delta R_{\text{trans}}(t_{m}) + x_{k}\omega_{\text{eff}}t_{m} + y_{k})}{c}\right] \right\}$$

$$(19)$$

Since the quadratic term is generally less than half one range cell due to the relatively small effect of rotation on the envelope of range profile, it is neglected in the envelope term. On the contrary, the range shift cause by rotation called as the slant-range MTRC and the quadratic term in the phase term called as the cross-range MTRC are preserved. Therefore, the translational motion error and the two terms involving MTRC need to be corrected.

Hence, in order to demonstrate the property of range shift and slant-range MTRC, (19) is converted into range frequency domain after the Fourier transform with respect to  $\hat{t}$  as shown in the following formula

$$\sigma_{k} \exp\left\{-j\frac{4\pi}{\lambda}\left(x_{k}\omega_{\text{eff}}t_{m}+y_{k}\right)\right\}$$

$$s_{r}\left(f_{r},t_{m}\right) = \sum_{k=1}^{K} \cdot \exp\left\{-j\frac{4\pi}{c}\left(f_{r}+f_{c}\right)\frac{\left[R_{0}+\Delta R_{\text{trans}}\left(t_{m}\right)\right]}{c}\right\}$$

$$\exp\left\{-j\frac{4\pi f_{r}}{c}\left(x_{k}\omega_{\text{eff}}t_{m}+y_{k}\right)\right\} \cdot \exp\left\{j\frac{2\pi f_{c}y_{k}\omega_{\text{eff}}^{2}t_{m}^{2}}{c}\right\}$$
(20)

In (20), the first exponential term refers to the Doppler frequency and the second one represents the translational motion error including range misalignment and phase error. The third and the last term are slant-range MTRC and cross-range MTRC, respectively.

Suppose that the signal term converted by the ideal range profile is given by

$$\tilde{s}_r(f_r, t_m) = \sum_{k=1}^K \sigma_k \exp\left\{-j2\pi f_r \frac{2(R_0 + y_k)}{c}\right\} \cdot \exp\left\{-j\frac{4\pi}{\lambda} \left(x_k \omega_{\text{eff}} t_m + y_k\right)\right\}$$
(21)

Therefore, substituting (21) into (20) and assuming that the slant-range MTRC has been compensated, after discretization, (20) is rewritten as

$$s_{r}(h,m) = \tilde{s}_{r}(h,m) \cdot \exp\left\{j\frac{2\pi}{\lambda} \cdot y_{k}\omega_{\text{eff}}^{2}(m\Delta t_{m})^{2}\right\}$$
$$\cdot \exp\left\{-j\frac{4\pi}{c}\left(h\Delta f_{r} + f_{0}\right) \cdot \Delta R_{\text{trans}}(m\Delta t_{m})\right\}$$
(22)

where *h* denotes the range frequency bin index with  $-H/2 \le h \le H/2 - 1$  and *m* denotes the slow time index with  $-M/2 \le m \le M/2 - 1$ , with *H* and *M* referring to the total number of range bin and azimuth bin, respectively.  $\Delta f_r$  and  $\Delta t_m$  correspond to the sampling interval of range frequency and pulse repetition frequency. Moreover,  $y_k$  is the ordinate of the *k*th scattering point which is can be expressed as

$$y_k = (n - y_0) \cdot \rho_r \tag{23}$$

where  $y_0$  denotes the ordinate of the rotational center.

For clarity, considering the additive noise in the case of the low SNR and (22), the signal model in a discrete form can be expressed as

$$\mathbf{S} = \mathbf{T} \odot \mathbf{R} \odot (\mathbf{F}_{\mathbf{r}} \mathbf{X}) + \mathbf{N} = \mathbf{C} \odot (\mathbf{F}_{\mathbf{r}} \mathbf{X}) + \mathbf{N}$$
(24)

