

Research Article

Digital-Signal-Type Identification Using an Efficient Identifier

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Automatic digital-signal-type identification plays an important role for various applications. This paper presents a highly efficient identifier (technique) that identifies a variety of digital signal types. In this technique, a selected number of the higher-order moments and the higher-order cumulants up to eighth are utilized as the effective features. A hierarchical support-vector-machine (SVMs) based structure is proposed for multiclass classification. A genetic algorithm is proposed in order to improve the performance of the identifier. Genetic algorithm selects the suitable parameters of SVMs that are used in the structure of the classifier. Simulation results show that the proposed identifier has high performance for identification of the considered digital signal types even at very low SNRs.

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1. INTRODUCTION

Automatic digital-signal-type identification is a technique that recognizes the type of received signal and plays an important role in various applications. For example, in military applications, it can be employed for electronic surveillance and monitoring; in civil applications, it can be used for spectrum management, network traffic administration, signal confirmation, interference identification, software radios, multidrop networks, intelligent modems, and so forth.

In the past, signal-type identification relied mostly on operators scanning the radio frequency spectrum with a wide-band receiver and checking it visually on some sort of display [1]. Clearly, these methods relied very much on the operators' skills and abilities. These limitations then led to the development of more automated modulation recognizers. One semiautomatic approach was to run the received signal through a number of demodulators and then have an operator to determine the modulation format by listening to the output of each demodulator. This approach is however not very practical anymore due to the new digital techniques that transfer both voice and data. Then techniques for automatic signal-type identification started to emerge. The re-

cent contributions in the subject focus more on the digital signal types, specially the higher-order digital signals, due to increasing usage of such types in many novel applications.

Automatic digital-signal-type identification techniques usually can be categorized in two main principles: the decision-theoretic (DT) and the pattern recognition (PR). DT techniques use probabilistic and hypothesis testing arguments to formulate the recognition problem [2, 3]. The decision-theoretic (DT) techniques have many drawbacks. These techniques are not robust with respect to model mismatch [4]. Another problem is the high computational complexity [4]. Other problems are difficulties in forming the right hypothesis testing as well as careful analysis that are required to set the correct threshold values [5]. However, PR techniques do not need such careful treatment [5]. They are easy to implement. PR techniques can be further divided into two main subsystems: the feature extraction and the classifier. The former extracts the features and the latter determines the membership of signal [4–17].

In [7], the authors proposed a technique for identification of ASK2, ASK4, PSK2, PSK4, FSK2, and FSK4 signals. The classifier is based on a decision flow. These digital signal types have been identified with a success rate around 90% at

SNR = 10 dB. In [4], the authors proposed a digital-signal type identification technique based on elementary fourth-order cumulant. When it was used for identification of the BPSK, PAM4, QAM16, and PSK8, the success rate was about 96% at SNR = 10 dB. In [8], the authors proposed a technique to discriminate among ASK, 4DPSK, 16QAM, and FSK digital signals. The chosen features are the kurtosis of the signal, the number of peaks in the phase probability density function (PDF), and the mean of the absolute value signal frequency. A fuzzy classifier was used in this technique. For SNR > 5 dB, the identifier worked properly. When SNR was less than 5 dB, the performance was worse. In [9], for the first time, Ghani and Lamontagne proposed using the multilayer perceptron (MLP) neural network with backpropagation (BP) learning algorithm for automatic signal-type identification. They showed that neural network classifier outperforms other classifiers such as K-nearest neighbor (KNN). In [10], the authors showed that the neural network classifier has a higher performance than the threshold classifier. In [11], the authors proposed an identifier for the identification of PSK2, PSK4, PSK8, OQPSK, MSK, QAM16, QAM64, FSK2, and FSK4 signal types. The features chosen to characterize the signal types are the mean and the next three moments of the instantaneous characteristics. They used different classifiers and showed that the artificial neural network has better performance than K-nearest neighbor (KNN) classifier and the well known binary decision trees. They reported a success rate of 93% with SNR range 15–25 dB. However, the performance for lower SNRs is reported to be less than 80%. In [12], the authors proposed an identifier based on cyclic spectral features for identification of AM, USB, LSB, FM, ASK, FSK, BPSK, QPSK, and SQPSK. It was claimed that cyclic spectrum possesses more advantage than power spectrum in signal-type recognition. A full-connected backpropagation neural network is used for classification in that research. The success rate of this identifier is reported around 90% with SNR range 5–25 dB. In [5], the authors have used a combination of spectral features and statistical features (second, third, and fourth orders of cumulants) for identification of ASK2, ASK4, PSK2, PSK4, FSK2, FSK4, V29, V32, QAM16, and QAM64. The classifier was an MLP neural network. They reported a high success rate at most of SNRs. The authors did not clarify the correction percentage of each of the modulations individually. Also they have used a fully connected neural network. This causes a long training time as well as the high complexity of the classifier. If the number of samples reduces, the performance will drop. In [13], the authors have done a comparative study of implementation of feature extraction and classification algorithms based on discrete wavelet decompositions and adaptive-network based fuzzy inference System (ANFIS) for recognition of ASK8, FSK8, PSK8, and QASK8.

