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Spectrogram-based assessment of small SNR variations, with application to medical electrodes



Zeljka Milanović¹, Nicoletta Saulig¹, Ivan Marasović^{2*} and Damir Seršić³

Abstract

In this paper, the problem of detection of small signal-to-noise ratio (SNR) variations in noisy signals is addressed in order to provide an efficient and fast method for detection of faulty electroencephalogram (EEG) electrodes which can improve the interpretation of medical data. The method for slight SNR variation assessment, based on the estimation of the longest useful information cluster, is proposed as an alternative to commonly used estimators such as signal energy spectral density, spectral peaks, and spectrogram entropy, which exhibited limited reliability for the considered task. The method proposed in this paper is validated on real signals, which are resistance fluctuations of the EEG Corkscrew electrode solder connection, in which failure is typically manifested as a lower signal-to-noise ratio in the output signal, when compared to the valid electrode. In order to obtain a reliable criterion for the distinction of signals with slight SNR variations, a time-frequency method that relies on observation of the longest useful information cluster of data preserved after the K-means-based denoising application has been introduced. Based on the measurement of the longest existing stationary component, an expert system has been developed, which provides reliable failure detection method with detection accuracy of up to 97.6%. Results on real and simulated data show that the proposed method can be adopted as a computer-aided decision system in a wide range of applications requiring high sensitivity to slight variations of SNRs.

Keywords: EEG, Failure detection, Entropy, Corkscrew electrodes failure, Time-frequency distributions, K-means

1 Introduction

A widely accepted method to determine the reliability and lifetime of a wide range of electronic devices is through testing of the chemical and mechanical properties of the used materials. The goal of such tests is to reliably determine the set on of the failure. In many cases, failure of electronic devices can be caused by degradation (electromigration or oxidization) of metallic interconnects and solder joints which lose their conduction properties. Some known failure detection methods are based on the research of DC resistance fluctuations, material fatigue, corrosion, and mechanical stress [1–3]. Researching solely resistance fluctuations of the material has proven to be unreliable for determining failure appearance as shown in literature that researched resistance fluctuations using

disordered networks such as large scale random resistor network (RRN) which exploits the fact that faulty electrode presents non-Gaussian behavior and valid does not, which has not been successfully applied as a reliable discrimination criteria [3]. Therefore, in this paper, time-frequency (TF) analysis has been applied to measured and simulated, reference and faulty, EEG electrode tip resistance fluctuation signals. Resistance fluctuations often appear due to the electromigration process and differences in the material properties and its microstructure [4, 5]. Material defects or chemical impurities that can be inadvertently introduced during manufacturing process, also significantly contribute to materials' resistance fluctuations. The diffusion of solder metal atoms may decrease the cross-section area of the solder contact which increases the local current density and local resistance. The oxide layer that envelops the stainless steel tip acts as a dielectric that has a significant influence on the solder joint overall conductivity. Cold solder between the

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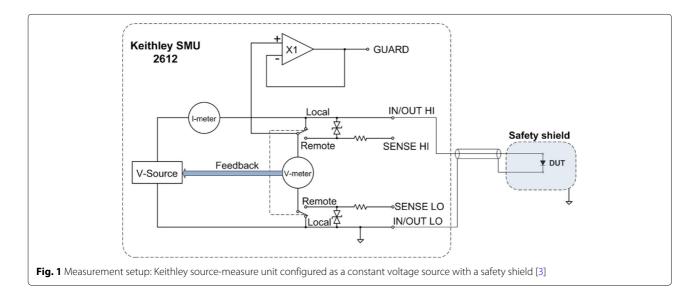
stainless steel tip and the lead wire can appear during the manufacturing procedure of Corkscrew electrodes and cannot be detected immediately but becomes apparent after some time of use. The cold solder often significantly affects the impedance of the electrode and the collected signals' quality. Electrode impedance change results in increased electrode voltage drop, which leads to a larger voltage difference at the amplifier input. Motivated by the previous arguments, this paper investigates the possibility of detection of failure of EEG Corkscrew electrodes, after manufacturing. The aim of this study is to perform detailed computer simulations and develop an expert system for timely failure detection. Simulations are performed for a range of different noise realizations in order to study the influence of noise type and level on spectrograms' entropy. By the spectral analysis of the measured signals, a superposition of additive white Gaussian noise (AWGN) and flicker noise (FN) has been observed [6]. By focusing on steady-state behavior of total resistance, properties of measured resistance fluctuations are analyzed employing the analysis of the information content of the signal in the TF domain.

