# A Vision Chip for Color Segmentation and Pattern Matching

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A  $128(H) \times 64(V) \times RGB$  CMOS imager is integrated with region-of-interest selection, RGB-to-HSI transformation, HSI-based pixel segmentation, (36bins  $\times$  12bits)-HSI histogramming, and sum-of-absolute-difference (SAD) template matching. Thirty-two learned color templates are stored and compared to each image. The chip captures the R, G, and B images using in-pixel storage before passing the pixel content to a multiplying digital-to-analog converter (DAC) for white balancing. The DAC can also be used to pipe in images for a PC. The color processing uses a biologically inspired color opponent representation and an analog lookup table to determine the Hue (H) of each pixel. Saturation (S) is computed using a loser-take-all circuit. Intensity (I) is given by the sum of the color components. A histogram of the segments of the image, constructed by counting the number of pixels falling into 36 Hue intervals of 10 degrees, is stored on a chip and compared against the histograms of new segments using SAD comparisons. We demonstrate color-based image segmentation and object recognition with this chip. Running at 30 fps, it uses 1 mW. To our knowledge, this is the first chip that integrates imaging, color segmentation, and color-based object recognition at the focal plane.

**Keywords and phrases:** focal plane image processing, object recognition, color histogramming, CMOS image sensor, vision chip, VLSI color image processor.

#### 1. INTRODUCTION

CMOS-integrated circuits technology readily allows the incorporation of photodetector arrays and image processing circuits on the same silicon die [1, 2, 3, 4, 5, 6]. This has led to the recent proliferation in cheap and compact digital cameras [7], system-on-a-chip video processors [8, 9], and many other cutting edge commercial and research imaging products. The concept of using CMOS technology for combining sensing and processing was not spearheaded by the imaging community. It actually emerged in mid '80s from the neuromorphic engineering community developed by Mead and collaborators [10, 11]. Mead's motivation was to mimic the information processing capabilities of biolog-

ical organisms; biology tends to optimize information extraction by introducing processing at the sensing epithelium [12]. This approach to sensory information processing, which was later captured with terms such as "sensory processing" and "computational sensors," produced a myriad vision chips, whose functionality includes edge detection, motion detection, stereopsis, and many others (examples can be found in [13, 14, 15, 16]).

The preponderance of the work on neuromorphic vision has focused on spatiotemporal processing on the intensity of light (gray-scale images) because the intensity can be readily transformed into a voltage or current using basic integrated circuit components: photodiodes, photogates, and phototransistors. These devices are easily implemented

in CMOS technologies using no additional lithography layers. On the other hand, color image processing has been limited primarily to the commercial camera arena because three additional masks are required to implement R, G, and B filters [17]. The additional masks make fabrication of color-sensitive photodetection arrays expensive and, therefore, not readily available to researchers. Nonetheless, a large part of human visual perception is based on color information processing. Consequently, neuromorphic vision systems should not ignore this obviously important cue for scene analysis and understanding. This paper addresses this gap in the silicon vision literature by providing perhaps the only integrated large array of color photodetectors and processing chip. Our chip is designed for the recognition of objects based on their color signature.

There has been a limited amount of previous work on neuromorphic color processing. The vast majority of color processing literature addresses standard digital image processing techniques. That is, they consist of a camera that is connected to a frame grabber that contains an analog-todigital converter (ADC). The ADC interfaces with a digital computer, where software algorithms are executed. Of the few biologically inspired hardware papers, there are clearly two approaches. The first approach uses separate imaging chips and processing chips [18], while the second approach integrates a handful of photodetectors and analog processing circuitry [19]. In the former example, standard cameras are connected directly to analog VLSI chips that demultiplex the video stream and store the pixel values as voltages on arrays of capacitors. Arrays as large as  $50 \times 50$  pixels have been realized to implement various algorithms for color constancy [18]. As can be expected, the system is large and clumsy, but real-time performance is possible. The second set of chips investigate a particular biologically inspired problem, such as RGB-to-HSI (Hue, saturation, and intensity) conversion using biologically plausible color opponents and HSI-based image segmentation using a very small number of photodetectors and integrated analog VLSI circuits [19]. Clearly, the goal of the latter is to demonstrate a concept and not to develop a practical system for useful image sizes. Our approach follows the latter, however, we also use an architecture and circuitry that allow high-resolution imaging and processing on the same chip. In addition, we include higher-level processing capabilities for image recognition. Hence, our chip can be considered to be a functional model of the early vision, such as the retina and visual area #1 (V1) of the cortex, and higher visual cortical regions, such as the inferotemporal area (IT) of the cortex [20, 21].