It can be found that the techniques that use MLP neural networks as the classifier have high performances. However, with regard to effectiveness of MLP neural networks, there are some problems. For example, MLP neural networks have limitations on generalization ability in low SNRs. Another main drawback of the MLP models is that the training proce-

dures often gets stuck at a local optimum of the cost function [15].

In recent years, support vector machines (SVMs), based on statistical learning theory, are gaining applications in area of pattern recognition and detection of microcalcifications in digital mammograms, because of excellent generalization capability [18]. In [14], the authors proposed an identifier for signal-type identification that uses a binary SVM as the classifier. The features were extracted using wavelet packet analysis and biorthogonal wavelet. The accuracy of the proposed identifier exceeds 98% for SNR > 4 dB. In [15], Wu et al. introduced an identifier for automatic digital modulation recognition method based on SVMs. They have used the five key features that are introduced in [7]. It is shown that this method can achieve a satisfying performance at an SNR as low as 5 dB. This algorithm can recognize the modulation types of ASK2, ASK4, FSK2, FSK4, PSK2, and PSK4. As mentioned in [5], these features are only suitable for these low orders of signals that contain hidden information only in instantaneous amplitude, the instantaneous phase, and/or the instantaneous frequency. Using the higher-order statistics makes higher performances for fault detection systems [18, 19]. In [16], the authors proposed four features to classify ASK2, ASK4, PSK2, PSK4, FSK2, and FSK4. The features were extracted based on two main processing steps. The first step is the multiplication of two consecutive signal values. In the second step, the mean, the kurtosis of real and imaginary parts of the quantity obtained in the first step were used as the input features of the SVMs. In [17], Gang et al. proposed an identifier for recognition of ASK4, PSK2, PSK4, PSK8, and QAM16. The probability of correct classification was about 98% at an SNR of 4 dB.

From the published works, it can be found that the identifiers, which use the statistical features, are able to include the digital signal types such as QAM and higher orders of digital signals. Also, the techniques that use SVMs as the classifier have high performances at low-level SNRs. In this paper, we propose a highly efficient identifier which contains the mentioned specifications. It uses a selected combination of the higher-order moments and the higher-order cumulants (up to eighth) as the effective features for representation of digital signals. We have proposed a new and simple multi-class SVM-based classifier that has a hierarchical structure. Suitable parameters of SVMs can improve the performance of the identifier. We have proposed a genetic algorithm (GA) for tuning the parameters of SVMs that are used in the proposed classifier.

Figure 1 shows the general scheme of the proposed identifier. The preprocessing module performs actions such as rejection of noise outside of the signal bandwidth, carrier frequency estimation (or to be known), recovery of complex envelope. This stage is similar in most of the techniques, and hence we will not explain it more. The feature extraction module is presented in Section 2. Also the digital signal-types set (DSTS) that is considered in this paper is introduced in Section 2. The classifier module is described in Section 3. Optimization problem using GA is presented in Section 4.

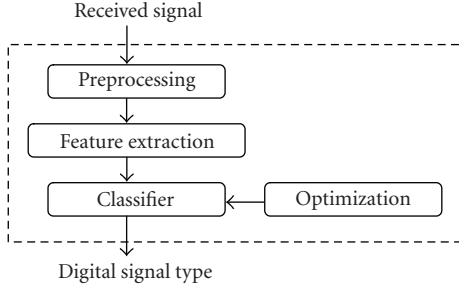


FIGURE 1: General scheme of the proposed identifier.

