

Review Article

High-Resolution Sonars: What Resolution Do We Need for Target Recognition?

Yan Pailhas, Yvan Petillot, and Chris Capus

School of Electrical and Physical Science, Oceans Systems Laboratory, Heriot Watt University, Edinburgh EH14 4AS, Scotland, UK

Correspondence should be addressed to Yan Pailhas, y.pailhas@hw.ac.uk

Received 23 December 2009; Revised 28 July 2010; Accepted 1 December 2010

Academic Editor: Yingzi Du

Copyright © 2010 Yan Pailhas et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Target recognition in sonar imagery has long been an active research area in the maritime domain, especially in the mine-counter measure context. Recently it has received even more attention as new sensors with increased resolution have been developed; new threats to critical maritime assets and a new paradigm for target recognition based on autonomous platforms have emerged. With the recent introduction of Synthetic Aperture Sonar systems and high-frequency sonars, sonar resolution has dramatically increased and noise levels decreased. Sonar images are distance images but at high resolution they tend to appear visually as optical images. Traditionally algorithms have been developed specifically for imaging sonars because of their limited resolution and high noise levels. With high-resolution sonars, algorithms developed in the image processing field for natural images become applicable. However, the lack of large datasets has hampered the development of such algorithms. Here we present a fast and realistic sonar simulator enabling development and evaluation of such algorithms. We develop a classifier and then analyse its performances using our simulated synthetic sonar images. Finally, we discuss sensor resolution requirements to achieve effective classification of various targets and demonstrate that with high resolution sonars target highlight analysis is the key for target recognition.

1. Introduction

Target recognition in sonar imagery has long been an active research area in the maritime domain. Recently, however, it has received increased attention, in part due to the development of new generations of sensors with increased resolution and in part due to the emergence of new threats to critical maritime assets and a new paradigm for target recognition based on autonomous platforms. The recent introduction of operational Synthetic Aperture Sonar (SAS) systems [1, 2] and the development of ultrahigh resolution acoustic cameras [3] have increased tenfold the resolution of the images available for target recognition as demonstrated in Figure 1. In parallel, traditional dedicated ships are being replaced by small, low cost, autonomous platforms easily deployable by any vessel of opportunity. This creates new sensing and processing challenges, as the classification algorithms need to be fully automatic and run in real time on the platforms. The platforms' behaviours also require to be autonomously adapted online, to guarantee appropriate detection performance is met, sometimes on very

challenging terrains. This creates a direct link between sensing and mission planning, sometimes called active perception, where the data acquisition is directly controlled by the scene interpretation.

Detection and identification techniques have tended to focus on saliency (global rarity or local contrast) [4–6], model-based detection [7–15] or supervised learning [16–22]. Alternative approaches to investigate the internal structure of objects using wideband acoustics [23, 24] are showing some promises, but it is now widely acknowledged that current techniques are reaching their limits. Yet, their performances do not enable rapid and effective mine clearance and false alarm rates remain prohibitively high [4–22]. This is not a critical problem when operators can validate the outputs of the algorithms directly, as they still enable a very high data compression rate by dramatically reducing the amount of information that an operator has to review. The increasing use of autonomous platforms raises fundamentally different challenges. Underwater communication is very poor due to the very low bandwidth of the medium (the data transfer rate is typically around 300 bits/s) and it does not permit online

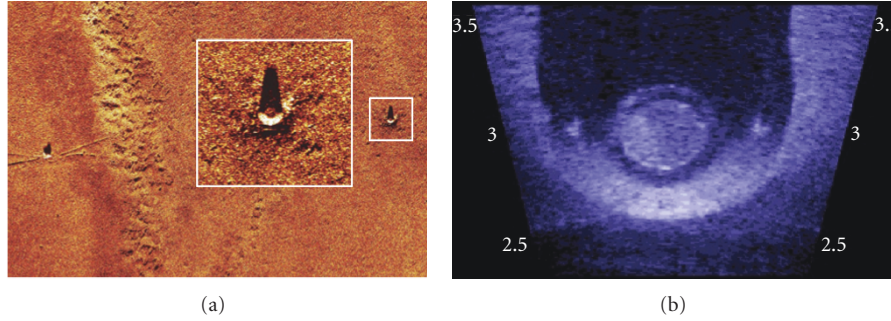


FIGURE 1: Example of Target in Synthetic Aperture Sonar (a) and Acoustic Camera (b). Images are courtesy of the NATO Undersea Research Centre (a) and Soundmetrics Ltd (b).

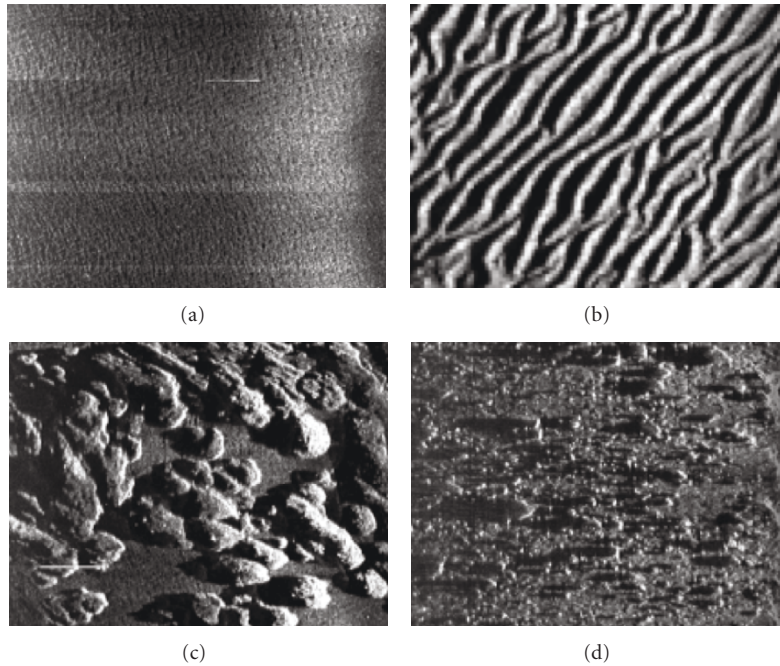


FIGURE 2: Snapshots of four different types of seabed: (a) flat seabed, (b) sand ripples, (c) rocky seabed and (d) cluttered environment.

operator visualisation or intervention. For this reason the use of collaborating multiple platforms requires robust and accurate on-board decision making.

The question of resolution has been raised again by the advent of very high resolution sidescan, forward-look and SAS systems. These change the quality of the images markedly producing near-optical images. This paper looks at whether the resolution is now high enough to apply optical image processing techniques to take advantage of advances made in other fields.

In order to improve these performances, the MCM (Mine and Counter Measures) community has focused on improving the resolution of the sensors and high resolution sonars are now a reality. However, these sensors are very expensive and very limited data (if any) are available to the research community. This has hampered the development of new algorithms for effective on-board decision making.

In this paper, we present tools and algorithms to address the challenges for the development of improved target

detection algorithms using high resolution sensors. We focus on two key challenges.

- (i) The development of fast simulation tools for high resolution sensors: this will enable us to tackle the current lack of real datasets to develop and evaluate new algorithms including generative models for target identification. It will also provide a ground-truth simulation environment to evaluate potential active perception strategies.
- (ii) What resolution do we need? The development of new sensors has been driven by the need for increased resolution.

The remainder of the paper is organized as follows: In Section 2, a fast and realistic sonar simulator is described. In Sections 3 and 4, the simulator is used to explore the resolution issue. Its flexibility enables the generation of realistic sonar images at various resolutions and the exploration

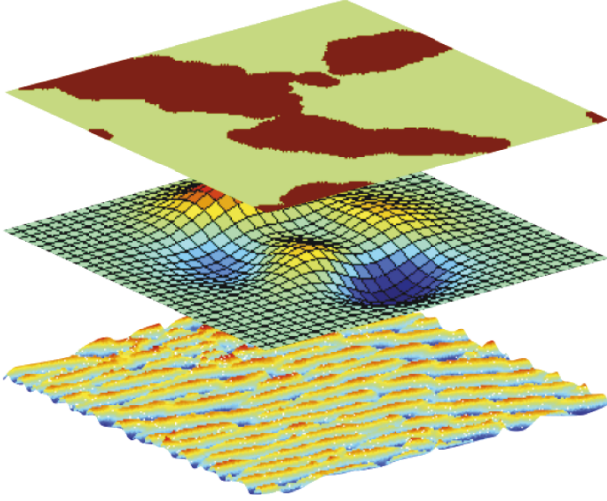


FIGURE 3: Decomposition of the 3D representation of the seafloor in 3 layers: partition between the different types of seabed, global elevation, roughness and texture.