#### 2. COLOR SEGMENTATION AND PATTERN MATCHING

In general, color-based image segmentation, object identification, and tracking have many applications in machine vision. Many targets can be easily segmented from their backgrounds using color, and subsequently can be tracked from frame to frame in a video stream. Furthermore, the tar-

gets can be recognized and tagged using their color signature. Clearly, in the latter case, the environment must be configured such that it cooperates with the segmentation process. That is, the targets can be colored in order to facilitate the recognition process because the recognition of natural objects based solely on color is prone to false positives. Nonetheless, there are many situations where color segmentation can be directly used on natural scenes. For example, people tracking can be done by detecting the presence of skin in the scene. It is remarkable that skin, from the darkest to the lightest individual, can be easily tracked in HSI space, by constructing a model 2D histogram of the Hue (H) and saturation (S) (intensity (I) can be ignored) of skin tone in an image. Skin can be detected in other parts of the image by matching the histograms of these parts against the HS model. Figures 1 and 2 show an example of a general skin tone identification task, implemented in Matlab. Conversely, specific skin tones can be detected in a scene if the histogram is constructed with specific examples. The latter will be demonstrated later using our

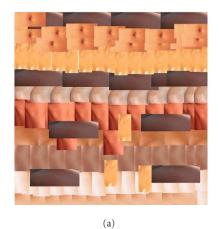
Color imagers, however, provide an RGB color representation. For the above example, a conversion from RGB to HSI is required. There are other benefits of this conversion. The main advantage of the HSI representation stems from the observation that RGB vectors can be completely redirected under additive or multiplicative transformations. Hence, color recognition using RGB can fail under simple conditions such as turning on the light (assume a white source; colored sources manipulate the color components in a more profound way). HS components, however, are invariant under these transformations, and hence are more robust to variations in ambient intensity levels. Equation (1) shows how HSI components are derived from RGB [19, 22]. Notice that H and S are not affected if  $R \rightarrow \{R + a, aR\}, G \rightarrow \{G + a, aG\},\$ and B  $\rightarrow$  {B+a, aB}. In the equation, R, G, and B have been normalized by the intensity, that is, R/I = r, G/I = g, and B/I = b:

$$H = \arctan\left(\frac{\sqrt{3}[g-b]}{2[(r-g)+(r-b)]}\right), \tag{1a}$$

$$S = 1 - 3[\min(r, g, b)],$$
 (1b)

$$I = R + G + B. (1c)$$

The conversion from RGB to HSI is, however, nonlinear and can be difficult to realize in VLSI because nonlinear functions, such as arctangent, cannot be easily realized with analog circuits. Here, we present an approach for the conversion that is both compact (uses small silicon area) and fast. It is also worth noticing that the HSI conversion uses color opponents (r-g, r-b, g-b). Although we have made no attempt to mimic biological color vision exactly, it is worth noticing that similar color opponents have been identified in biological color processing, suggesting that an HSI representation may also be used by living organisms [19, 20, 21, 23]. Figure 3 shows the color opponent receptive fields of cells in the visual cortex [23]. Figure 4 shows how we implemented



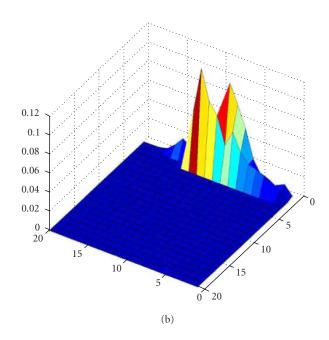


FIGURE 1: (a) Examples of skin tones obtained from various individuals with various complexions. (b) The HS histogram model constructed from picture in (a).