The simulation results conform to experimental observations, but also provide an important insight into the failure of solder joints. The results obtained by the proposed computational algorithm based on resistance fluctuations TF analysis are discussed as a potential diagnostic tool for medical electrodes quality testing. Section 2, "Methods", describes the measurement setup that has been built for the purpose of measuring resistance fluctuations, including all the methods used to collect the data for the analysis. The following section "Comparison of criteria for detection of faulty electrodes" compares different criteria used when approaching the problem of small SNR and determining the appropriate procedure for the successful

failure detection. Section 4 describes the developed model for failure detection based on investigating resistance fluctuations. Section 5 reports results and discussion of the proposed method, with explanation of the rate of success of the detection. Section 6 concludes the paper with explanation of the obtained error rate and future work.

2 Methods

In order to determine electrode behavior and electrical characteristics, it is necessary to understand the microstructure of the solder joint created during the manufacturing process. The Corkscrew electrode consists of a needle (0.6 mm in diameter) and a lead wire (1 m long). In the manufacturing process of Corkscrew electrode, eutectic alloy of 60% tin and 40% led (Sn60Pb40) is used as a bonding material (solder) according to DIN1707. The electrodes tip (needle) is made of stainless steel AISI 304 which has the following composition [7]: 14.7%Cr, 8.3%Ni, 0.053%C, 0.04%N, 0.48%Si, 1.42%Mn, 0.39%Mo, and 0.14%Cu. This type of stainless steel has a thin oxide film that circumfuses the needles' surface. In order to define the structure and impose a model of solder joint in Corkscrew electrode, it is necessary to address the structure of the observed oxide film. It is composed of an outer iron oxide layer and inner mixed iron-chromium oxide layer [7]. As the manufacturing process of EEG electrodes includes soft soldering procedure which uses temperatures in the range of 300 to 350°C, it is reasonable to assume that thermally grown oxide layer dominates at the tip surface over the passive oxide layer. The measurement setup consists of source-measure unit (SMU) Keithley 2612 which is configured as constant voltage source. In this configuration, as shown in Fig. 1, the "SourceMeter" instrument functions as a low-impedance voltage source with current limit capability which can measure current



(I-Meter) or voltage (V-Meter). The V-Meter senses the voltage at the input/output terminals (2-wire local sense) and compares it to the pre-programmed voltage level. Additional circuitry is used for continuous monitoring of the output voltage and adjustment to the V-Source if needed. To eliminate the external noise, coaxial cable and a safety shield are used. In this measurement setup, the device under testing (DUT-EEG electrode) is connected as a floating load to reduce the shield current and existent magnetic field. All measurements consist of P=5000 samples for total recording time of 7 s and sampling frequency of 714 Hz.

3 Comparison of criteria for detection of faulty electrodes

3.1 Spectral energy, spectral peak, and TF entropy

The spectra of valid and faulty electrodes are given by the discrete Fourier transform of the signal $x_n[p]$, being the resistance fluctuations of one Corkscrew electrode's tip, n=1:1:N, with parameters reported in Section 2. Figure 2a and b show the energy spectral densities of the resistance fluctuations of the Corkscrew electrodes provided by the measurement setup presented previously. It can be seen that no significant difference between the energy spectral densities of valid and faulty electrodes can be observed. Thus, an alternative approach has been considered, based on the analysis of the electrodes response to an intentionally added low-energy stationary component.

It has been observed that stationary components in the resistance fluctuations can occur as results of nonfiltered network harmonics. Figure 2c shows the spectral response of one valid, while Fig.2d of one faulty electrode. The addition of a stationary component is manifested as a prominent peak around 250 Hz in the signal energy spectral density. The addition of a stationary signal component evokes the possibility of considering an approach aimed to detect the presence of a deterministic signal in a noisy environment by means of the signal's energy [8–11]. In cited work the presence or absence of a deterministic signal in a noisy environment assessment is based on the energy of the observed signal: relying on a certain threshold [8-11] on the signal energy, the presence of a deterministic signal is confirmed or denied. Figure 3a shows the energies of signals with stationary component of the valid and faulty electrodes (each set consists of N = 7 measured electrodes labelled by the manufacturer as either faulty or valid, determined by the appearance of cold solder). It can be noticed that for both sets very similar results are obtained, showing the unsuitability of energy detection for classification purposes for the presented process. Figure 3b reports the maximal values, at the stationary component's frequency, of the energy spectral density for valid and faulty electrodes, indicating that valid electrodes tend to better preserve

the component peak. However, cases when opposite responses are obtained are not rare, which motivated the search for a more reliable discrimination criterion. Figure 3c shows global entropy values for measured electrodes.