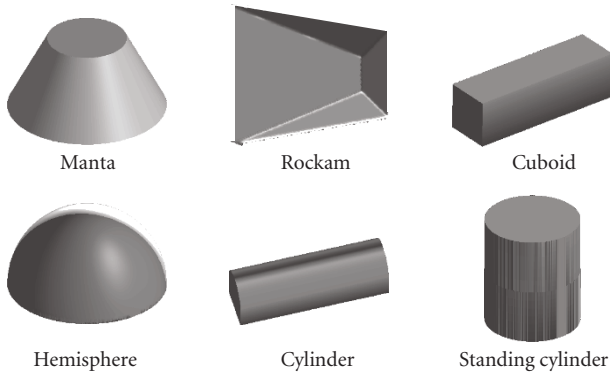


FIGURE 4: 3D models of the different targets and minelike objects.

of the effects of resolution on classification performance. Extensive simulations provide a database of synthetic images on various seabed types. Algorithms can be developed and evaluated using the database. The importance of the pixel resolution for image-based algorithms is analysed as well as the amount of information contained in the target shadow.

2. Sidescan Simulator

Sonar images are difficult and expensive to obtain. A realistic simulator offers an alternative to develop and test MCM algorithms. High-frequency sonars and SAS increase the resolution of the sonar image from tens of cm to a few cm (3 to 5 cm). The resulting sonar images become closer to optical images. By increasing the resolution of the image the objects become sharper. Our objective here is to produce a simulator that can realistically reproduce such images in real time.

There is an existing body of research into sonar simulation [25, 26]. The simulators are generally based on ray-tracing techniques [27] or on a solution to the full wave equation [28]. SAS simulation takes into account the SAS

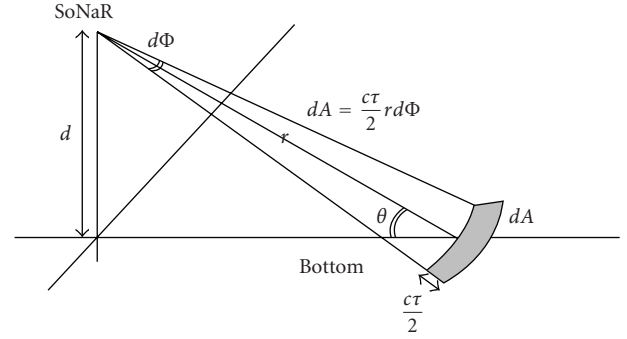


FIGURE 5: Definitions for surface reverberation modeling.

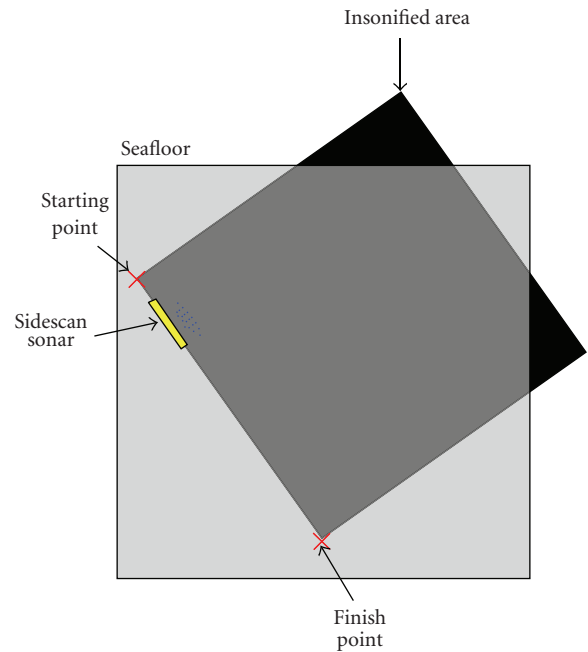


FIGURE 6: The trajectory of the sonar platform can be placed into the 3D environment.

processing and is, in general, highly complex [26]. Critically, in all cases, the algorithms are extremely slow (one hour to several days to compute a synthetic sidescan image with a desktop computer). When high frequencies are used, the path of the acoustic waves can be approximated by straight lines. In this case, classical ray-tracing techniques combined with a careful and detailed modeling of the energy-based sonar equation can be used. The results obtained are very similar to those obtained using more complex propagation models. Yet they are much faster and produce very realistic images.

Note that this simulator is a high-precision sidescan simulator, which can be equally well applied to forward looking sonar. SAS images differ from sidescan images in mainly two points: a constant pixel resolution at all ranges and a blur in the object shadows [29]. The simulator can cope with the constant range resolution so synthetic target highlights will appear similar. A fully representative SAS

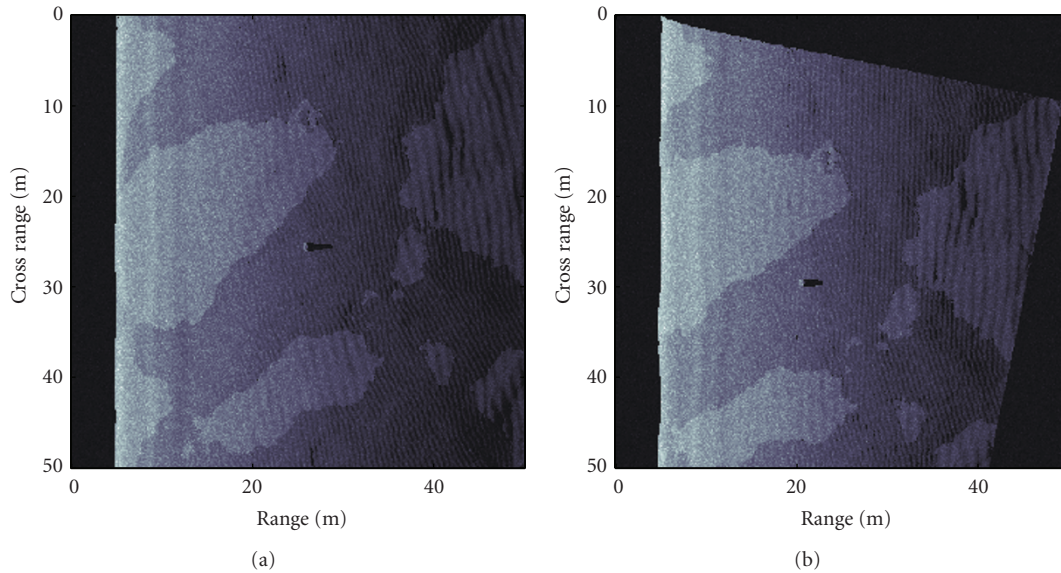


FIGURE 7: Display of the resulting sidescan images ((a) and (b)) of the same scene with different trajectory. The seafloor is composed with two sand ripples phenomena at different frequencies and different sediments (VeryFineSand for the high frequency ripples and VeryCoarseSand for the low frequency ripples). A manta object has been put in the centre of the map.

shadow model remains to be implemented, but the analyses are still relevant for identification of targets from highlights in SAS imagery.

The simulator presented here first generates a realistic synthetic 3D environment. The 3D environment is divided into three layers: a partition layer which assigns a seabed type to each area, an elevation profile corresponding to the general variation of the seabed, and a 3D texture that models each seabed structure. Figure 2 displays snapshots of four different types of seabed (flat sediment, sand ripples, rocky seabed and a cluttered environment) that can be generated by the simulator. All these natural structures can be well modeled using fractal representations. The simulator can also take into account various compositions of the seabed in terms of scattering strengths. The boundaries between each seabed type are also modeled using fractals.