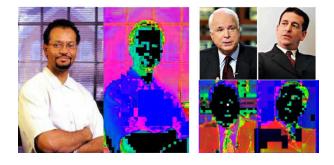


FIGURE 2: Skin tone segmentation using HS histogram model in Figure 1. Black pixels have been identified.

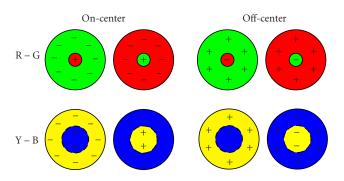


FIGURE 3: Color opponent receptive fields in the visual cortex. Unipolar off- and on-cells of G-B and Y-B are used to construct the HSI representation.

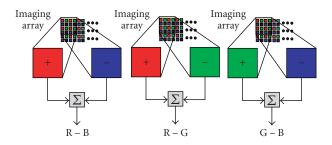


FIGURE 4: Color opponent computation performed by the chip. Bipolar R - B, R - G, and G - B are used to implement the HSI representation in (1).

color opponents on our chip. Using these color opponents, the RGB-to-HSI conversion is realized.

#### 3. CHIP OVERVIEW

We have designed a  $128(H) \times 64(V) \times RGB$  CMOS imager, which is integrated with analog and digital signal processing circuitry to realize focal plane region-of-interest selection, RGB-to-HSI transformation, HSI-based segmentation, 36-bin HSI histogramming, and sum-of-absolute-difference (SAD) template matching for object recognition. This selfcontained color imaging and processing chip, designed as a front-end for microrobotics, toys, and "seeing-eye" computers, learns the identity of objects through their color signature. The signature is composed of a (36bins  $\times$  12bits)-HSI histogram template; a minimum intensity and minimum saturation filter is employed before histogramming. The template is stored at the focal plane during a learning step. During the recognition step, newly acquired images are compared to 32 stored templates using the SAD computer. The minimum SAD result indicates the closest match. In addition, the chip can be used to segment color images and identify regions in the scene having particular color characteristics. The location of the matched regions can be used to track objects in the environment. Figure 5 shows a block diagram of the chip. Figure 6 shows a chip layout (the layout is shown

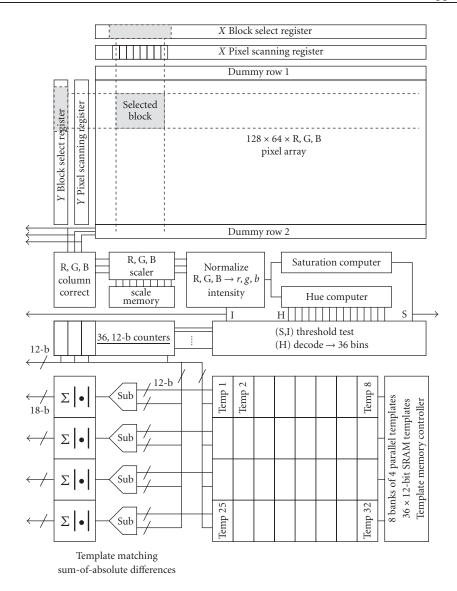


FIGURE 5: Computational and physical architecture of the chip.

because the light shielding layer obscures the details). To our knowledge, this is the first chip that integrates imaging, color segmentation, and color-based object recognition at the focal plane.

#### 4. HARDWARE IMPLEMENTATION

# 4.1. CMOS imaging, white equalization, and normalization

In the imager array, three current values, corresponding to R, G, and B, are sampled and held for each pixel. By storing the color components in this way, a color filter wheel can be used instead of integrated color filters. This step allows us to test the algorithms before migrating to an expensive color CMOS process. When a color CMOS process is used, the sample-and-hold circuit in Figure 7 will be removed. An R, G, and B triplet per pixel, obtained from on-chip filters,

will then be provided directly to the processing circuit. No change to the scanning or processing circuitry will be required. To facilitate processing, a current mode imaging approach is adopted. It should be noted, however, that current mode imaging is typically noisy. For our targeted application, the noisiness in the image does not pose a problem and the ease of current mode processing is highly desirable. Current mode imaging also provides more than 120 dB of dynamic range [10], allows RGB scaling for white correction using a multiplying DAC and RGB normalization using a translinear circuit [24]. The normalization guarantees that a large dynamic range of RGB currents are resized for the HSI transformer to operate correctly. However, it limits the speed of operation to approximately 30 fps because the transistors must operate in subthreshold.