Section 5 shows some simulation results. Finally, Section 6 concludes the paper.

2. DSTS AND FEATURE EXTRACTION

Different types of digital signal have different characteristics. Therefore finding the proper features for the recognition of digital signals, particularly in case of higher-order and/or nonsquare kinds of digital signal, is a serious problem. In this paper, DSTS is ASK4, ASK8, PSK2, PSK4, PSK8, Star-QAM8, V29, QAM32, and QAM64. Because of simplifying the indication, the digital signal types of ASK4, ASK8, PSK2, PSK4, PSK8, Star-QAM8, V29, QAM32, and QAM64 are substituted with $P_1, P_2, P_3, P_4, P_5, P_6, P_7, P_8,$ and $P_9,$ respectively. Among the different features that we have computed and experimented, the higher-order moments and higher-order cumulants (up to eighth) make the highest performances for identification of DSTS. These features can provide a fine way to describe the shape of the probability density function. The following subsections briefly describe these features.

2.1. Moments

Probability distribution moments are a generalization of the concept of the expected value. Recall that the general expression for the i th moment of a random variable is given by [20]

$$\mu_i = \int_{-\infty}^{\infty} (s - m)^i f(s) ds, \quad (1)$$

where m is the mean of the random variable. The definition for the i th moment for a finite length discrete signal is given by

$$\mu_i = \sum_{k=1}^N (s_k - \mu)^i f(s_k), \quad (2)$$

where N is the data length. In this study, signals are assumed to be zero mean. Thus,

$$\mu_i = \sum_{k=1}^N s_k^i f(s_k). \quad (3)$$

Next, the automoment of the random variable may be defined as follows:

$$M_{pq} = E[s^{p-q}(s^*)^q], \quad (4)$$

TABLE 1: Some of the features for a number of digital signal types.

	P_1	P_3	P_4	P_6	P_9
M_{41}	1.64	1	0	0	0
M_{61}	2.92	1	-1	2.92	-1.3
C_{63}	8.32	16	4	.160	1.79
M_{84}	5.24	1	1	5.25	3.96
C_{80}	-30.1	-244	34	-88.9	-11.5
C_{82}	-30.1	-244	-46	63.31	-27.1

where p is called the moment order and s^* stands for complex conjugation of s .

Assume a zero-mean discrete basedband signal sequence of the form $s_k = a_k + jb_k$. Using the definition of the automoments, the expressions for different orders may be easily derived. For example,

$$M_{41} = E[(a + jb)^3(a - jb)] = E[a^4 - b^4]. \quad (5)$$

2.2. Cumulants

Consider a scalar zero-mean random variable s with characteristic function:

$$\hat{f}(t) = E\{e^{jts}\}. \quad (6)$$

Expanding the logarithm of the characteristic function as a Taylor series, one obtains

$$\log \hat{f}(t) = k_1(jt) + \dots + \frac{k_r(jt)^r}{r!} + \dots \quad (7)$$

The constants k_r in (7) are called the cumulants (of the distribution) of s . The symbolism for p th order of cumulant is similar to that of the p th-order moment. More specially,

$$C_{pq} = \text{Cum} \left[\underbrace{s, \dots, s}_{(p-q)\text{terms}}, \underbrace{s^*, \dots, s^*}_{(q)\text{terms}} \right]. \quad (8)$$

For example:

$$C_{81} = \text{Cum}(s, s, s, s, s, s, s, s^*). \quad (9)$$

We have computed all of the features for DSTS. Table 1 shows some of these features for a number of the considered digital signal types. These values are computed under the constraints of unit variance and noise free.

3. CLASSIFIER

We have proposed a multiclass SVM-based classifier that has a hierarchical structure. SVMs were introduced on the foundation of statistical learning theory. The basic SVM deals with two-class problems; however, it can be developed by some special methods for multiclass classification [21]. Binary SVM performs classification tasks by constructing the optimal separating hyperplane (OSH). OSH maximizes the margin between the two nearest data points belonging to the two separate classes.