Objects of different shapes and different materials can be inserted into the environment. For MCM algorithms, several types of mines have been modeled such as the Manta (truncated cone shape), Rockan and cylindrical mines.

The resulting 3D environment is an heightmap, meaning that to one location corresponds one unique elevation. So objects floating in midwater for example cannot be modelled here.

The sonar images are produced from this 3D environment, taking into account a particular trajectory of the sensor (mounted on a vessel or an autonomous platforms). The seabed reflectivity is computed thanks to state-of-the-art models developed by APL-UW in the High-Frequency Ocean Environmental Acoustic Models Handbook [30] and the reflectivity of the targets is based on a Lambertian model. A pseudo ray-tracing algorithm is performed and the sonar equation is solved for each insonified area giving

the backscattered energy. Note that the shadows are automatically taken into account thanks to the pseudo ray-tracing algorithm. The processing time required to compute a sonar image of 50 m by 50 m using a 2 GHz Intel Core 2 Duo with 2 GB of memory is approximately 7 seconds. The remainder of the section details each of the modules required to perform the simulation.

2.1. 3D Digital Terrain Model Generator. The aim of this module is to generate realistic 3D seabed environments. It should be able to handle several types of seabed, to generate a realistic model for each seabed type, and to synthesize a realistic 3D elevation. For these reasons, the final 3D structure is built by superposition of three different layers: a partition layer, an elevation layer and a texture layer. Figure 3 shows an example of the three different layers which form the final 3D environment.

In the late seventies, mathematicians such as Mandelbrot [31] linked the symmetry patterns and self-similarity found in nature to mathematical objects called fractals [32–35]. Fractals have been used to model realistic textures and heightmap terrains [33]. A quick way to generate realistic 3D fractal heightmap terrains is by using a pink noise generator [33]. A pink noise is characterized by its power spectral density decreasing as $1/f^\beta$, where $1 < \beta < 2$.

2.1.1. The Partition Layer. In the simulator, various types of seabeds can be chosen (up to three for a given image). The boundaries between the seabed types are computed using fractal borders.

2.1.2. Elevation Layer. This layer contains two types of possible elevation: a linear slope characterizing coastal seabeds

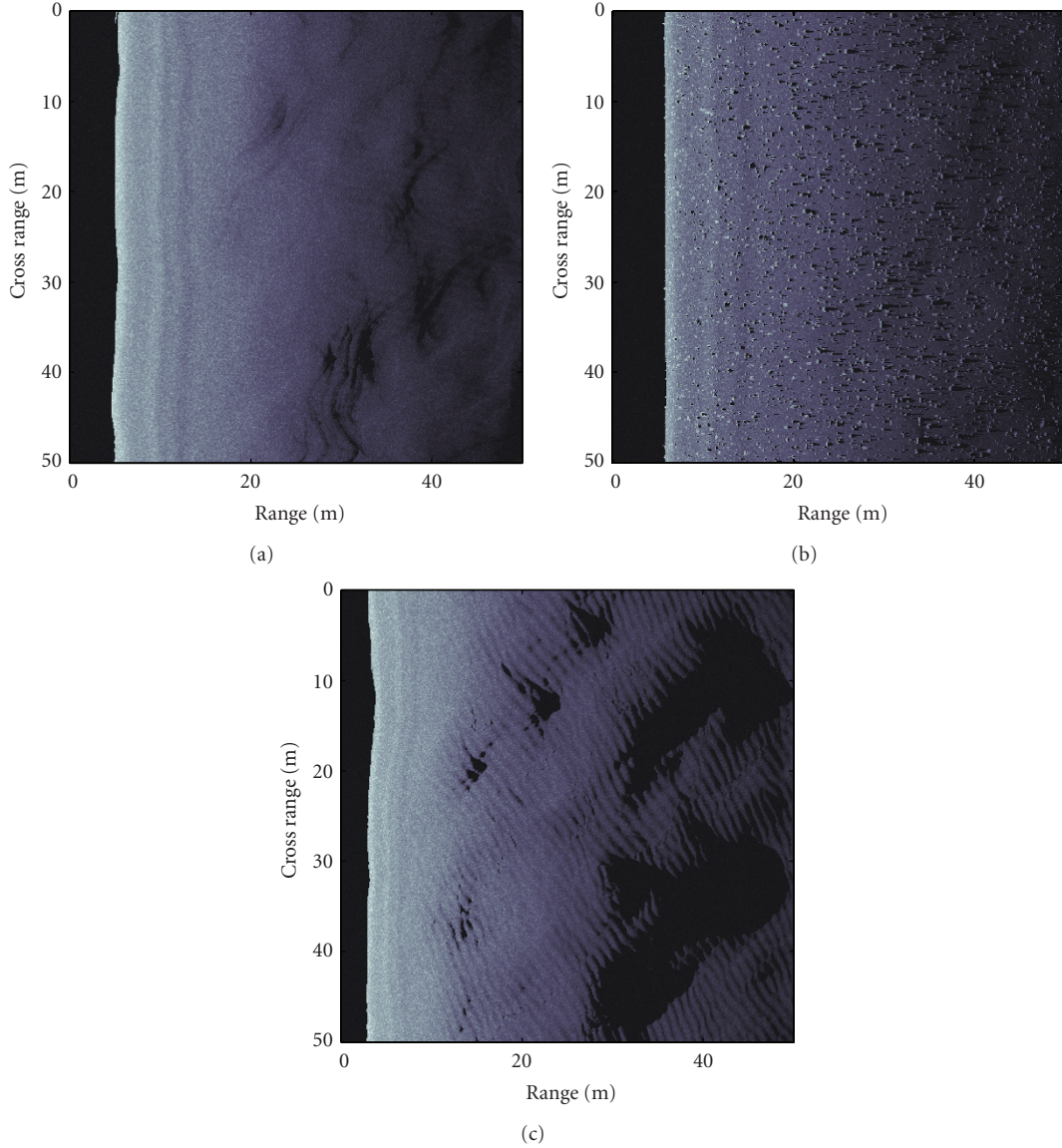


FIGURE 8: Examples of simulated sonar images for different seabed types (clutter, flat, ripples), 3D elevation and scattering strength. (a) represents a smooth seabed with some small variations, (b) represents a mixture of flat and cluttered seabed and (c) represents a rippled seabed.

and a random 3D elevation. The random elevation is a smoothing of a pink noise process. The β parameter is used to tune the roughness of the seabed.

2.1.3. Texture Layer. Four different textures have been created to model four kinds of seabed. Once again the textures are synthesized by fractal models derived from pink noise models.

(a) Flat Seabed. A simple flat floor is used for the flat seabed. No texture is needed in this case. Differences in reflectivity and scattering between sediment types are handled by the Image Generator module.

(b) Sand Ripples. The sand ripples are characterized by the periodicity and the direction of the ripples. A modified pink noise is used here. In this case the frequency decay is anisotropic. The amplitude of the magnitude of the Fourier transform follows (1). The frequency of the ripples is given by $F_{\text{ripples}} = \sqrt{f_{x\text{peak}}^2 + f_{y\text{peak}}^2}$ and the direction is given by $\theta = \tan^{-1}(f_{x\text{peak}}/f_{y\text{peak}})$. The phase is modeled by a uniform distribution

$$F(f_x, f_y) = \frac{1}{(f_x - f_{x\text{peak}})^\beta} \frac{1}{(f_y - f_{y\text{peak}})^\beta}. \quad (1)$$

(c) Rocky Seabed. The magnitude of the Fourier transform of the rocky seabed is modeled by (2). The factor α