For readout, the pixels can be grouped into blocks of  $1 \times 1$  (single pixel) to  $128 \times 64$  (entire array). The blocks can be advanced across the array in single or multiple pixel intervals.

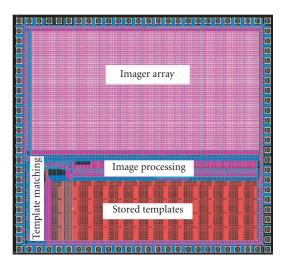
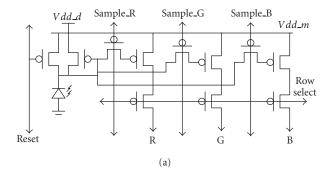


FIGURE 6: Chip layout (light shield layer obscures all details in micrograph).



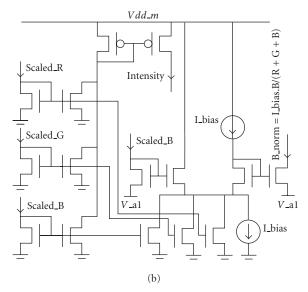


FIGURE 7: (a) Schematic of the pixel. (b) Schematic of the normalization circuit.

Each block is a subimage for which an HSI histogram is constructed, and can be used as a learned template or a test template. The organization of the pixels and the scanning methods are programmable by loading bit patterns in two scanning registers, one for scanning pixels within blocks and the other for scanning the blocks across the array.

Figure 7 shows the schematic of the pixel and a portion of the RGB normalizer. The output currents of the pixel are amplified using tilted mirrors, where  $Vdd\_d < Vdd\_m$ . In light intensity for which this array is designed, a logarithmic relationship is obtained between light intensity and output current [25]. Logarithmic transfer functions have also been observed in biological photoreceptors [26]. This relationship has the additional benefit of providing wide dynamic range response. A reset switch is included to accelerate the off-transition of the pixel. Not shown in Figure 7b is the scaling circuit that simply multiplies the RGB components by programmable integer coefficients from 1 to 16. The scaling is used to white balance the image because silicon photodiodes are more sensitive to red light than to blue.

The normalization circuit computes the ratio of each color component to the sum of the three (i.e., intensity) using the translinear circuit in Figure 7b. The circuit uses MOS-FETs operating in subthreshold so that the relationship between the gate-to-source voltages and the currents through the devices is logarithmic. Hence, the difference of these voltages provides the logarithm of the ratio of currents. By using the voltage difference as the gate-to-source voltage of another transistor, a current is produced which is proportional to this ratio (i.e., the anti-log is computed). This function is easily implemented with the circuit in Figure 7b, however, because all transistors must operate in subthreshold, that is, with very small currents on the order of  $\sim 1$  nA, the circuit can be slow. Using larger transistors to allow larger bias currents is countered by the increased parasitic capacitance. With a parasitic capacitance of ~ 2fF and a bias current of 1nA, a slew rate of  $2 \mu s/V$  is obtained, while at 30 fps, the circuit needs a time constant of  $\sim 3300/(128 \times 64) = 4 \,\mu s$ . This circuit limits the speed of the system to a maximum speed of 30 frames per second despite the relatively small size of the array. In future designs, this speed problem will be corrected by using an above threshold "normalization" circuit that may not be as linear as the circuit depicted in Figure 7b.

#### 4.2. RGB-to-HSI conversion

The RGB-to-HSI transformer uses an opponent color formulation, reminiscent of biological color processing [19]. The intensity is obtained before normalization by summing the RGB components (see Figure 7b). To compute the saturation of the color, the function in (1b) must be evaluated for each pixel. Since the minimum of the three normalized components must be determined, an analog loser-take-all circuit is used. It is often difficult to implement a loser-take-all, so a winner-take-all is applied to  $1-\{r,g,b\}$ . The circuit is shown in Figure 8. The base winner-take-all circuit is a classical design presented in [27, 28].