In (24),  $\odot$  is Hadamard product.  $\mathbf{S} = [s_r(h, m)]_{H \times M} \in \mathbb{C}^{H \times M}$ ,  $\mathbf{X} \in \mathbb{C}^{N \times M}$  and  $\mathbf{N} \in \mathbb{C}^{H \times M}$ refer to the signal with error under low SNR in  $(f_r, t_m)$  domain, ideal signal in the scattering field and additive complex Gaussian white noise, respectively.  $\mathbf{T} = [\exp(j\varphi_T)]_{H \times M}$ stands for translational motion error and  $\mathbf{R} = [\exp(j\varphi_R)]_{H \times M}$  represents the crossrange MTRC, where  $\varphi_T = -4\pi (h\Delta f_r + f_c) \cdot \Delta R_{\text{trans}}(m\Delta t_m)/c$  and  $\varphi_R = 2\pi (n - y_0) \rho_r \cdot \omega_{\text{eff}}^2 (m\Delta t_m)^2 / \lambda$ .  $\mathbf{C} = \mathbf{T} \odot \mathbf{R}$  is the matrix of the error. Moreover,  $\mathbf{F_r} = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \alpha & \alpha^2 & \cdots & \alpha^{(H-1)} \\ 1 & \alpha^2 & \alpha^4 & \cdots & \alpha^{(H-2)} \\ \vdots & \vdots & \cdots & \vdots \\ 1 & \alpha^{(N-1)} & \alpha^{(N-2)} & \cdots & \alpha \end{bmatrix}_{H \times N}$  is Fourier transform matrix in range domain

and  $\alpha = \exp(-j2\pi/N)$ , where *N* denotes the sampling numbers of columns of **X**. Hence,  $\mathbf{\Theta} = [v, a, y_0, \omega_{\text{eff}}]$  is defined as the parameters vector and  $\hat{\mathbf{\Theta}} = [\hat{v}, \hat{a}, \hat{y}_0, \hat{\omega}_{\text{eff}}]$  is the estimation of the vector above. Therefore, in order to accomplish TMC and RMC, the signal in range frequency domain after motional compensation with the estimated parameters is shown in the following

$$\mathbf{S}_{\mathbf{c}} = \mathbf{S} \odot \mathbf{C}_{\hat{\mathbf{\Theta}}} \tag{25}$$

After applying inverse Fourier transform in range direction and Fourier transform in azimuth direction, (25) is transformed into 2-D ISAR image after compensation as shown in the following

$$\mathbf{G}\left[g\left(n,k;\hat{\mathbf{\Theta}}\right)\right] = \mathbf{F_r}^{\mathbf{H}} \mathbf{S_c} \mathbf{F_a}$$
(26)  
Where  $\mathbf{F_a} = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \beta & \beta^2 & \cdots & \beta^{(K-1)} \\ 1 & \beta^2 & \beta^4 & \cdots & \beta^{(K-2)} \\ \vdots & \vdots & \cdots & \ddots & \vdots \\ 1 & \beta^{(M-1)} & \beta^{(M-2)} & \cdots & \beta \end{bmatrix}_{M \times K}$ 
is Fourier transform matrix in azi-

muth domain and  $\beta = \exp(-j2\pi/K)$ , where *K* denotes the sampling numbers of lines of **X**.

TMC is accomplished with the fine estimated parameters corresponding to translational motion. Due to the azimuth resolution corresponding to  $\omega_{\text{eff}}$  from (11), RMC and azimuth scaling can be achieved simultaneously if the corresponding motion parameters, the ordinate of the rotational center and the effective rotational velocity, are accurately estimated.

Therefore, in order to accomplish well-focus ISAR image, the achievement of motion compensation and azimuth scaling can be converted into an unconstrained optimization problem of obtaining the minimum or maximum of the cost function to estimate related parameters.

# 3.3 Joint motion compensation and azimuth scaling based on the estimation of motion parameters

In general, image entropy is used to measure the focusing effect of an image. The smaller the entropy, the better the focusing performance of the image. Hence, image entropy is taken as the cost function and the optimal estimated parameters can be achieved by the following formula

$$\hat{\boldsymbol{\Theta}} = \arg\min_{\hat{\boldsymbol{\Theta}}} \left\{ \operatorname{IE} \left[ g\left(n, k; \hat{\boldsymbol{\Theta}} \right) \right] \right\}$$
(27)

where  $IE\left[g\left(n,k;\hat{\Theta}\right)\right]$  denotes the entropy of the ISAR image and it can be expressed as

$$\operatorname{IE}\left[g\left(n,k;\hat{\boldsymbol{\Theta}}\right)\right] = \sum_{h=0}^{N-1} \sum_{k=0}^{M-1} \left(-\frac{\left|g\left(n,k;\hat{\boldsymbol{\Theta}}\right)\right|^{2}}{E_{s}}\right) \ln \frac{\left|g\left(n,k;\hat{\boldsymbol{\Theta}}\right)\right|^{2}}{E_{s}}$$
(28)