This research suggests that classic spectral analysis is not adequate for the distinction of valid from faulty electrode's signals. An alternative representation of the measured signals is provided by the time-frequency (TF) signal representation. Time-frequency signal representations represent a versatile tool for signal analysis and, in the past few decades, has been applied to a wide range of engineering applications [12–18]. The discrete Quadratic time-frequency distribution (TFD) of an analytic signal z[p] can be written as [19]:

$$\rho[p,m] = \underset{l \to m}{\mathrm{DFT}} \{G[p,l] * (z[p+l]z^*[p-l])\}; l \in \langle L \rangle \ (1)$$

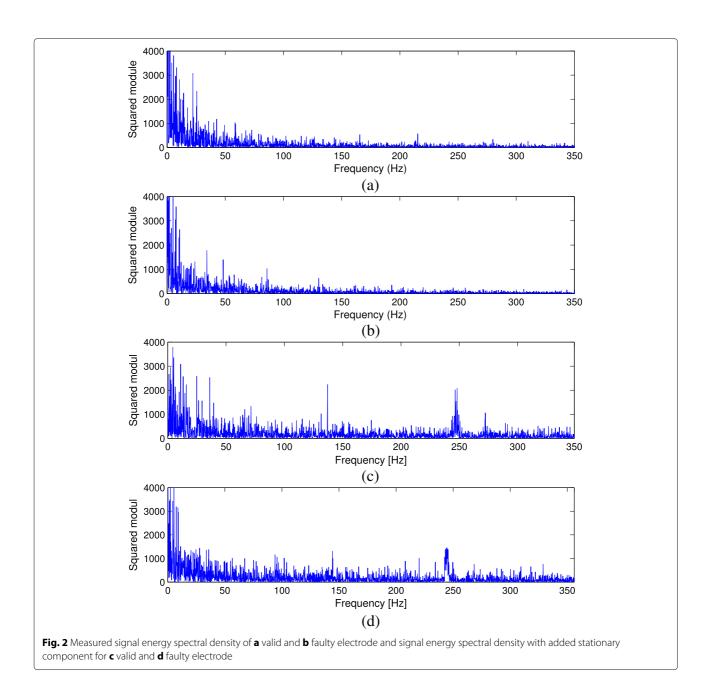
where G[p, l] represents the time-lag kernel. One of the most routinely used time-frequency distributions is the spectrogram, obtained as the squared magnitude of the Fourier transform over a short analyzing window w(p) [19–21]. The spectrogram is thus characterized by the time-lag kernel [19]

$$G[p, l] = w[p + l] w[p - l].$$
 (2)

Due to its realness, strict positivity, and absence of interferences in the case of non-overlapping components, the spectrogram provides a simple and reliable interpretation of the signal structure in the joint TF domain. Spectrograms of the measured signals, obtained using Hamming window of duration T/10, where T is the number of signal samples, are shown in Figs. 4a and 5a. In an attempt to find a suitable criterion for the development of an expert system for assessment of electrodes' validity, the spectrogram complexity has been estimated by the spectrogram's Rényi entropy. The generalized Rényi entropy reads [22]

$$H_{\alpha}(\rho[p,m]) := \frac{1}{1-\alpha} \log_2 \sum_{P} \sum_{M} \left(\frac{\rho[p,m]}{\sum_{P} \sum_{M} \rho[p,m]} \right)^{\alpha} (3)$$

where α is the order of the Rényi entropy (in this paper the value of $\alpha=3$ has been adopted [23, 24]). Since the TF Rényi entropy is an estimator of the TFD complexity, disorder, and information content, intuitively it can be expected that signals with lower SNRs will generally present larger entropy values [25, 26], which can be exploited as a discrimination criterion for validity electrodes' assessment [27]. However, the obtained results show tendency of valid electrode to preserve a more concentrated TF structure, and thus smaller entropy values, even if this appears to be quite an unstable indicator, Fig. 3c.



3.2 The criterion based on deterministic signal's continuity

Since it is known that signal components, as opposed to the noise, are continuous energy regions in the TF plane [28, 29], we have proceeded in the search for a robust and reliable method for automatic electrodes' validity assessment by focusing on observation of the components continuity in TF domain, by assuming that lower SNR will cause oscillations in the component amplitude, degrading its continuity. Thus, the proposed method for distinction of faulty from valid electrodes, which can be generally applied to problems of assessing small differences in SNR in as set of measurements,

estimates the continuity of the signal component in the TF plane.