For the determination of the Hue of the RGB values, the function in (1a) must be computed. Since this computation requires an arctangent function, it cannot be easily and compactly implemented in VLSI. Hence, we used a mixed-signal

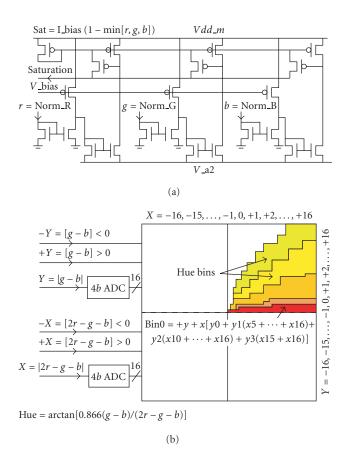


FIGURE 8: (a) Loser-take-all used for the saturation (S) computation. Actually computes the winner of  $1 - \{r, g, b\}$ . (b) The Hue (H) mixed-signal lookup table.

lookup table. We use a hybrid circuit that simply correlates the color opponents (g-b), (r-g), and (r-b) to indicate Hue if the intensity and the saturation of the color are above a minimum value. The (g-b) and (2r-g-b) components are each quantized into 16 levels using a 4-bit thermometer code analog-to-digital conversion. The lookup table maps the  $16 \times 16$  input combinations and the quadrant (as indicated by the two additional sign bits for X and Y) into 36 Hue intervals, each having 10 degrees resolution, to cover the 360 degrees of Hue space. The HSI computation is applied to each normalized RGB value scanned from the array; color segmentation is realized by testing each pixel's HSI values against prescribed values, and the appropriate label is applied to the pixel. Figure 8b shows the block diagram of the Hue computation circuits. Figure 9 shows the measured normalized currents, rgb, and the color opponents X = |2r - g - b| and Y = |g - b|. The comparison between theoretical and measured X and Y is also shown. The variations are expected, given the analog circuits implementation. Figure 10 shows the measured relationship between the normalized *rgb* and the computed saturation. The deviation from the theoretical curve has two components: the difference in slope is due to some nonlinearity in the normalization circuit and a less than unity gain in the saturation cir-

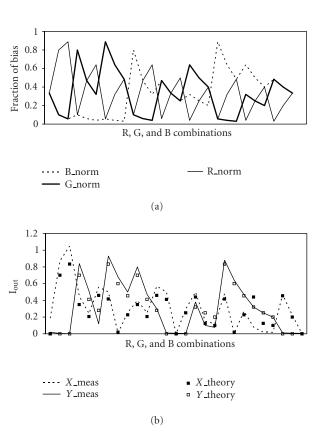


FIGURE 9: (a) shows the normalized rgb for various values of RGB. (b) shows the color opponents X = 2 R - G - B and Y = G - B.

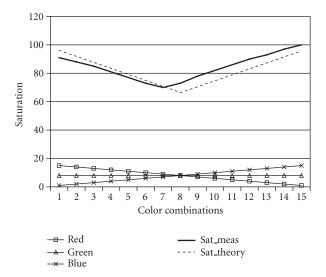


FIGURE 10: Measured saturation (S) as a function of rgb.

cuit's output mirror, while the offset on the right side of the saturation curve is caused by a layout property that reduced Vdd for one part of the circuit. Consequently, the saturation current is higher than expected when the r component is minimum.

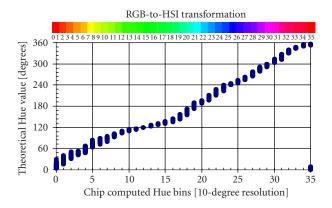


FIGURE 11: Hue (H) bin assignment for various RGB combinations. The color band shows the input.

Figure 11 shows the measured relationship between input Hue angle and bin allocation. The plot is obtained by presenting known values of RGB (i.e., Hue angle) to the chip and recording the Hue bins that are triggered. The presentation is done by using the DAC properties of the RGB scaler circuit (see Figure 5) with input currents fixed. This same strategy is used to present the processing core of the chip with images from a PC, as will be shown below. There are some overlaps in the response ranges of the individual Hue bins because of imprecision in creating the Hue table's input addresses. These addresses are created using a simple current ADC that depends on transistor size, gain, and threshold voltage matching. Despite using common centroid layout techniques, we found that the ADC was monotonic but not completely linear. Notice, however, that the overlaps are desirably restricted to the nearest neighbor bins. The invariance of the Hue computation to intensity and saturation variations is shown in Figure 12. The effects of impression in the Hue lookup are again visible in the figure. Nonetheless, this plot shows that the Hue computation is insensitive to multiplicative (here intensity variations) and additive shifts (here saturation variations), as designed.