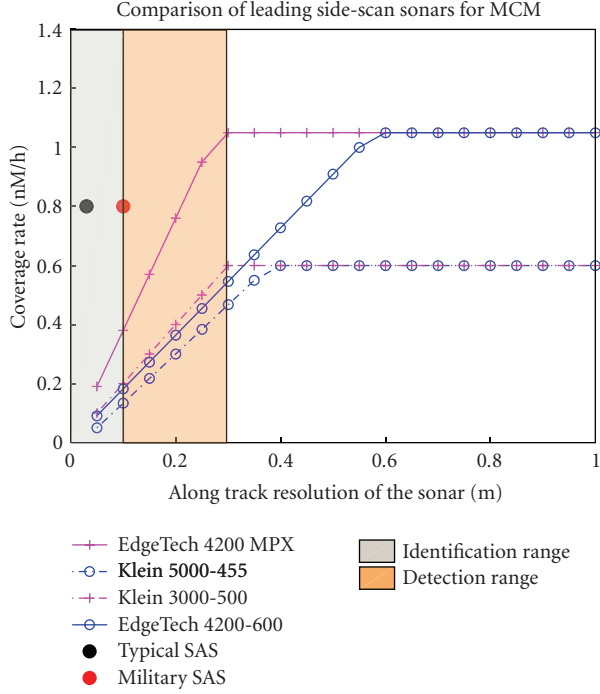


FIGURE 9: Ability to detect and identify targets as a function of resolution and coverage rate (Nm/h: nautical mile per hour) for the best sidescan and synthetic aperture sonars. The SAS sonars here are a typical 100–300 kHz sonar in optimal conditions for synthetic aperture.

models the anisotropic erosion of the rock due to underwater currents

$$F(f_x, f_y) = \frac{1}{\left(\sqrt{\alpha \cdot f_x^2 + f_y^2}\right)^\beta}, \quad (2)$$

(d) *Cluttered Environment.* The cluttered environment is characterized by a random distribution of small rocks. A poisson distribution has been chosen for the spatial distribution of the rocks on the seabed as the mean number of occurrences is relatively small.

2.2. Targets. A separate module is provided for adding targets into the environment. Figure 4 displays the 3D models of 6 different targets. Location, size and material composition can be adjusted by the user. The resulting sidescan images offer a large data base for detection and classification algorithms.

Nonmine targets can also be generated by varying parameters in this module. Several are used to test the algorithms with results presented in Section 4.1.2.

2.3. The Sonar Image Generator. The sonar module computes the sidescan image from a given 3D environment. The simulator is ray-tracing-based and solves the sonar equation [36] (given in (3)). Because (3) is an energetic equation, phenomena such as multipaths are not taken into account.

For a monostatic sonar system, the sound propagation can be expressed from an energetic point of view as

$$XS = SL - 2TL + TS + DI - NL - RL, \quad (3)$$

where XS is the excess level, that is, the backscattering energy, SL is the Source Level of the projector, DI is the Directivity Index, TL is the Transmission Loss, NL is the Noise Level, RL is the Reverberation Level and TS is the Target Strength. All the parameters are measured in decibels (dB) relative to the standard reference intensity of a 1 μ Pa plane wave.

In a wide range of cases, a good approximation to transmission loss TL can be made by considering the process as a combination of free field spherical spreading and an added absorption loss. This working rule can be expressed as

$$TL = 20 \log r + \alpha r, \quad (4)$$

where r is the transmission range and α is an attenuation coefficient expressed in dB/m. The attenuation coefficient can be expressed as the sum of two chemical relaxation processes and the absorption of pure water. It can be computed numerically thanks to the Francois-Garrison formula [37].

Reverberation Level is an important restricting factor in the detection process, especially in the context of MCM. At short ranges, it represents the most significant *noise* factor. The surface reverberation can be developed as drawn in Figure 5, where dA defines the elementary surface subtended by horizontal angle $d\phi$ and is dependent on the pulse length τ and range. Returns from the front and rear ends of the pulse determine the size of the elementary surface element, dA . So, for the seabed contribution to reverberation level, we can write

$$RL = SL - 2TL + S_s + 10 \log \frac{c\tau}{2} \phi r, \quad (5)$$

where d is the altitude of the sonar, r is the range to the seabed along the main axis of the transducer beam and t is time.

Three types of seabed have been implemented: Rough Rock, Sandy Gravel and Very Fine Sand. A theoretical Bottom Scattering Strength (S_s in (5)) can be computed thanks to [30].

The source level SL is the power of the transmitter. It is a constant and given by the sonar manufacturer. For sidescan the SL is typically between 200 and 230 dB.

The Directivity Index (DI) is a sonar-dependent factor associated with directionality of the transducer system. The simulator includes a simple beam pattern derived from a continuous line array model of length l . The beam pattern function can be computed thanks to the following:

$$B(\theta) = \left[\frac{\sin(\pi l / \lambda) \sin \theta}{(\pi l / \lambda) \sin \theta} \right]. \quad (6)$$

Also any transducer beam pattern can be integrated into the simulator.

In our model, the targets form part of the 3D environment. The Target Scattering Strength (TS) is computed

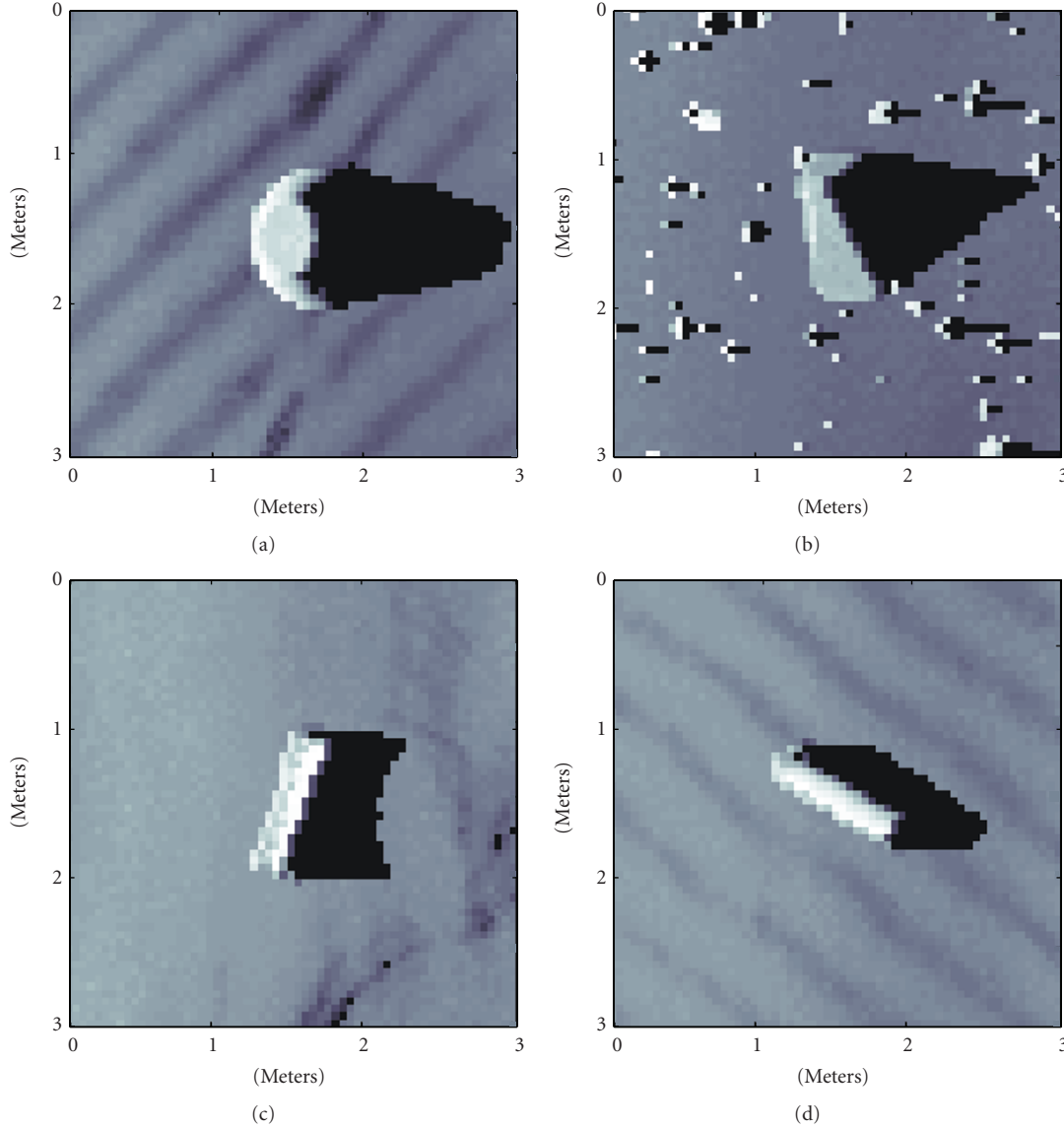


FIGURE 10: Snapshot of the four targets. (a) Manta, on sand ripples, (b) Rockan on cluttered environment, (c) Cuboid on flat seabed, (d) Cylinder on sand ripples. The pixel size in these targets images is 5 cm.

using a Lambertian model. The reflectance factor in the Lambertian law is associated to the acoustic impedance. The simulator takes into account the acoustic impedance of the target given by $Z = \rho \cdot c_l$, where ρ is the density of the material, and c_l the longitudinal sound speed in the material.