The first step of the proposed method consists of denoising the spectrogram $\rho_n(p,m)$ of each signal $z_n(p)$ using a K-means-based algorithm as described in sequel [27, 30, 31]. The denoising procedure relies on the fact that an amplitude discrimination of data in a TFD can be performed by the K-means algorithm [30]. Considering the set of observations $C_n = \{\rho_n(p,m)| p = 1,...,P, m = 1,...,M\}$, the K-means algorithm partitions these $P \times M$ observations into K subsets

$$C_n = \{C_{n,k} | k \in \mathbb{N}, \ 1 \le k \le K\},\tag{4}$$

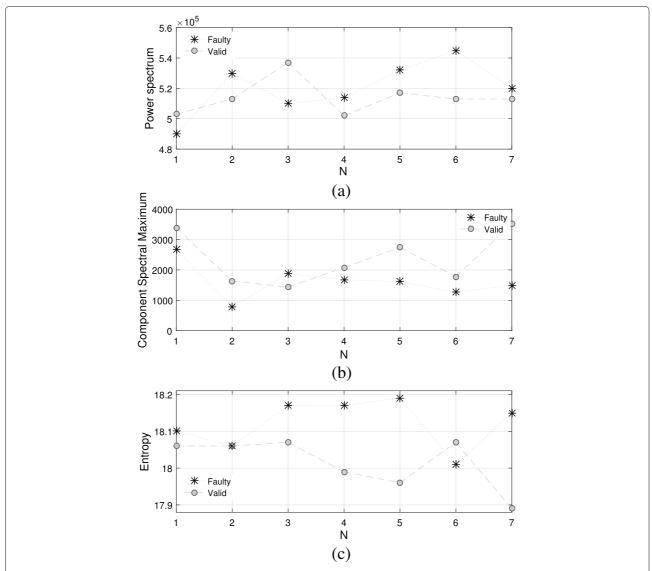


Fig. 3 a Signal energy, **b** spectrum maximum value inside components' bandwidth, and **c** global entropy spectrogram's entropy value for measured electrodes

in order to minimize the within-cluster sum of squares, with the minimal sum:

$$\underset{C_n}{\operatorname{argmin}} \sum_{k=1}^{K} \sum_{\rho_n(p,m) \in C_{n,k}} \|\rho_n(p,m) - \mu_{n,k}\|^2, \tag{5}$$

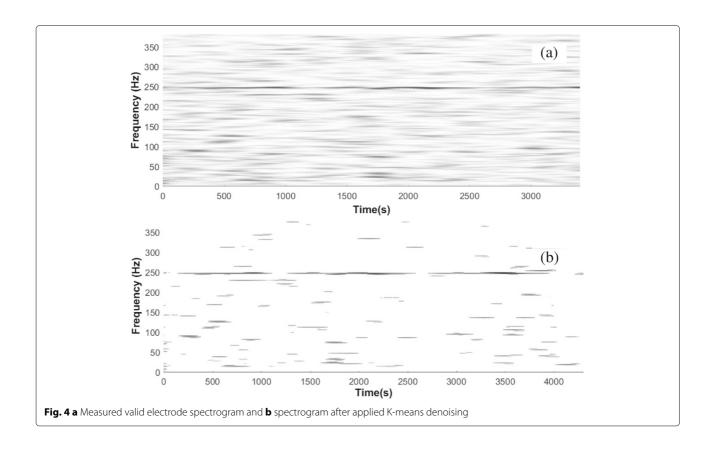
where $\mu_{n,k}$ is the mean of each set $C_{n,k}$.

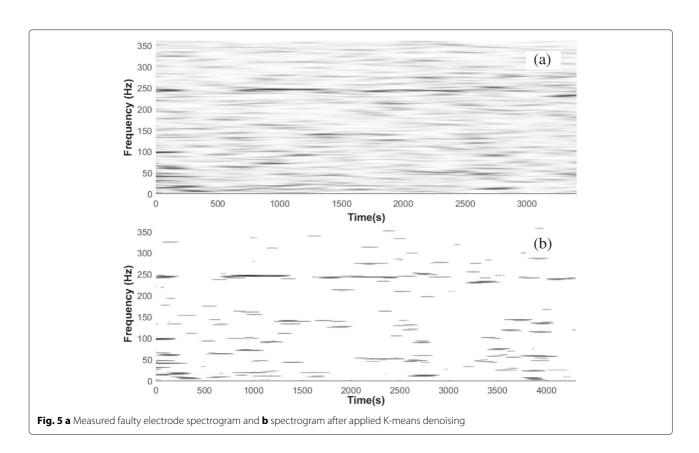
Thus, K classes $\rho_{n,k}(p,m), k \in \mathbb{N}$, $1 \le k \le K$, derived from the TFD $\rho_n(p,m)$, are obtained as

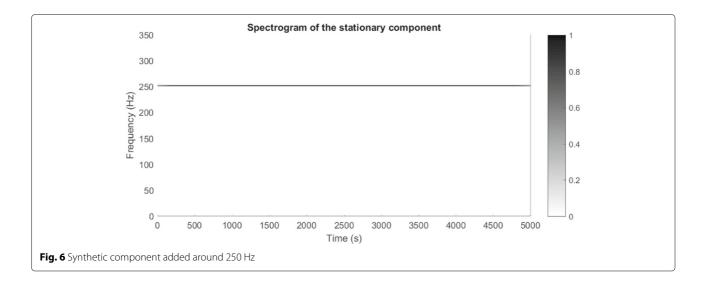
$$\rho_{n,k}(p,m) = \begin{cases} \rho_n(p,m), & \text{if } \rho_n(p,m) \in C_{n,k} \\ 0, & \text{elsewhere.} \end{cases}$$
 (6)

Results presented in this paper are obtained by the pre-processing K-means algorithm computed using the parameter K=5.