In (28),  $E_s$  is given by

$$E_{s} = \sum_{h=0}^{N-1} \sum_{k=0}^{M-1} \left| g\left(n, k; \hat{\Theta}\right) \right|^{2}$$
(29)

As discussed above, the estimation of parameters to achieve motion compensation and azimuth scaling can be seen as a global optimization problem. PSO is an intelligent optimization algorithm with simple model, high efficiency, and high precision results. In practice, the acquisition of the coarse initial value of effective rotational velocity results in poor efficiency meanwhile there is no need for the initial value of parameters as input in PSO. Due to the superiority of PSO as mentioned above, the PSO optimization algorithm is adopted to achieve effective solution of optimization problems.

In PSO, a group of random particles are initialized which have only two attributes that velocity and position. The maximum velocity of particles is generally 10% to 20% of the each current estimated parameter search space and the corresponding initial velocity of particles is set to  $[-\nu_{max}, \nu_{max}]$ . The initial position of the particles is the initial value of the each parameter to be estimated. The initial values of velocity and acceleration can be set from the target radial velocity data measured by narrowband signal transmitted by radar, which are the coarse initial values to accelerate convergence. The initial value of the vertical coordinate of the target rotation center is generally determined by the total numbers of the range cells. In addition, the target rotational velocity is generally not greater than 0.1rad/s and the initial value is set to 0.05. Moreover, considering that too few particles may lead to convergence of local optimal solutions and insufficient exploration of search space in high-dimensional space, while too many particles may lead to low computational efficiency and overfitting, the number of particles for the PSO algorithm is set to 50 in this paper after adjustment and optimization in the experiment.

The minimum image entropy of each particle is achieved. In addition, individual extremum and global extremum are updated if the entropy value is lower than the previous optimal value and the global previous optimal value, respectively. After the two extremums are obtained, particles updating the velocity and position can be expressed as

$$\begin{cases} v_i^e = \phi v_i^{e-1} + c_1 r_1 \left( \hat{\boldsymbol{\Theta}}_i^{e, pbest} - \hat{\boldsymbol{\Theta}}_i^{e-1} \right) + c_2 r_2 \left( \hat{\boldsymbol{\Theta}}^{e, gbest} - \hat{\boldsymbol{\Theta}}^{e-1} \right) \\ \hat{\boldsymbol{\Theta}}_i^e = \hat{\boldsymbol{\Theta}}_i^{e-1} + v_i^e \end{cases}$$
(30)

where *i*, *v*,  $\hat{\Theta}$ , and *e* denote the particle index, velocity, position and iteration index, respectively. In general,  $r_1$  and  $r_2$  denote the random values with rand (0,1).  $\hat{\Theta}_i^{e,pbest}$  is the individual best position of *i*th particle while  $\hat{\Theta}^{e,gbest}$  represents the global optimal position.  $c_1$  and  $c_2$  are the learning factors. During the optimization iteration process, the two learning factors undergo different changes over time. The size of learning factors  $c_1$  and  $c_2$  determines the impact of particle self-awareness and social cognition on particle trajectories, reflecting the degree of information exchange between particles in groups. A larger  $c_1$  will cause too many particles to wander within the local range, while a larger  $c_2$  will prompt the particles to converge to the local minimum prematurely. Therefore, the learning factors are given by

$$\begin{cases} c_1 = c_{1s} - (c_{1s} - c_{1e}) \cdot \cos(\phi) \\ c_2 = c_{2s} - (c_{2s} - c_{2e}) \cdot \cos(\phi) \end{cases}, \text{ where } \begin{cases} c_{1s} = 2.5; c_{1e} = 0.5; \\ c_{2s} = 0.5; c_{2e} = 2.5; \end{cases}$$
(31)

Therefore, at the beginning of optimization iteration, the social learning ability of particles is weak while the self-learning ability is strong, making it easy to achieve fast search and strengthen global search ability. In the later stage of optimization iteration, the selflearning ability of particles is weak while the social learning ability is strong, which is conducive to local fine search and converges to the global optimal solution with high accuracy.