Suppose that the training set (x_i, y_i) , $i = 1, 2, \dots, l$, $x \in \mathbb{R}^d$, $y \in \{-1, +1\}$, can be separated by the hyperplane $w^T x + b = 0$, where w is the weight vector and b is the bias. If this hyperplane maximizes the margin, then the following inequality is valid for all input data:

$$y_i(w^T x_i + b) \geq 1, \quad \forall x_i, i = 1, 2, \dots, l. \quad (10)$$

Those training points, for which the equality in (10) holds, are called support vectors (SVs). The margin of the hyperplane is equal to $2/\|w\|$. Thus, the problem is the maximizing of the margin by minimizing of $\|w\|^2$ subject to (10). This is a convex quadratic programming (QP) problem. Lagrange multipliers $(\alpha_i, i = 1, \dots, l; \alpha_i \geq 0)$ are used to solve it. Having done some computations, the optimal values w and b are achieved. Then the optimal decision function (ODF) is then given [21]:

$$f(\mathbf{x}) = \text{sgn} \left(\sum_{i=1}^l y_i \alpha_i^* \mathbf{x}^T \mathbf{x}_i + b^* \right), \quad (11)$$

where α_i^* 's are optimal Lagrange multipliers.

For inputs data with a high noise level, SVM uses soft margins that can be expressed as follows with the introduction of the nonnegative slack variables ξ_i , $i = 1, \dots, l$:

$$y_i(w^T x_i + b) \geq 1 - \xi_i \quad \text{for } i = 1, 2, \dots, l. \quad (12)$$

To obtain the OSH, the $\Phi = (1/2)\|w\|^2 + C \sum_{i=1}^l \xi_i^k$ should be minimized subject to (12), where C is the penalty parameter, which controls the tradeoff between the complexity of the decision function and the number of training examples, misclassified.

In the nonlinearly separable cases, the SVM map the training points, nonlinearly, to a high-dimensional feature space using kernel function $K(\vec{x}_i, \vec{x}_j)$, where linear separation may be possible. Gaussian radial basis function (GRBF) is one of the kernel functions. It is given by

$$K(x, y) = \exp \left(\frac{-\|x - y\|^2}{2\sigma^2} \right), \quad (13)$$

where σ is the width of the RBF kernel. After a kernel function is selected, the decision function will become

$$f(\mathbf{x}) = \text{sgn} \left(\sum_{i=1}^l y_i \alpha_i^* K(\mathbf{x}, \mathbf{x}_i) + b^* \right). \quad (14)$$

The performance of an SVM depends on penalty parameter (C) and the kernel parameter, which are called hyperparameters. In this paper, we have used the GRBF, because our extensive simulation shows that it has better performance than other kernels. Thus hyperparameters are C and σ .

There are two widely used methods to extend binary SVMs to multiclass problems: one-against-all (OAA) method and one-against-one (OAO) method [22]. In this paper, we have proposed a hierarchical SVM-based classifier. Figure 2 shows the scheme of this classifier. One of the advantages of this structure is that the number of SVMs is less than in cases of OAO and OAA.

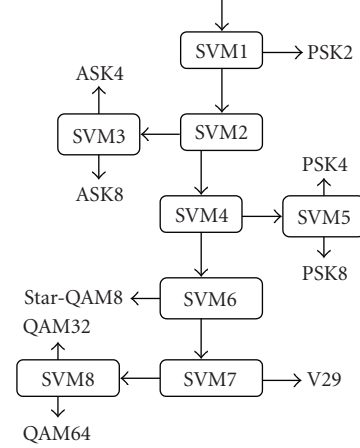


FIGURE 2: Hierarchical SVM-based classifier.

4. GA FOR SELECTION OF THE PARAMETERS OF SVMs

Finding the optimum values of the hyperparameters improves the performance of SVMs; however, it is a difficult problem [23]. GAs with their characteristics of high efficiency and global optimization are widely applied in many areas. In this paper, we have used GA for finding the optimum values of hyperparameters of SVMs. GA is a stochastic optimization algorithm, which adopts Darwin's theory of survival of the fittest. To apply a genetic algorithm, one has to define its basic issues.

Selection of the parameters of SVM is an optimization problem with constraints. Here, real-encoded scheme is selected as the representation of the parameters. The research space of these parameters is $C \in [2 : 4 : 50]$, $\sigma \in [0.1 : 0.1 : 2]$. The size of the population (pop_size) is chosen to be 16 in order to avoid difficulties in the convergence of the population. For producing the initial population, the initial values of the designed parameters are distributed in the solution space as even as possible. According to the aforementioned analysis, the average performance of the SVM classifier is dependent on $E\{R^2/\gamma^2}$ and not simply on the large margin γ . The radius-margin bound is proposed as the fitness function [23]:

$$T = \frac{1}{l} \frac{R^2}{\gamma^2}, \quad (15)$$

where γ denotes the margin, l is the size of the training samples, R is the radius of the smallest sphere containing the training data, $R = 0.5$.