Next, we tested the color segmentation properties of the chip using real images piped in from a PC. As indicated above, these images are presented by using the RGB scaler circuit as a current DAC. The image of the Rubik's cube in Figure 13 demonstrates the effectiveness of our chip on an image containing varying levels of lighting. That is, the foreground is well lit, while the background is in the shadows. Furthermore, it shows some of the limitations of "wide" Hue interval assigned to every bin. It shows that portions of the image that are highly desaturated or have low intensity can also have similar Hues to other highly saturated and well-lit parts of the image. Using programmable Hue intervals per bin, the transformation lookup table can easily be modified to have finer resolution in targeted portions of the Hue space so that these "similar" Hues can be disambiguated. The next design of this chip will have this capability.

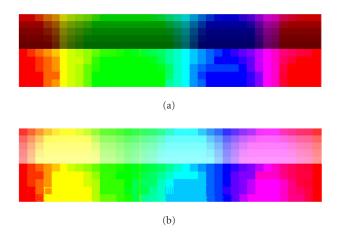


FIGURE 12: (a) Measured RGB to Hue transformation as a function of intensity (multiplicative shift). (b) Measured RGB to Hue transformation as a function of saturation (additive shift).

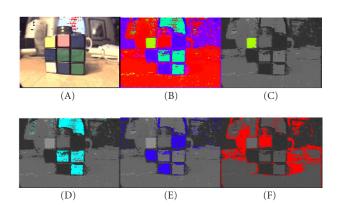


FIGURE 13: Color segmentation on real images. (a) Input image. (b) Complete Hue image. (c) Yellow segment. (d) Cyan segment. (e) Blue segment. (f) One of the red segments.

# 5. HSI HISTOGRAMMING AND TEMPLATE MATCHING

The HSI histogramming step is performed using 36- and 12bit counters to measure the number of pixels that fall within each prescribed HSI interval. Here the HSI interval is defined as a minimum intensity value, minimum saturation value, and one of 36 Hue values. In this chip, we count only pixels that pass the intensity and saturation tests. In future versions, we will also count the number of pixels that do not pass the test. Figure 14 shows a block diagram of the histogramming step. After scanning the imager, the counters hold the color signature of the scene or a portion of the scene (based on the block selection circuit described in Section 4.1). During the learning phase, the signature is transferred to one of the 32 on-chip SRAM template cells of 432-bits each. During the matching phase, the newly acquired signatures are compared to the stored templates, using 8 serial presentations of 4 parallel templates. Four parallel SAD cells perform the matching computation. The resultant error for each template is

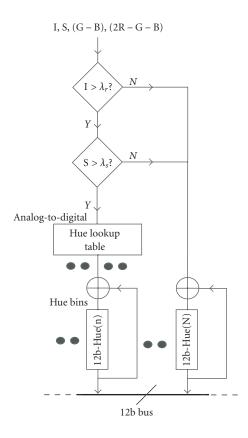


FIGURE 14: Block diagram of HSI histogramming.

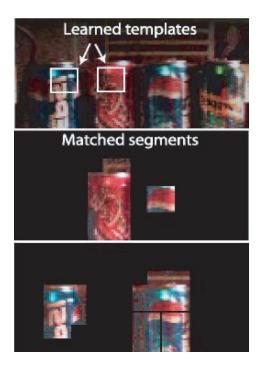


FIGURE 15: Function of the complete chip: images acquired by the array, learned templates, and locations of matches.

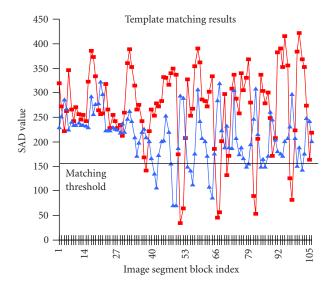


FIGURE 16: SAD template matching outputs. A threshold of 155 is used to identify the objects in Figure 15.