 $\phi$  is the inertia weight coefficient. Large  $\phi$  means that the PSO algorithm has strong global spatial search ability, while small  $\phi$  is corresponding to strong local spatial search ability. Hence,  $\phi$  is reduced nonlinearly, which makes the PSO algorithm have a strong ability to explore the whole solution space at the initial stage of the iteration, while at the later stage of the iteration, it can conduct refined search locally, which accelerates the convergence rate and improves the search accuracy of PSO, respectively. The inertia weight coefficient is given by

$$\phi = 0.9 - (0.9 - 0.4) \cdot \sqrt{\frac{i}{I}} \tag{32}$$

where *i* and *I* denote particle index and the total number of particles.

Moreover, the velocity rebound strategy is utilized to prevent the decrease in population diversity caused by particles out of the search interval. When the particle exceeds the position edge indicating the estimated parameter value exceeds the corresponding search space, the particle flies in the opposite direction with the original velocity value and the related estimated parameter value is changed into the particle position edge value set before. Therefore, the velocity and position of particle can be expressed as

$$v_{id}^{e} = \begin{cases} v_{id}^{e-1} \quad \hat{\Theta}_{id}^{e-1} \in (l_{id}, u_{id}) \\ -v_{id}^{e-1} \quad \hat{\Theta}_{id}^{e-1} \notin (l_{id}, u_{id}) \end{cases} \quad \hat{\Theta}_{id}^{e} = \begin{cases} l_{id} \quad \hat{\Theta}_{id}^{e-1} < l_{id} \\ u_{id} \quad \hat{\Theta}_{id}^{e-1} > u_{id} \end{cases}$$
(33)

Where *i*, *v*,  $\hat{\Theta}$ , and *e* denote the particle index, velocity, position and iteration index, respectively.  $l_{id}$  and  $u_{id}$  are the upper and lower limits of the search space.

## 3.4 The framework of the method

Detailed implementation procedures of the parameters estimation for compensation are given as follows.

Step 1 After preprocessing consisting of range compression and coherent processing, the echo signal of the target including K scattering points is converted into HRRPs shown in (14). And, the cost function corresponding to the entropy of the ISAR image after compensation shown in (29) is constructed.

Step 2 Initialization for the group of particles and parameters setting.

Step 3 Start with the iteration and calculate the value of the fitness function

Step 4 Determine the best solution of  $\hat{\Theta}_{i}^{e,pbest}$  and  $\hat{\Theta}^{e,gbest}$ 

*Step 5* Update the velocity and position of each particle

Step 6 Repeat steps until the difference  $\Delta IE$  between the entropy of  $\hat{\Theta}^{e,gbest}$  and  $\hat{\Theta}^{e-1,gbest}$  is smaller than the given threshold  $\varepsilon$ 

*Step 7* With the obtained optimal parameters, motion compensation and azimuth scaling are achieved.

In summary, the flowchart of the proposed approach is shown in Fig. 2. Given the fitness function referring to the entropy of the ISAR image after compensation. The optimal parameters to be estimated are obtained until the conditions for the end of iteration are satisfied. After the parameters are substituted into the exponential compensation terms, the high resolution ISAR 2-D image is obtained. Moreover, azimuth



Fig. 2 The flowchart of the proposed method

scaling is accomplished due to the accurate estimation of  $\hat{\omega}_{\text{eff}}$ . Therefore, the form and dimension features of the target are precisely achieved.

#### 4 Experimental results and discussion

In this section, the performance of the proposed algorithm in this paper is verified and analyzed through simulation and real data experiment. Firstly, the effectiveness of the algorithm in high-resolution compensated imaging of complex moving targets is validated compared with the two conventional compensation method. The two traditional methods are completed through cascaded processing, where the maximum cross-correlation method [2] and global optimal method [17] are adopted to achieve range alignment, respectively, PGA [7] to correct the phase error and KT [14] to solve MTRC, which are named the first method and the second method. Furthermore, the robustness of the estimation accuracy of the method under multiple SNRs is verified with the relative error results of the motion parameter estimation. Finally, simulated satellite facet data experiment and real data experiment are demonstrated the validity of the proposed algorithms.