Genetic operators include selection operator, crossover operator, and mutation operator. Here the method of survival of the fittest was used to select the next-generation individual. Given the fitness function $\text{fit}(a_i)$ of the individual a_i , the probability of a_i selected as the next generation one is as follows:

$$P(a_i) = \frac{\text{fit}(a_i)}{\sum_{j=1}^{\text{pop-size}} \text{fit}(a_j)} \times \text{pop-size}. \quad (16)$$

TABLE 2: Chosen features for each SVM.

Number of SVMs	Chosen features
SVM1	C_{81}
SVM2	M_{41}
SVM3	M_{41}, C_{81}
SVM4	M_{63}
SVM5	C_{61}
SVM6	C_{63}
SVM7	M_{82}, C_{80}
SVM8	M_{61}, C_{80}

The crossover operator is defined as [24]

$$X' = aX_1 + (1 - a)X_2, \quad (17)$$

where X' is the offspring after crossover operation, X_1 and X_2 are two parents to be implemented in the crossover operation, and a is a constant which belongs to $(0, 1)$. Here $a = 0.5$. How the bigger value of the mutation operator is chosen to maintain the diversity of the population in the early GA operation and avoid the precocity? The adaptive mutation probability is adopted in this paper to solve the above two problems as follows:

$$P_m = \frac{\exp(-b \times t/2)}{\text{pop_size} \times \sqrt{L}}, \quad (18)$$

where t is the generation of the genetic iteration, pop_size is the size of the population, L is the length of the individual, $b = 1.5$ is a preset parameter. In this paper, genetic algorithm terminates the program when the best fitness has not changed more than a very small value, that is, 10^{-6} over the last generations.

5. SIMULATION RESULTS

We have used Matlab environment for simulations. The simulated signals were band-limited and Gaussian noise was added according to SNR values $-3, 0, 3, 6, 9,$ and 18 dB. For each signal type, 1260 samples are used for simulations. Six hundred and thirty samples are used for training phase and 630 samples are used for testing phase. Among the features that we have mentioned in Section 2, Table 2 shows the chosen features that achieve the best results for identification of DSTS. These features were selected based on try and error.

5.1. Performance without optimization

Based on some experiments, the values $\sigma=1$ and $C = 10$ are selected for all SVMs. Table 3 shows the diagonal matrix (DM) or accuracy matrix (ACCM) at SNR = 3 dB. Table 4 shows the identification results (performances) for DSTS in different SNR values. These are the averages of the values that appear in the diagonal of DM (or ACCM). It can be seen that the performance is generally very good even at very low SNRs. This is due to two facts: chosen features and novel classifier. The chosen features have the effective properties in signal representation. On the other hand, SVM-based classifier

has high generalization ability for classification of the considered digital signals at low SNRs.

In order to compare the performance of the proposed hierarchical SVM-based classifier with another classifier, we have considered a hierarchical MLP-based classifier in which SVMs are replaced with MLP neural networks. These MLPs use backpropagation with momentum and adaptive learning rate algorithm. The simulation setups are the same. We name this technique as TECH2. Figure 3 shows the performances of two identifiers in different SNR values. It can be seen that the proposed technique (PROTECH) that uses SVM in the structure of its classifier has higher accuracy than TECH2, particularly, in low levels of SNR. When SNR is low, TECH2 shows poor performance, while in higher SNR the accuracy is higher. The construction of neural network in low SNRs is not proper, which results in low generalization ability. In higher SNRs, the features are proper and closer to the noiseless state and it is easier to construct the neural network and results in high identification probability.

In order to indicate the effectiveness of the chosen features, we have used the features that have been introduced in [4]. The structure of the classifier and the simulation setups are the same. We name this technique as TECH3. Figure 4 shows the performances of two identifiers. Results imply that our chosen features have highly effective properties in signal representation.