The sidescan simulator is designed for validity in the range of frequencies from 80 kHz to 300 kHz. We only consider one contribution for the ambient Noise Level: the thermal noise. For thermal agitation, the equivalent noise spectrum level is given by the empirical formula [36]:

$$NL = -15 + 20 \log f \quad \text{with } f \text{ in kHz.} \quad (7)$$

The trajectory of the sonar platform is tuneable (as shown in Figure 6). This allows multiview sidescan images of the same environment. Figure 7 displays sonar images of the same scene with two different angles of view.

Further examples of typical images obtained for the various types of seabed are shown in Figure 8.

3. Classifier

3.1. Target Recognition Using Sonar Images. Target recognition in sonar imagery is a long-standing problem which has attracted considerable attention [7–15]. However, the resolution of the sensors available has limited not only the spectrum of techniques applicable, but also their performances. Most techniques for detection rely on matched filtering [38] or statistical modeling [11, 14], whilst recognition is mainly model-based [10, 13, 15].

The limitations of current sidescan technology are highlighted in Figure 9. It would seem from this figure that only SAS systems can give large area coverage and still give high

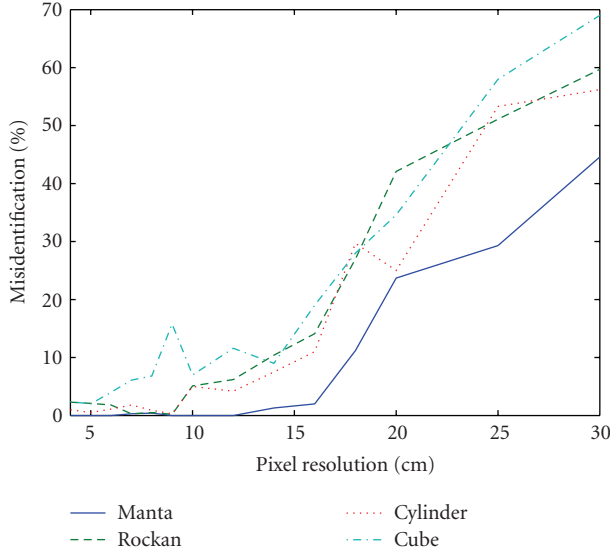


FIGURE 11: Misidentification of the four targets as a function of the pixel resolution. This is considering the highlight of the targets.

resolution needed for identification. However the boundaries drawn between detection and identification are more the results of general wisdom than solid scientific evidences.

New high resolution sonars such as SAS produce images which get closer to traditional optical imagery. This is also opening a new era of algorithm development for acoustics, as techniques recently developed in computer vision become more applicable. For example, the SAS system developed by NURC (MUSCLE) can achieve a 5 to 3 cm pixel resolution, almost independent of range. Thanks to this resolution, direct analysis of the target echo rather than traditional techniques based on its shadow become possible.

Identifying the resolution required to perform target classification is not a simple problem. In sonar, this has been attempted by various authors [39–42], generally looking at the minimum resolution required to distinguish a sphere from a cube and using information theory approaches. These techniques provide a lower bound on the minimum resolution required but tend to be over optimistic. We focus here on modern subspace algorithms based on PCA (Principal Component Analysis) as a mechanism to analyze the resolution needs for classification. Why focus on such techniques? The main reason is that they are very versatile and have been applied successfully to a variety of classical target identification problems. This has been demonstrated recently on face recognition [43] and land-based object detection problems [44].

3.2. Principal Component Analysis. The algorithm used in this paper for classification is based on the eigenfaces algorithm. The PCA-based eigenfaces approach has been used for face recognition purposes [45, 46] and is still close to the state of the art for this application [43].

Assuming the training set is composed of k images of a target. Each target image M_i is an $n \times m$ matrix. The M_i are

converted into vectors \tilde{M}_i of dimension $1 \times n \cdot m$. A mean image of the target is computed using the following:

$$M_{\text{mean}} = \frac{1}{k} \sum_{i=1}^k \tilde{M}_i. \quad (8)$$

The training vectors \tilde{M}_i are centered and normalized according to (9). In the training set, the target is selected from various ranges (from 5 m to 50 m from the sonar). The contrast and illumination change drastically through the training set. The normalization by the standard deviation of the image reduce this effect. Let $\text{std } \tilde{M}_i$ be the standard deviation of \tilde{M}_i

$$T_i = \frac{\tilde{M}_i - M_{\text{mean}}}{\text{std } \tilde{M}_i}. \quad (9)$$

Let $T = [T_i]$ be the preprocessing training set of dimension $k \times n \cdot m$. The covariance matrix $\Omega = T \cdot T^T$ is calculated. The p largest eigenvalues of Ω are computed, and the p corresponding eigenvectors form the decomposition base of the target. The subspace Θ_{target} formed by the p eigenvectors is called target space. The number of eigenvalues p has been set to 20.

The classifier projects the test target image I_n to each target space. We denote $P_{\Theta_{\text{target}}}(I_n)$ the projection of I_n in the target space Θ_{target} . The estimated target targ is the target corresponding to the minimum distance between I_n and $P_{\Theta_{\text{target}}}(I_n)$ as expressed in

$$\text{targ} = \min_{\text{target}} \|I_n - P_{\Theta_{\text{target}}}(I_n)\|. \quad (10)$$

$P_{\Theta_{\text{target}}}(I_n)$ with the minimum distance represents the most compact space which represents the object under inspection.

4. Results

In previous works [15, 16, 47, 48], target classification algorithms using standard sidescan sonars have mainly been based on the analysis of the targets' shadows. With high resolution sonars, we note that more information should be exploitable from the target's highlight. In this section, we investigate the resolution needed for the PCA image-based classifier described earlier to classify using only the information carried by the highlight.

The sidescan simulator presented in Section 2 will provide synthetic data in order to train and to test the PCA image-based classifier. All the sidescan images are generated with a randomly selected seafloor (from flat seabed, ripples and cluster environment), random sonar altitude (from 2 to 10 metres altitude) and random range for the targets (from 5 to 50 metres range).