## 4.1 The simulated data experiments

#### 4.1.1 The simulated satellite point scattering data experiments

The satellite model is used for simulation experiments with 97 scattering points, whose transverse dimension is 30 m and longitudinal dimension is 35 m, as shown in Fig. 3. In the simulation, the parameters of the set radar waveform signal are shown in Table 1.

Due to the large variation in radial range based on translation of the observed target within the radar imaging observation time, the radar transmits signal in the form of pulse group and each pulse group is acquired with corresponding window. Also, the echo signal is processed by pulse compression and therefore the radial motion track of the target reflected by the HRRPs presents random jump characteristics, as shown in Fig. 4a.

The windowing difference is compensated by using the position of the windowing gate recorded by the radar. The obtained one-dimensional HRRPs is shown in Fig. 4b. It can be seen from the diagram that the HRRPs after compensating the windowing difference



Fig. 3 Scattering point model of simulated satellite data

	Symbol	Value
Carrier frequency (GHz)	f <sub>c</sub>	10
Bandwidth (GHz)	В	1
Transmitting pulse width ( $\mu$ s)	Т	200
Receiving time-window width ( $\mu$ s)	T <sub>ref</sub>	202
Pulse repeat frequency (Hz)	PRF	100
Sampling frequency (GHz)	$f_{s}$	1.2
Pulse numbers	М	256
Velocity of the target (m/s)	V	40
Acceleration of the target $(m/s^2)$	а	5
Rotational velocity of the target (rad/s)	$\omega_{ m eff}$	0.0391
Ordinate of the rotational center	Yo	1200

Table 1	Parameters	of simulated	radar signal



Fig. 4 The HRRPs before and after coherent processing. a The incoherent HRRPs. b The coherent HRRPs

can reflect the motion information of the target and therefore motion compensation can be implemented after high-precision estimation of related parameters.

In general, the HRRPs image with a SNR below -5dB can be considered low SNR. In the wideband signal model, SNR is generally referred to the ratio of the average power of the target area to the average power of the noise, which can be expressed as

$$SNR = 10 \cdot \log_{10} \left( \frac{P_S}{P_N} \right) \tag{34}$$

where  $P_S$  and  $P_N$  denote the average power of the target area and the additive complex Gaussian noise, respectively. By adding Gaussian white noise to the simulation signal, the high SNR with a value of 20 dB and the low SNR with a value of -5 dB are set, respectively. The two conventional imaging compensation method and the motion parameter estimation compensation method proposed in this paper are used to perform high-resolution ISAR 2-D imaging for the simulation data under the two SNR.

Figure 5 shows the comparison of imaging results under high SNR. From the simulation results of conventional methods under the condition of high SNR shown in (a)–(d), it can be seen that compared with the results of this proposed method with fine

	<i>v̂</i> (m/s)	<i>â</i> (m/s²)	ŷo	$\hat{\omega_{\text{eff}}}$ (rad/s)
SNR = 20  dB	40.1037	4.9995	1202.6	0.0390
SNR = -5 dB	39.9208	4.9992	1209.3	0.0390

Table 2 The estimated parameters with PSO method



**Fig. 5** ISAR images under high SNR after compensation. **a** The alignment effect of the first method. **b** The imaging effect of the first method. **c** The alignment effect of the second method. **d** The imaging effect of the second method. **e** The convergence curve of parameter estimated iteration. **f** The alignment effect of the proposed method. **g** The imaging effect of the proposed method. **b** ISAR images after azimuth calibration

estimated parameters in Table 2, as shown in (f) and (g), the performance of range alignment of HRRPs and the focusing of 2-D ISAR image are both relatively well. Moreover, due to the good performance of the global optimization, the focusing effect of ISAR image based on the second method is better than the first method. However, since the velocity and center of rotation is unavailable with the two conventional methods, the quality of the obtained ISAR image is relatively low due to the defocusing along the azimuth direction and azimuth calibration cannot be achieved. It can be seen from (e) that the minimum entropy iteration curve can achieve convergence in the 15th cycle.