5.2. Performance with applying GA

In this section, we apply GA for finding the optimum parameters of SVMs that are in the structure of the proposed classifier. Table 5 shows the performances of the optimized identifier for various SNRs. Figure 5 shows a comparison between the performances of the nonoptimized technique (PROTECH) and optimized technique (OPROTECH). It can be seen that the optimization improves the performances of identifier for all SNRs, especially in lower SNRs. Table 6 shows the optimum parameters of SVMs that are used in the hierarchical structure. Table 7 indicates the diagonal matrix of identifier at SNR = 3 dB. Also, we have computed the performances of the optimized identifier at a high SNR value. Table 8 indicates the training performance of the identifier at SNR = 40 dB. It can be seen that the proposed identifier can show up to 100% accuracy.

5.3. Performance comparison

As mentioned in [5], direct comparison with other works is too difficult in signal-type identification. This is mainly because of the fact that there is no available single unified data set. Different setups of digital signal types will lead to different performances. Compared with other identifiers mentioned in Section 1, the proposed identifier in this paper has many advantages. This identifier has a simple structure and includes a variety of digital signal types. Each SVM in the identifier uses the features vector in order to map the input vectors' nonlinearity into high-dimensional feature space in a nonlinear manner and constructs the optimum separating

TABLE 3: Testing performance of the proposed identifier at SNR = 3 dB.

	P ₁	P ₂	P ₃	P ₄	P ₅	P ₆	P ₇	P ₈	P ₉
P ₁	97								
P ₂		92							
P ₃			99						
P ₄				95					
P ₅					93				
P ₆						91			
P ₇							92		
P ₈								91	
P ₉									92

TABLE 4: Performances of the proposed identifier in different SNRs without optimization (%).

SNR	Training	Testing
-3	86.14	85.52
0	93.26	91.45
3	94.42	93.56
6	96.75	96.54
9	97.85	97.56
18	98.74	98.50

TABLE 5: Performances of the identifier with applying of GA.

SNR (dB)	Training	Testing
-3	92.74	92.26
0	94.92	93.64
3	96.84	96.78
6	98.95	98.75
9	99.14	99.12
18	99.58	99.36

TABLE 6: Optimum parameters of SVMs.

SVM's number	C	σ
SVM1	2	1.2
SVM2	10	1.4
SVM3	14	0.9
SVM4	18	1
SVM5	42	0.9
SVM6	26	1.1
SVM7	16	0.9
SVM8	22	0.7

hyperplane in the space to realize signal recognition. This classifier avoids the overfitting and local minimum. It shows great generalization ability for identifying the considered digital signal types. The proposed identifier has a success rate of around 92% at SNR = -3 dB. The performance of the identifier is higher than 98% for SNR > 6 dB. These performances are achieved with few samples.

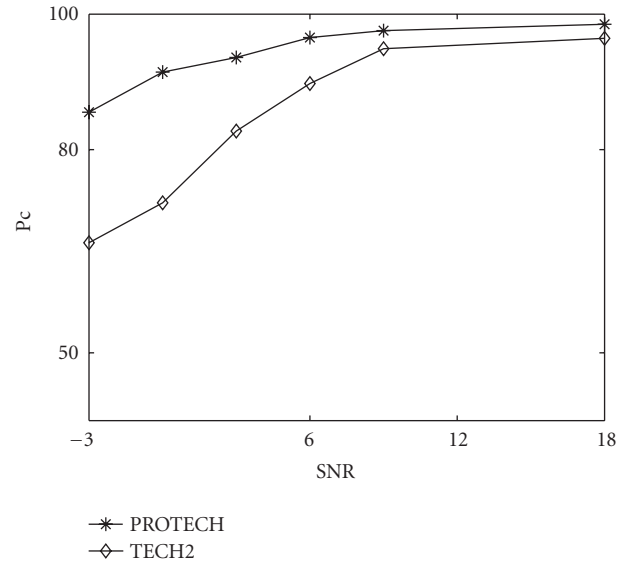


FIGURE 3: Comparison between the performances of PROTECH and TECH2.