Classes containing mainly noise will present significantly larger time-frequency supports and smaller coefficients, when compared to classes containing useful information (since noise is flat in the TF plane while components are prominent ridges) [32]. Thus, it is reasonable to expect that data originated from the signal component will be predominant in the classes marked by higher values of the parameter k, while smaller values of k will mark classes containing mainly noise-originated data. In the light of the above, the denoising procedure is performed by discarding all classes but the one marked by the largest value of the parameter k (k = K). Data contained in $\rho_{n,K}[p,m]$ are assumed to be output of a strict denoising procedure, simplifying the assessment of the component's continuity.



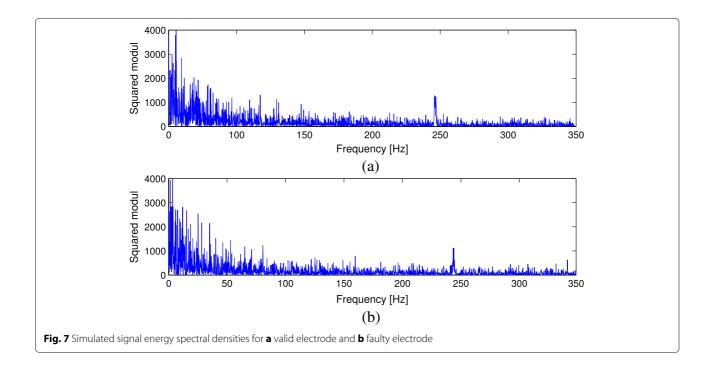




Next, a narrow frequency bandwidth of the TF plane of $\rho_{n,K}[p,m]$, around the stationary components' frequency, is analyzed to determine the component's continuity by connected components labeling in a binary image (2D). Connected-component labeling or region extraction is an algorithmic application of graph theory, where subsets of a given data set are uniquely labeled based on predefined conditions [33]. The algorithm returns a matrix of the same size as the input matrix $\rho_{n,K}[p,m]$, containing labels for the connected components which are then used to extract relevant information from the input $\rho_{n,K}[p,m]$. Each individual separated set of data in the TFD is given a unique label, in a form of a matrix mask,

which enables the component extraction procedure. The continuity is quantified through the size (length) of the clusters the stationary component is composed of, where the longest cluster is considered as a measure of the electrodes' validity. After a narrow frequency band around the stationary component has been designated, the cluster length $L_{\rm max}(n)$ is determined as the maximal number of consequent (neighboring) non-zero coefficients scanning in the time axis direction.

In Figs. 4b and 5b it can be seen that the component is preserved better in the case of higher SNR (valid electrode), presenting a continuous energy ridge in the TF plane, unlike in the case of lower SNR (faulty electrode),



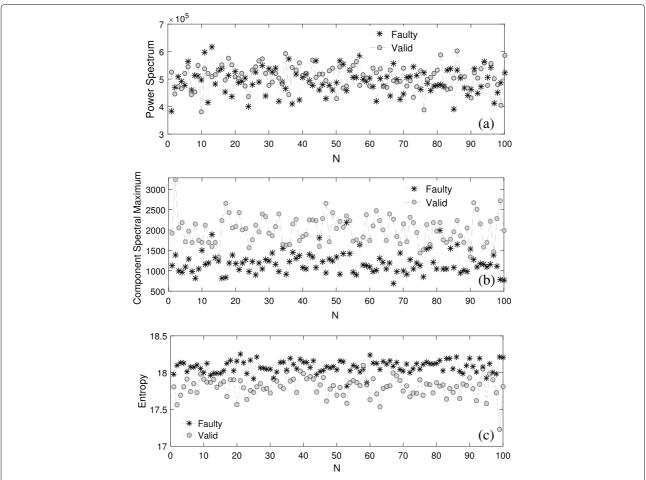


Fig. 8 Simulated results for **a** signal energy, **b** spectrum maximum value inside components' bandwidth, and **c** global entropy value for simulated electrodes

where the component energy appears broken and uneven inside its bandwidth.