Moreover, as shown in Fig. 5h, it can be seen that with the accurate  $\hat{y}_0$ ,  $\hat{\omega}_{\text{eff}}$ , Eqs. (9) and (10), the size along azimuth direction and range direction are 28.85m and 35.50m, respectively. Therefore, the azimuth calibration is achieved by using the precisely estimated parameters. Thus, by applying the method in this paper, ISAR image is achieved efficiently and accurately.

The performance of ISAR imaging under low SNR is further simulated as shown in Fig. 6. The one-dimensional HRRPs and two-dimensional image of the target with the two traditional methods are shown in (a)–(d). It can be seen that in the case of low SNR, the alignment effect of HRRPs becomes worse, and it is difficult to focus, leading to the image blurring. In addition, because global optimization method is more robust to additive noise than the maximum cross-correlation method, the focusing effect of the second method is better than the first one. However, with the interference of the strong noise, based on the parameterized compensation algorithm, the ISAR image quality of the second method reduces while the parameters of the target can still be accurately estimated



**Fig. 6** ISAR images under low SNR after compensation. **a** The alignment effect of the first method. **b** The imaging effect of the first method. **c** The alignment effect of the second method. **d** The imaging effect of the second method. **e** The convergence curve of parameter estimated iteration. **f** The alignment effect of the proposed method. **g** The imaging effect of the proposed method. **h** ISAR images after azimuth calibration

in the proposed method, especially the effective rotational velocity with unknown initial value but high accuracy requirement shown in Table 2 with aligned HRRPs and high-resolution ISAR images. The iteration curve of the minimum entropy converges at the 15th cycle shown in (e) verifying the effectiveness of the proposed method in this paper under low SNR.

In addition, the azimuth scaling of the obtained ISAR image is achieved accurately shown in Fig. 6f, where the size of azimuth and range direction are 28.85m and 35.50m due to fine estimated ordinate of Doppler center and effective rotational velocity shown in Table 2 under the low SNR above.

In order to further verify the effect of this algorithm on target high-resolution ISAR imaging, adjust the SNR environment above, and set the SNR to change from 20dB to 0dB at an interval of -1 dB. In this experiment, the relative error between the estimation result of each parameter and the simulation true value is utilized as the evaluation index of the algorithm performance, as shown in Eq. (35), to verify the robustness of the method in this paper under various SNR conditions.

$$\delta = \frac{1}{n} \sum_{x=1}^{n} \frac{|\hat{p}_x - p_0|}{p_0} \tag{35}$$

where *n* denotes the number of experiments,  $\hat{p}_x$  denotes parameter estimation results of the *x*th experiment and  $p_0$  is true value of the parameter, respectively.

The relative error of the estimated motion parameters corresponding to different SNRs are plotted in Fig. 7 which presents that with the reduction in the SNR, although the relative error curves of each parameter generally show an upward trend, they are close to zero and have a small fluctuation which illustrates the parameter estimation accuracy is high and almost not affected by the SNR. Therefore, the robustness of the algorithm in this paper to high-resolution ISAR imaging is validated.



Fig. 7 The relatively errors of three estimated parameters under different SNRs



## 4.1.2 The simulated satellite facet scattering data experiments

On account of the complex electromagnetic scattering of real target, a three-dimensional (3-D) model of the satellite is utilized as the observed target as shown in Fig. 8a contributing to further verify the effectiveness of this algorithm. From the projection of the model on the 2-D plane shown in Fig. 8b, it can be seen that the transverse dimension and longitudinal dimension of the simulated model is set 11.5 m and 10.8 m, respectively. By adding Gaussian white noise to the simulation signal with a value of -5 dB, the conventional imaging compensation method and the proposed method are used to perform high-resolution ISAR two-dimensional imaging for the simulation data under low SNR.

After the range compression, the HRRPs are obtained as shown in Fig. 9a which is directly utilized for the compensation of traditional method. For subsequent coherent compensation, the coherent HRRPs are achieved after coherent processing as shown in Fig. 9b taken for the compensation of the proposed algorithm.