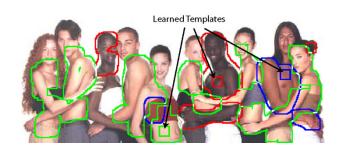


FIGURE 17: Skin tone identification revisited (using the processing core of the chip). The unimodal Hue distribution of skin leads to some misclassifications.

presented off-chip, where they are sorted using a simple microcontroller such as a PIC, to find the best match template. Figure 15 shows the whole chip in action, showing the image acquired by the array and blocks identified as templates for "coke" and "pepsi." Color signatures histograms of the templates constructed, the histograms are stored in the memory and, subsequently, "coke" and "pepsi" are localized in the scene containing multiple cans. The learned segment is  $15 \times 15$ ; during matching, the image is scanned in blocks of  $15 \times 15$ , shifted by 8 pixels, for a total of 128 subimages. No scanned block matches the learned block exactly. A plot of the SAD error is shown in Figure 16. Match threshold is set to 155. Notice that the "coke" template also matches part of a pepsi can. This is easily explained by noting that the "coke" only template contains red and white pixels. Hence it matches the part of the pepsi can. On the other hand, the "pepsi" template contains red, white, and blue pixels. Hence it is not well matched to the other cans and only identifies the pepsi cans.

To further illustrate this point, Figures 17 and 18 show matching using templates with varying color content. In

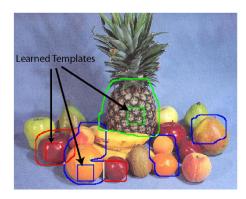


FIGURE 18: Fruit identification (using the processing core of the chip). The multimodal distribution of the pineapple eliminates misclassifications.

both figures, the images were piped through the processing core of the chip using the RGB scaler circuit as a DAC. In Figure 17, the task is to identify different skin tones by "learning" templates of various complexions. In all the cases, however, the Hue histogram is a unimodal distribution, similar to Figure 1b for constant saturation. Consequently, the template matching process misclassifies clothing for skin because the Hue distributions are similar. This misclassifications also happens for single-colored fruits, as seen in Figure 18. The plums and apples are matched, as are oranges and peaches. On the other hand, the pineapple contains at least two or three bumps in the Hue distribution (blue, green, and yellow). Hence, it can be easily identified and no misclassifications are made. Hence, we can conclude that this method of color-based object identification is more effective when the target is multicolored. This conclusion will be exploited in the applications of this chip. Table 1 gives a summary of the characteristics of this chip.

# 6. CONCLUSION

The prototype demonstrates that a real-time color segmentation and recognition system can be implemented in VLSI using a small silicon area and small power budget. We also demonstrate that the HSI representation used in this chip is robust under multiplicative and additive shift in the original RGB components. We demonstrate color segmentation and template matching. Template matching is most effective when the target is composed of multiple colors. This prototype was tested using a color filter wheel, where R, G, and B images are sequentially stored in the pixels array. By using a fabrication technology with RGB filters, the entire system can be realized with a tiny footprint for compact imaging/processing applications.

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Table 1: Summary of performance.

Technology	$0.5\mu\mathrm{m}$ 3M1P CMOS
Array size (R, G, B)	$128 (H) \times 64 (V)$
Chip area	$4.25\mathrm{mm} \times 4.25\mathrm{mm}$
Pixel size	$24.85  \mu \text{m} \times 24.85  \mu \text{m}$
Fill factor	20%
FPN	~ 5%
Dynamic range	> 120 db (current mode)
Region-of-interest size	$1 \times 1$ to $128 \times 64$
Color current scaling	4 bits
Hue bins	36, each 10 degree wide
Saturation	Analog (~ 5 bits) one threshold
Intensity	Analog (~ 5 bits) one threshold
Histogram bin counts	12 bits/bin
Template size	432 bits ( $12 \times 36$ bits)
No. stored template	32 (13.8 kbits SRAM)
Template matching	4 parallel SAD, 18 bits results
Frame rate	Array scan: ∼ 2k fps HSI comp: ∼ 30 fps
Power consumption	~ 1 mW at 30 fps on 3.3V supplies

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