For each experiment, two separate sets of sonar images have been computed, one specifically for training (in order to compute the target space Θ_{target}) and one specifically for testing. At each sonar resolution and for each target, 80 synthetic target images at random ranges, random altitude

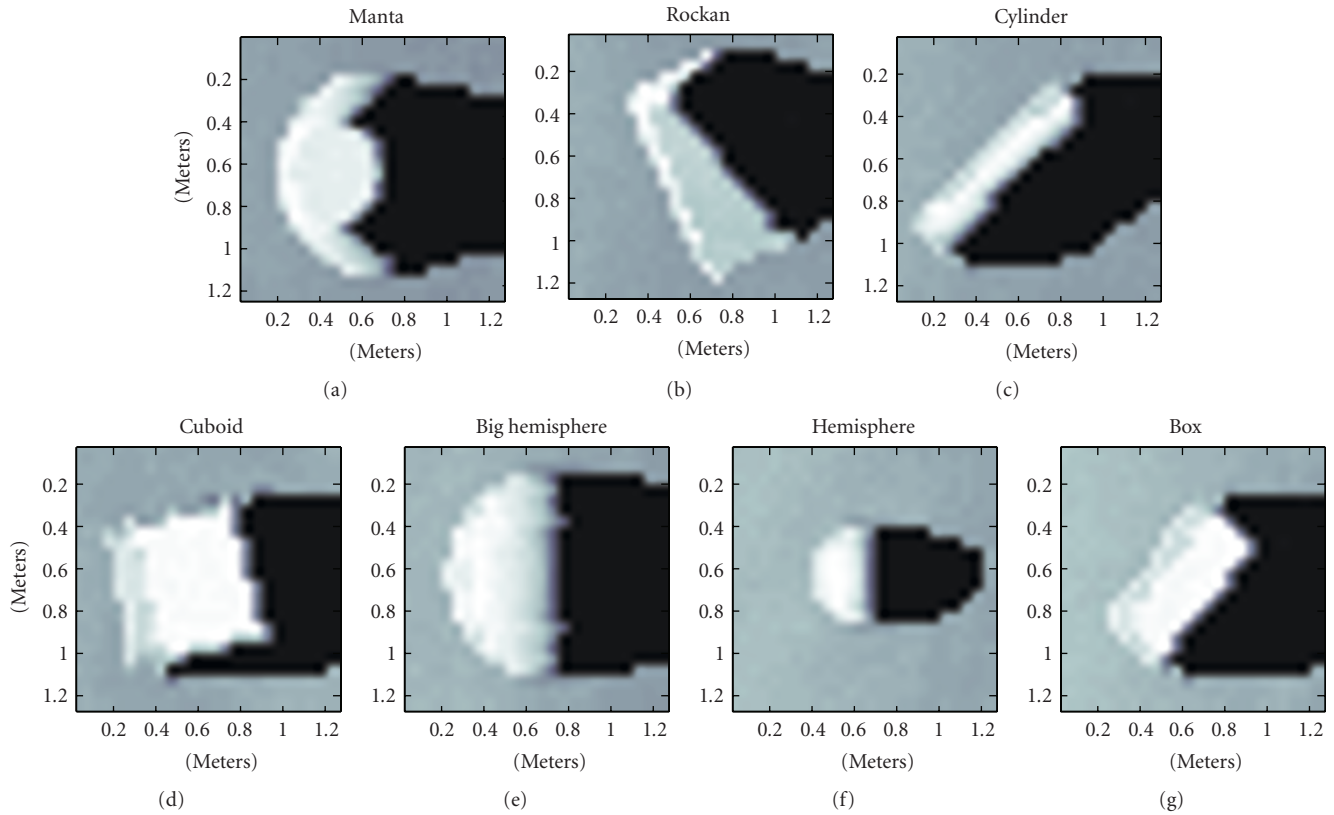


FIGURE 12: Snapshot of the targets used for classification. On the first line, the minelike targets with the Manta, the Rockan and the cylinder. On the second line, the nonmine targets with the cube, the two hemispheres, and the box shape target. The pixel size in these targets images is 5 cm.

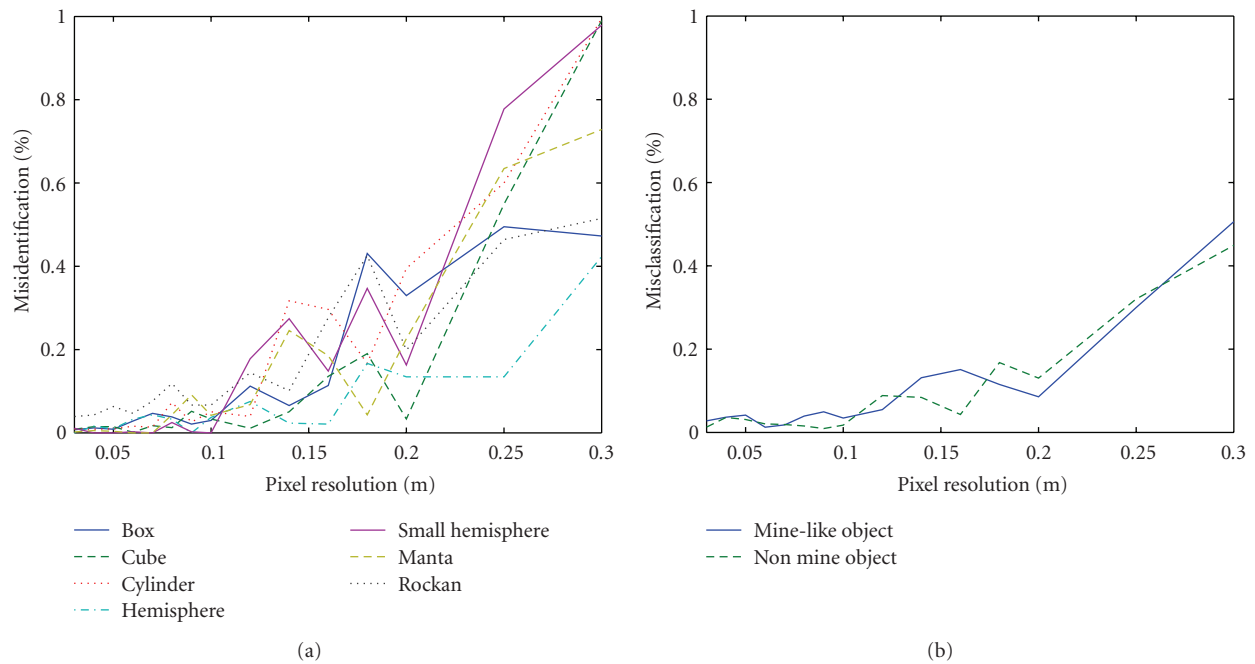


FIGURE 13: (a) Misidentification of the seven targets as a function of the pixel resolution. (b) Misclassification of the target as function of the pixel resolution.



FIGURE 14: Snapshot of the shadow of the four targets (from left to right: Manta, Rockan, Cube and Cylinder) to classify with different orientations and backgrounds. The pixel size is these target images is 5 cm. The size of each snapshot is $1.25 \text{ m} \times 2.75 \text{ m}$.

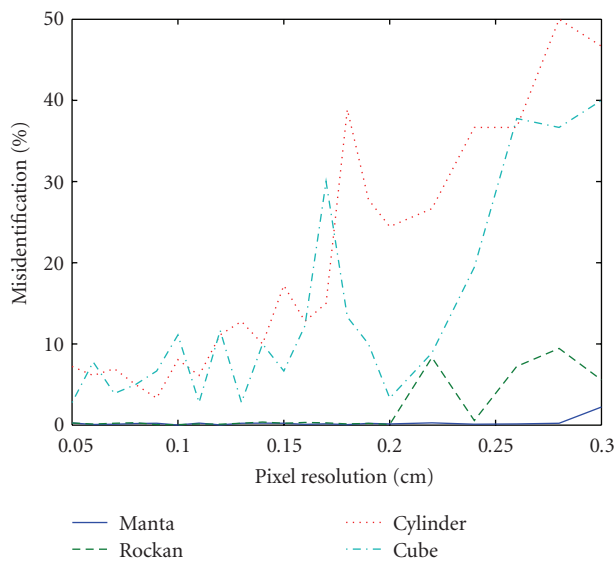


FIGURE 15: Percentage of misidentification versus the pixel resolution for various target types. This considers the shadow of the target and not its echo.

and with a randomly selected seafloor have been used for training. A larger set of 40000 synthetic target images are used to test the classifier. The classifier is trained and tested according to the algorithm described in Section 3.2.

4.1. What Precision Is Needed?

4.1.1. Identification. In this first experiment the PCA classifier is trained for identification. Assuming a minelike object has been detected and classified as a mine, the algorithm identifies the kind of mine the target. Four targets have been chosen: a Manta mine (truncated cone with dimensions 98 cm lower diameter; 49 cm upper diameter; 47 cm height), a Rockan mine ($L \times W \times H$: 100 cm \times 50 cm \times 40 cm), a cuboid with dimensions 100 cm \times 30 cm \times 30 cm and a cylinder 100 cm long and 30 cm in diameter.