4 Criteria application on simulated data

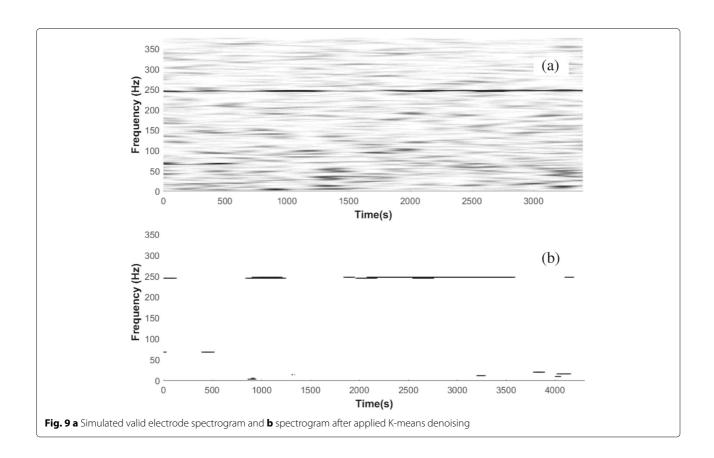
We have developed a model that fits distributions of signal energies, valid electrode energy spectral densities' local maxima, and Renyi entropy of the spectrogram of measured data. The developed computer model has been applied in [27]. It is known from the literature that the resistance fluctuations can be characterized as a superposition of the flicker and white noise [3, 6]. Noise-to-noise and signal-to-noise ratios are determined using the average signal energy and average global entropy of the measured signal spectrograms. Flicker and white Gaussian noises are superimposed in noise-to-noise ratio of 7 dB. The mixture of flicker and white noise is added to the stationary components with SNR ≈ -16 dB for the valid electrode, and SNR ≈ -18 dB for the faulty electrode. The stationary component, embedded in the previously described noise mixture, is centered around

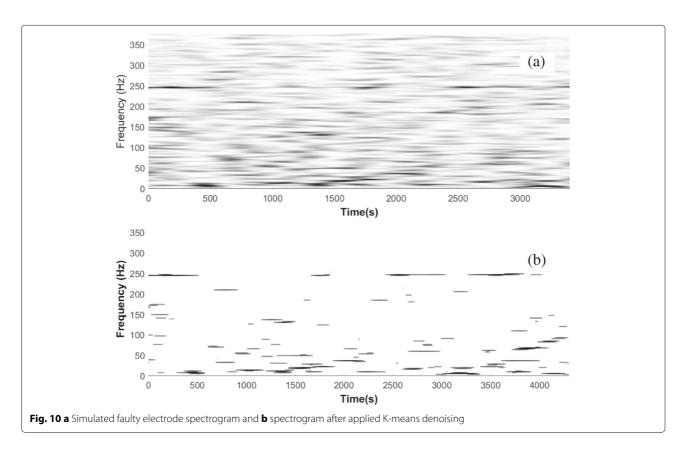
the fifth network harmonic at $f_0 = 250$ Hz, Fig. 6. It is defined as:

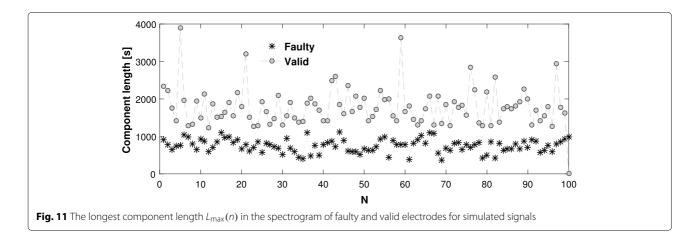
$$y = \cos(2\pi f_0(p - P/2)).$$
 (7)

Figure 7 shows the energy spectral densities of the resistance fluctuations of the simulated electrodes provided by the computer model, with the stationary component. It can be seen that no significant difference between the spectra of valid and faulty electrodes can be observed. Figure 8a reports the signal energies, calculated using the energy spectral densities, of the simulated valid and faulty electrodes, respectively, confirming that discerning of valid and faulty electrodes is not possible.

Figure 8b shows the energy spectral densities' maxima, inside the stationary component's bandwidth, of simulated valid and faulty electrodes, and Fig. 8c shows the entropy values of the signals spectrograms. Figures 9 and 10 show the spectrograms of one simulated valid and one faulty electrode, before and after the denoising procedure, respectively. Figure 11 reports lengths of the longest







energy clusters $L_{max}(n)$, between the two groups of simulated signals, suggesting that precise classification could be obtained by the application of the proposed criterion. To validate the proposed classification criterion we have applied it to measured data, Fig. 12. In Fig. 12, no overlapping of the two data sets (valid and faulty) can be observed.

5 Results and discussion

Analysis of the spectral parameters of measured and simulated data shows the inadequacy of the classic spectral analysis in defining an unambiguous classification criterion of signals w.r.t. a small difference in SNRs. The signal energies of faulty and valid electrodes result in similarly distributed data (Fig. 3a, Fig. 8a), disqualifying energy detection [8–11] as potential classifier between valid and faulty electrodes.