Fig. 9 The HRRPs using facet simulated satellite. a The incoherent HRRPs. b The coherent HRRPs



**Fig. 10** ISAR images of facet simulated satellite under low SNR after compensation. **a** The alignment effect of the first method. **b** The imaging effect of the first method. **c** The alignment effect of the second method. **d** The imaging effect of the second method. **e** The convergence curve of parameter estimated iteration. **f** The alignment effect of the proposed method. (g) The imaging effect of the proposed method. **h** ISAR images after azimuth calibration

The simulation results of two methods under the condition of low SNR as shown in Fig. 10. It can be seen that with the two conventional method shown in (a)–(d), the performance of range alignment of HRRPs and the focusing of two-dimensional ISAR image are bad, specifically in the part of the HRRP drowned in noise and the defocusing part of the ISAR image. As comparison, with the parameterized compensation algorithm, the motion parameters of the target can still be accurately estimated to achieve the aligned HRRP and high-resolution ISAR two-dimensional imaging, as shown in (f) and (g). The iteration curve of the minimum entropy converges at the 20th cycle verifying the effectiveness of the proposed method in this paper under low SNR. From (h), one can see that the azimuth size and range size of the target in the ISAR image are 13.9 m and 10.5 m, respectively, which is approximately consistent with the model size. Hence, azimuth scaling of the obtained ISAR image is accomplished.



Fig. 11 The HRRPS using real data under low SNR. a The incoherent HRRPs. b The coherent HRRPs



Fig. 12 ISAR images of real data under low SNR after compensation. **a** The alignment effect of the first conventional method. **b** The imaging effect of the first conventional method. **c** The alignment effect of the proposed method. (d) The imaging effect of the proposed method

## 4.2 The real data experiments

In this section, the effectiveness of the proposed algorithm is verified by the measured data of Boeing 737 aircraft. Firstly, add white Gaussian noise to the data, and set the SNR

to -10 dB. The incoherent HRRPs and coherent HRRPs of the Boeing 737 aircraft are shown in Fig. 11a and b.

The conventional method and the proposed method are used to compensate the real data, and the ISAR imaging results are shown in Fig. 12. It can be seen from the figure that by applying the proposed algorithm, a well-focused image is obtained and the contour and structure information of the aircraft can be retained better due to the realization of azimuth calibration with the clearer tail and wing. In addition, the image entropy corresponding to the proposed algorithm is smaller under the condition of low SNR and therefore the proposed method can achieve high-resolution ISAR two-dimensional imaging.

## **5** Conclusion

Considering the practical constraints in achievement of high-resolution ISAR image under low SNR, a novel method joint motion compensation and azimuth scaling based on PSO is proposed in this letter. By analyzing the movement of the target and combining with the LFM signal of the radar echo, the exponential compensation term corresponding to HRRPs is obtained. After the compensation model is constructed, the entropy of ISAR image compensated is used as cost function. Therefore, the accuraterelated parameters are achieved by the minimum of the entropy with PSO algorithm. Due to the estimated parameters, the motion compensation and azimuth scaling are accomplished into the acquirement of ISAR image with high quality. The simulation and real data experiment are carried out to validate the high efficiency and accuracy of the proposed compensation method relative to traditional compensation method under low SNR. Moreover, the robustness of the proposed method to estimate related parameters is verified under multiple SNR. However, this model restricts the motion model, leading to limitations on specific scenarios. Therefore, motion compensation for ISAR imaging under low SNR is still open.

#### Abbreviations

2-D	Two-dimensional
3-D	Three-dimensional
CPI	Coherent processing interval
HRRPs	High-resolution range profiles
ISAR	Inverse synthetic aperture radar
KT	Keystone transform
LFM	Linear frequency modulation
MTRC	Migration through range cell
PGA	Phase gradient autofocus
PSO	Particle swarm optimization
RD	Range Doppler
RMC	Rotational motion compensation
SNR	Signal-to-noise ratio
TMC	Translational motion compensation

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#### Availability of data and materials

The data that support the findings of this study are available from the unit of the author in School of Electronics and Communication Engineering in Sun Yat-sen University but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available. Data are however available from the authors upon reasonable request and with permission of the unit of the author in School of Electronics and Communication Engineering in Sun Yat-sen University.

#### Declarations

Ethics approval and consent to participate Not applicable

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