Figure 10 displays snapshots of the four different targets for a 5 cm sonar resolution.

The pixel resolution is tunable in the simulator. Sidescan simulation/classification processes have been run for 15 different pixel resolutions from 3 cm (high resolution sonar) to 30 cm (low resolution sonar) covering the detection and classification range of side looking sonars. Figure 11 displays the misidentification % of the four targets against the pixel resolution.

As expected, the image-based classifier fails at low resolutions. Between 15 and 20 cm resolution, which corresponds to the majority of standard sonar systems, classification based on the highlights is poor (between 50% and 80% correct classification). The results stabilize at around 5 cm resolution to reach around 95% correct classification.

In previous work involving face recognition where it has been shown that PCA techniques are not very robust to rotation [49]. The algorithm can be optimized using multiple subspaces for each nonsymmetric target, each of the subspaces covering a limited angular range.

4.1.2. Classification. In this section we extend the PCA classifier for underwater object classification purposes. A larger set of seven targets have been chosen with three minelike objects: the Manta, the Rockan, a cylinder 100 cm long and 30 cm diameter and four nonmine objects: a cuboid with dimension 100 cm \times 50 cm \times 40 cm, two hemispheres with diameters, respectively, 100 cm and 50 cm and a box with dimension 70 cm \times 70 cm \times 40 cm. Note that the nonmine targets have been chosen such as the dimension of the big hemisphere matches with the dimension of the Manta, and the dimension of the box matches with the dimension of the Rockan. Figure 12 provides snapshots of the different targets.

As described in Section 4.1.1, two data sets for training and testing have been produced. The target classification relies on two steps: at first the target is identified following the same process as Section 4.1.1 and then classified into two classes *minelike* and *nonmine*.

Figure 13(a) displays the results of the identification step. the curves of misidentification for each target follow the general pattern described earlier in Section 4.1.1 with a low misidentification (below 5%) for a pixel resolution lower than 5 cm. In Figure 13(b), the results of the classification between *minelike* and *nonmine* is shown. Contrary to the identification process, the classification curves stabilise at higher pixel resolution (around 10 cm) to 2-3% misclassification.

In these examples we show that the identification task needs a higher pixel resolution than the classification task to match the same performances 95% correct identification/classification.

4.2. Identification with Shadow. As mentioned earlier, current sidescan ATR algorithms depend strongly on the target shadow for detection and classification. The usual assumption made is: *at low resolution the information relative to the target is mostly contained in its shadow*. In this section we aim to confirm this statement by using the classifier described in Section 3.2 directly on the target shadows.

We study here the quantity of information contained into the shape of the shadow, and how this information is retrievable depending on the pixel resolution.

Shadows are the result of the directional acoustic illumination of a 3D target. They are therefore range dependent. For the purposes of this experiment, in order to remove the effect of the range dependence of the shadows, the targets are positioned at a fixed range of 25 m from the sensor. Image segments containing the target shadows are extracted from the data. Figure 14 displays snapshots of target shadows with different orientations and backgrounds for a 5 cm pixel resolution. We process the target shadow images in exactly the same way as we did for the target highlight images in the previous sections. For each sonar resolution, 80 target shadows per object are used for training the classifier, and a set of 40000 shadow images is used for testing.

In total 15 training/classification simulations have been done for 15 sonar pixel resolutions (from 5 cm to 30 cm). Figure 15 shows the percentage of misclassification versus the pixel resolution for various target types.

Concerning the Cylinder and Cuboid targets, their shadows are very similar due to the similar geometry. In Figure 14 it is almost impossible to distinguish visually between the two objects looking only at their shadows. In broadside for example, the two shadows have exactly the same rectangular shape, explaining why the confusion between these two objects is high.

For the Manta and Rockan targets, the misidentification curves stabilize near 0% misidentification below 20 cm sonar resolution. Therefore, for standard sidescan systems with a resolution in the 10–30 cm range, the target information can be extracted from the shadow with an excellent probability of correct identification. In comparison, correct identification using the target highlights at 20 cm resolution is about 50% (cf. Figure 11)

5. Conclusions and Future Work

In this paper, a new real-time realistic sidescan simulator has been presented. Thanks to the flexibility of this numerical tool, realistic synthetic data can be generated at different pixel resolutions. A subspace target identification technique based on PCA has been developed and used to evaluate the ability of modern sonar systems to identify a variety of targets.

The results processing shadow images back up the widely accepted idea that identification from current sonars at 10–20 cm resolution is reaching its performance limit. The advent of much higher resolution sonars has now made it possible to bring in and apply techniques new to the field from optical image processing. The PCA analyses presented here, operating on highlight as opposed solely to shadow, show that these can give a significant improvement in target identification and classification performance opening the way for reinvigorated effort in this area.

The emergence of very high resolution sonar systems such as SAS and acoustic cameras will enable more advanced target identification techniques to be used very soon. The next phase of this work will be to validate and confirm these

using real SAS data. We are currently undertaking this phase in collaboration with the NATO Undersea Research Centre and DSTL under the UK Defense Research Centre program.

Acknowledgments

This work was supported by EPSRC and DSTL under research contracts *EP/H012354/1* and *EP/F068956/1*. The authors also acknowledge support from the Scottish Funding Council for the Joint Research Institute in Signal and Image Processing between the University of Edinburgh and Heriot-Watt University which is a part of the Edinburgh Research Partnership in Engineering and Mathematics (ERPem).

References

- [1] A. Bellettni, "Design and experimental results of a 300-kHz synthetic aperture sonar optimized for shallow-water operations," *IEEE Journal of Oceanic Engineering*, vol. 34, no. 3, pp. 285–293, 2009.
- [2] B. G. Ferguson and R. J. Wyber, "Generalized framework for real aperture, synthetic aperture, and tomographic sonar imaging," *IEEE Journal of Oceanic Engineering*, vol. 34, no. 3, pp. 225–238, 2009.
- [3] E. O. Belcher, D. C. Lynn, H. Q. Dinh, and T. J. Laughlin, "Beamforming and imaging with acoustic lenses in small, high-frequency sonars," in *Proceedings of the Oceans Conference*, pp. 1495–1499, September 1999.
- [4] A. Goldman and I. Cohen, "Anomaly subspace detection based on a multi-scale Markov random field model," *Signal Processing*, vol. 85, no. 3, pp. 463–479, 2005.
- [5] F. Maussang, J. Chanussot, A. Hétet, and M. Amate, "Higher-order statistics for the detection of small objects in a noisy background application on sonar imaging," *EURASIP Journal on Advances in Signal Processing*, vol. 2007, Article ID 47039, 17 pages, 2007.
- [6] B. R. Calder, L. M. Linnett, and D. R. Carmichael, "Spatial stochastic models for seabed object detection," in *Detection and Remediation Technologies for Mines and Minelike Targets II*, Proceeding of SPIE, pp. 172–182, April 1997.
- [7] M. Mignotte, C. Collet, P. Perez, and P. Bouthemy, "Hybrid genetic optimization and statistical model-based approach for the classification of shadow shapes in sonar imagery," *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 22, no. 2, pp. 129–141, 2000.
- [8] B. Calder, *Bayesian spatial models for sonar image interpretation*, Ph.D. dissertation, Heriot-Watt University, September 1997.
- [9] G. J. Dobeck, J. C. Hyland, and L. E. D. Smedley, "Automated detection and classification of sea mines in sonar imagery," in *Detection and Remediation Technologies for Mines and Minelike Targets II*, Proceedings of SPIE, pp. 90–110, April 1997.
- [10] I. Quidu, J. P. H. Malkasse, G. Burel, and P. Vilbe, "Mine classification based on raw sonar data: an approach combining Fourier descriptors, statistical models and genetic algorithms," in *Proceedings of the Oceans Conference*, pp. 285–290, September 2000.
- [11] B. R. Calder, L. M. Linnett, and D. R. Carmichael, "Bayesian approach to object detection in sidescan sonar," *IEEE Proceedings: Vision, Image and Signal Processing*, vol. 145, no. 3, pp. 221–228, 1998.