As intuitively expected, in the case of higher SNR (valid electrodes), the component peaks are generally better preserved; however, opposite cases are not rare (Fig. 3b, Fig. 8b, Table 1.). The spectrogram shows a tendency of signals in higher SNR to present a more ordered structure, generally gaining smaller entropy values (Fig. 3c, Fig. 8c), result that indicates that smaller SNRs preserve a more compact structure of the component energy cluster. By exploiting the spectrogram ability of representing signal components as continuous energy concentrations, while noise effects can be described as sparse energy peaks in the TF plane, the time duration of the largest energy cluster has been found highly indicative of the SNR level. In

Table 1 Classification criteria performance evaluation (TP-true positives, TN-true negatives, FP-false positives, FN-false negatives)

Measure	TP	TN	FP	FN	Acc.	Prec.	Recall	F1
Entropy	107	15	92	0	0.570	0.537	1	0.698
Spec. max.	107	18	89	0	0.584	0.545	1	0.705
Comp. len.	107	102	5	0	0.976	0.955	1	0.977

fact, lower SNRs result in prominent energy peaks with small time supports in the TF plane, as a result of the disordered spectrogram structure of noisy signals. On the other hand, in the case of higher SNRs, the component ridge consistently presents dominant TF peaks, since it is the TF dominant structure. As shown in Fig. 11 and 12, no overlapping in the lengths of the longest energy cluster $L_{\rm max}(n)$ between the two groups of signals has occurred, encouraging further testing of the proposed criterion.

In order to propose an improved criterion for discrimination of valid from faulty electrodes, the three measures that have shown potential employment as classification criteria, namely the energy spectral densities' maxima inside the stationary component's bandwidth, the spectrogram's entropy, and the length of the spectrogram's longest energy cluster, will be extensively tested on measured and simulated data.

In order to discern two groups of electrodes based on input data obtained for each of the three compared measures (Figs. 3b, and 8b, Figs. 3c, and 8c, Fig. 11, and 12), again the one-dimensional clustering K-means method is applied as follows [30]: considering an $1 \times N$ -dimensional set of observations

$$C = \{L_{\max}(n) | n = 1, ..., N\}$$
 (8)

the K-means algorithm partitions these $1 \times N$ observations into K=2 subsets

$$C = \{C_k | k \in \mathbb{N}, \ 1 \le k \le K\},\tag{9}$$

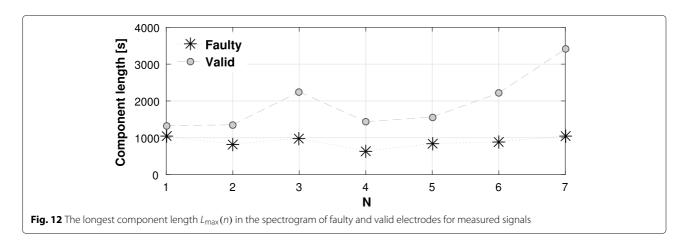
as

$$\underset{C}{\operatorname{argmin}} \sum_{k=1}^{2} \sum_{L_{\max}(n) \in C_{k}} ||L_{\max}(n) - \mu_{k}||^{2}, \qquad (10)$$

where μ_k is the mean of each set C_k . In the presented case, the set C has to be partitioned into two subsets, as:

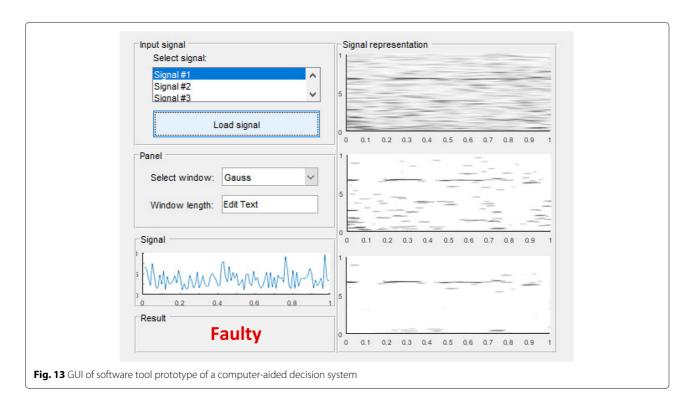
$$C = \{C_1, C_2\} \tag{11}$$

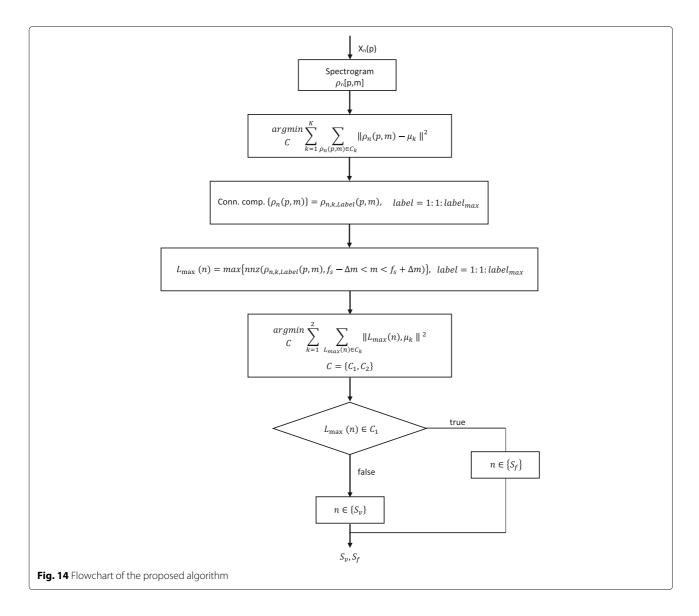
with C_1 containing cluster lengths $L_{max}(n)$ estimated to be responses of faulty electrodes, while C_2 containing cluster



lengths $L_{max}(n)$ estimated as responses on valid ones. Based on the elements' indexes n of the subsets C_1 and C_2 , two sets are obtained, S_f containing indexes n of faulty electrodes, and S_v containing indexes n of valid electrodes. In Fig. 12, which reports the lengths of the longest component in the spectrogram of faulty and valid electrodes for measured signals, it can be seen that a substantial difference in of the proposed estimate can be found between the two groups of signals. Table 1 reports the performance evaluation of the three classification criteria in terms of accuracy, precision, recall and F1 score, where it can be observed that the classification criterion based on the maximal component length provides optimal fault detection procedure. A graphical user interface (GUI) has been

developed in order to simplify and speed up the process of failure detection, Fig. 13. GUI output consists of data sufficient to discard a faulty electrode or accept the valid one, including a graphical representation of detected useful data in analyzed signals spectrogram. The proposed failure algorithm is described in a flowchart shown in Fig. 14. The spectrogram has been used for the signals' representations as one of the most commonly used TFDs in practical applications, since it is cross-terms free, characterized by realness, and strict positivity. However, other TFDs with enhanced representation performance, as the S-method [34] or Modified B Distribution [35], should be considered for future work. The K-means has been used as denoising method since it operates as 1D segmentation





procedure directly on the TFD, taking into account the difference in the structural features of noise, being flat in the TFD, and signal components, being elongated energy regions. Future work will include optimization of the number of classes K utilized in the K-means algorithm, in order to emphasize the component's continuity in the denoised TFD .

6 Conclusion

In this paper, the possibility of distinguishing signals presenting slightly different power ratios of useful information content (i.e., signal component) and noise, using methods of spectral and time-frequency analysis is investigated. It has been observed that the analyzed signals, obtained from the responses of valid and faulty electronic devices (EEG electrodes), due to slightly different levels of noise (lower SNR in faulty and higher SNR in valid

electrodes), present some minor differences in their spectral characteristics. The energy spectral density is not indicative of the SNR, and the spectral peak of the component tends to be preserved better in signals with higher SNR. However, this information appears quite unstable, leading to a significant overlapping of results obtained from faulty and valid electrodes, resulting in a significant of classification error. A new insight in the signal structure is provided by the time-frequency signal representation, by computing the signal spectrogram. The spectrogram entropy indicates a general better preservation of the component structure in higher SNRs, however, representing alone a weak classification criterion. The better preservation of the component in higher SNR is assessed by the length of the longest continuous energy cluster in the time-frequency domain. Using this criterion, a reliable classification method has been established providing an effective faulty electrode detection procedure, with an error rate of less than 3%, which can potentially help in reducing the possibility of misreading EEG signals by medical personnel. The importance of developing the failure detection method can be deduced from the fact the research has been commissioned by the manufacturer due to the feed back from medical personnel, noticing the appearance of artifacts produced by cold solder.

Abbreviations

AWGN: Additive white Gaussian noise; DC: Direct current; DUT: Device under testing; EEG: Electroencephalogram; FN: Flicker noise; GUI: Graphical user interface; SMU: Source measure unit; SNR: Signal-to-noise ratio; TF: Time frequency; TFD: Time-frequency distribution

Authors' contributions

ZM developed the software solution with GUI for the proposed computer analysis and research based on the signal processing methods developed and designed by NS. IM designed the measurement setup and led measurement procedure for obtaining input signals for the developed sofware solution. DS advized the team on research methods and results' interpretation. All authors read and approved the final manuscript.

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Ethics approval and consent to participate

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Consent for publication

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Competing interests

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