6. CONCLUSIONS

Automatic digital-signal-type identification has seen increasing demand in different applications. Most of the proposed techniques can only identify low orders of digital signals. They usually require high levels of SNR for identification of the considered digital signals. These problems are mainly due to two facts: the features and the classifier. In this paper, we have used a selected combination of the higher-order moments and the higher-order cumulants up to eighth as the effective features for representation of the digital signal types. These features are selected based on try and error. As the classifier, we have proposed a hierarchical multiclass classifier based on SVMs. This classifier has a simple structure and high generalization ability. By using the mentioned features and the classifier, we have presented a highly efficient identifier. This identifier is able to recognize different types of a digital signal and has a high performance at very low levels of SNR. Optimization of the structure of the classifier improves success rate of the identifier. Therefore, we have used

TABLE 7: Testing performance of the optimized identifier at SNR = 3 dB.

	P ₁	P ₂	P ₃	P ₄	P ₅	P ₆	P ₇	P ₈	P ₉
P ₁	98								
P ₂		98							
P ₃			100						
P ₄				100					
P ₅					97				
P ₆						96			
P ₇							96		
P ₈								94	
P ₉									92

TABLE 8: Training performance of the optimized identifier at SNR = 40 dB.

	P ₁	P ₂	P ₃	P ₄	P ₅	P ₆	P ₇	P ₈	P ₉
P ₁	100								
P ₂		100							
P ₃			100						
P ₄				99.8					
P ₅					100				
P ₆						100			
P ₇							99.6		
P ₈								100	
P ₉									99.6

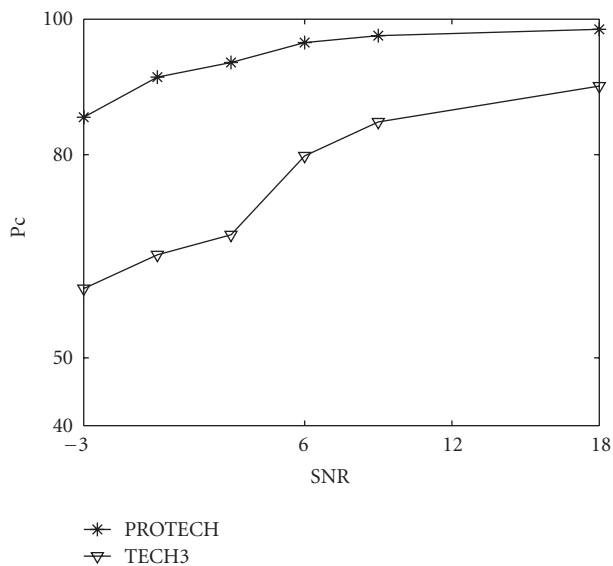


FIGURE 4: Comparison between the performances of PROTECH and TECH3.

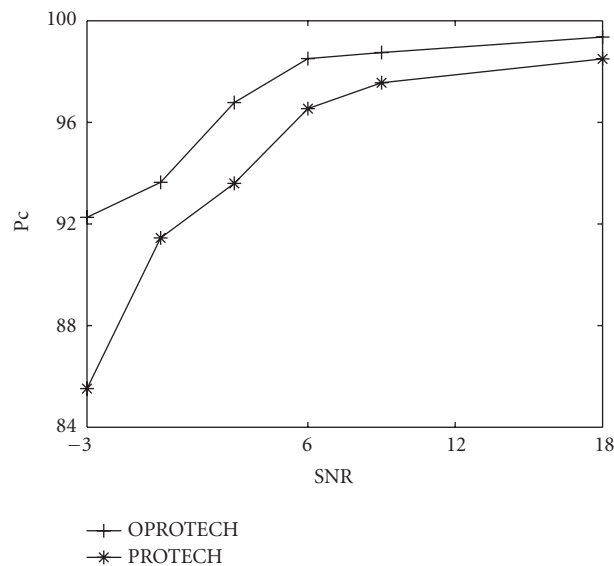


FIGURE 5: Comparison between the performances of the nonoptimized technique (PROTECH) and optimized technique (OPROTECH).

a genetic algorithm as an optimizer in order to achieve the optimum structure of the classifier. This work improves efficiently the performance of the identifier, especially at very low SNRs. For future works, we can use another genetic algorithm and compare the respective results with the results

presented in this paper. We can select the proper features introduced by others and use them together with the features that are proposed in this paper in order to have suitable features set for identification of the different types of a digital signal. In this paper, we have used the genetic algorithm

for optimization of the structure of the classifier. For future works, we can apply the genetic algorithm both for the features subset selection and the optimization of the structure of the classifier.

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