- [12] R. Balasubramanian and M. Stevenson, "Pattern recognition for underwater mine detection," in *Proceedings of the Computer-Aided Classification/Computer-Aided Design Conference*, Halifax, Canada, November 2001.
- [13] S. Reed, Y. Petillot, and J. Bell, "Automated approach to classification of mine-like objects in sidescan sonar using highlight and shadow information," *IEEE Proceedings: Radar, Sonar and Navigation*, vol. 151, no. 1, pp. 48–56, 2004.
- [14] S. Reed, Y. Petillot, and J. Bell, "Model-based approach to the detection and classification of mines in sidescan sonar," *Applied Optics*, vol. 43, no. 2, pp. 237–246, 2004.
- [15] E. Dura, J. Bell, and D. Lane, "Superellipse fitting for the recovery and classification of mine-like shapes in sidescan sonar images," *IEEE Journal of Oceanic Engineering*, vol. 33, no. 4, pp. 434–444, 2008.
- [16] B. Zerr, E. Bovio, and B. Stage, "Automatic mine classification approach based on auv manoeuvrability and the cots side scan sonar," in *Proceedings of the Autonomous Underwater Vehicle and Ocean Modelling Networks Conference (GOATS '00)*, pp. 315–322, 2001.
- [17] M. Azimi-Sadjadi, A. Jamshidi, and G. Dobeck, "Adaptive underwater target classification with multi-aspect decision feedback," in *Proceedings of the Computer-Aided Classification/Computer-Aided Design Conference*, Halifax, Canada, November 2001.
- [18] I. Quidu, J. P. H. Malkasse, G. Burel, and P. Vilbe, "Mine classification using a hybrid set of descriptors," in *Proceedings of the Oceans Conference*, pp. 291–297, September 2000.
- [19] J. Fawcett, "Image-based classification of side-scan sonar detections," in *Proceedings of the Computer-Aided Classification/Computer-Aided Design Conference*, Halifax, Canada, November 2001.
- [20] S. Perry and L. Guan, "Detection of small man-made objects in multiple range sector scan imagery using neural networks," in *Proceedings of the Computer-Aided Classification/Computer-Aided Design Conference*, Halifax, Canada, November 2001.
- [21] C. Ciany and W. Zurawski, "Performance of computer aided detection/computer aided classification and data fusion algorithms for automated detection and classification of underwater mines," in *Proceedings of the Computer-Aided Classification/Computer-Aided Design Conference*, Halifax, Canada, November 2001.
- [22] C. M. Ciany and J. Huang, "Computer aided detection/computer aided classification and data fusion algorithms for automated detection and classification of underwater mines," in *Proceedings of the Oceans Conference*, pp. 277–284, September 2000.
- [23] Y. Pailhas, C. Capus, K. Brown, and P. Moore, "Analysis and classification of broadband echoes using bio-inspired dolphin pulses," *Journal of the Acoustical Society of America*, vol. 127, no. 6, pp. 3809–3820, 2010.
- [24] C. Capus, Y. Pailhas, and K. Brown, "Classification of bottom-set targets from wideband echo responses to bio-inspired sonar pulses," in *Proceedings of the 4th International Conference on Bio-acoustics*, 2007.
- [25] J. Bell, *A model for the simulation of sidescan sonar*, Ph.D. dissertation, Heriot-Watt University, August 1995.
- [26] A. J. Hunter, M. P. Hayes, and P. T. Gough, "Simulation of multiple-receiver, broadband interferometric SAS imagery," in *Proceeding of IEEE Oceans Conference*, pp. 2629–2634, September 2003.
- [27] J. M. Bell, "Application of optical ray tracing techniques to the simulation of sonar images," *Optical Engineering*, vol. 36, no. 6, pp. 1806–1813, 1997.
- [28] G. R. Elston and J. M. Bell, "Pseudospectral time-domain modeling of non-Rayleigh reverberation: synthesis and statistical analysis of a sidescan sonar image of sand ripples," *IEEE Journal of Oceanic Engineering*, vol. 29, no. 2, pp. 317–329, 2004.
- [29] M. Pinto, "Design of synthetic aperture sonar systems for high-resolution seabed imaging," in *Proceedings of MTS/IEEE Oceans Conference*, Boston, Mass, USA, 2006.
- [30] A. P. L. at the University of Washington, "High-Frequency Ocean Environmental Acoustic Models Handbook," Tech. Rep. APLUW TR 9407, October 1994.
- [31] B. Mandelbrot, *The Fractal Geometry of Nature*, W. H. Freeman, 1982.
- [32] A. P. Pentland, "Fractal-based description of natural scenes," *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 6, no. 6, pp. 661–674, 1984.
- [33] R. F. Voss, *Random Fractal Forgeries in Fundamental Algorithms for Computer Graphics*, R. A. Earnshaw, Ed., Springer, Berlin, Germany, 1985.
- [34] P. A. Burrough, "Fractal dimensions of landscapes and other environmental data," *Nature*, vol. 294, no. 5838, pp. 240–242, 1981.
- [35] S. Lovejoy, "Area-perimeter relation for rain and cloud areas," *Science*, vol. 216, no. 4542, pp. 185–187, 1982.
- [36] R. J. Urlick, *Principles of Underwater Sound*, McGraw-Hill, New York, NY, USA, 3rd edition, 1975.
- [37] R. E. Francois, "Sound absorption based on ocean measurements: Part I: pure water and magnesium sulfate contributions," *The Journal of the Acoustical Society of America*, vol. 72, no. 3, pp. 896–907, 1982.
- [38] T. Aridgides, M. F. Fernandez, and G. J. Dobeck, "Adaptive three-dimensional range-crossrange-frequency filter processing string for sea mine classification in side scan sonar imagery," in *Detection and Remediation Technologies for Mines and Minelike Targets II*, Proceedings of SPIE, pp. 111–122, April 1997.
- [39] M. Pinto, "Performance index for shadow classification in minehunting sonar," in *Proceedings of the UDT Conference*, 1997.
- [40] V. Myers and M. Pinto, "Bounding the performance of sidescan sonar automatic target recognition algorithms using information theory," *IET Radar, Sonar and Navigation*, vol. 1, no. 4, pp. 266–273, 2007.
- [41] R. T. Kessel, "Estimating the limitations that image resolution and contrast place on target recognition," in *Automatic Target Recognition XII*, Proceedings of SPIE, pp. 316–327, usa, April 2002.
- [42] F. Florin, F. Van Zeebroeck, I. Quidu, and N. Le Bouffant, "Classification performance of minehunting sonar: theory, practical, results and operational applications," in *Proceedings of the UDT Conference*, 2003.
- [43] J. Wright, A. Y. Yang, A. Ganesh, S. S. Sastry, and YI. Ma, "Robust face recognition via sparse representation," *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 31, no. 2, pp. 210–227, 2009.
- [44] A. Nayak, E. Trucco, A. Ahmad, and A. M. Wallace, "Sim-BIL: appearance-based simulation of burst-illumination laser sequences," *IET Image Processing*, vol. 2, no. 3, pp. 165–174, 2008.
- [45] L. Sirovich and M. Kirby, "Low-dimensional procedure for the characterization of human faces," *Journal of the Optical Society of America A*, vol. 4, no. 3, pp. 519–524, 1987.

- [46] K. Etemad and R. Chellappa, "Discriminant analysis for recognition of human face images," *Journal of the Optical Society of America A*, vol. 14, no. 8, pp. 1724–1733, 1997.
- [47] S. Reed, Y. Petillot, and J. Bell, "An automatic approach to the detection and extraction of mine features in sidescan sonar," *IEEE Journal of Oceanic Engineering*, vol. 28, no. 1, pp. 90–105, 2003.
- [48] V. L. Myers, "Image segmentation using iteration and fuzzy logic," in *Proceedings of the Computer-Aided Classification/Computer-Aided Design Conference*, 2001.
- [49] M. Turk and A. Pentland, "Face recognition using eigenfaces," in *Proceedings of IEEE Conference on Computer Vision and Pattern Recognition*, pp. 586–591, 1991.